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Recommended Citation
https://works.swarthmore.edu/fac-biology/488

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Active Learning Outside the Classroom: Implementation and Outcomes of Peer-Led Team-Learning Workshops in Introductory Biology

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ABSTRACT
Study group meetings (SGMs) are voluntary-attendance peer-led team-learning workshops that supplement introductory biology lectures at a selective liberal arts college. While supporting all students’ engagement with lecture material, specific aims are to improve the success of underrepresented minority (URM) students and those with weaker backgrounds in biology. Peer leaders with experience in biology courses and training in science pedagogy facilitate work on faculty-generated challenge problems. During the eight semesters assessed in this study, URM students and those with less preparation attended SGMs with equal or greater frequency than their counterparts. Most agreed that SGMs enhanced their comprehension of biology and ability to articulate solutions. The historical grade gap between URM and non-URM students narrowed slightly in Biology 2, but not in other biology, science, technology, engineering, and mathematics courses. Nonetheless, URM students taking introductory biology after program implementation have graduated with biology majors or minors at the same rates as non-URM students, and have enrolled in postcollege degree programs at equal or greater rates. These results suggest that improved performance as measured by science grade point average may not be necessary to improve the persistence of students from underrepresented groups as life sciences majors.

INTRODUCTION
While lectures are the traditional mode of college science instruction, critics have argued that lectures harden barriers to participation by students from many ethnic, cultural, and socioeconomic backgrounds, by presupposing familiarity with implicit premises and values that are culturally narrow (Brickhouse, 1994; Brickhouse et al., 2000; Lee et al., 1995; Aikenhead, 1996; Gilbert and Yerrick, 2001; Brown, 2004; Rahm, 2008). These students often feel inhibited from asking questions in large lectures, because they perceive that questions reveal a deficit in their presumed knowledge base and expose the questioner as an outsider. As a result, traditional lecture classes often marginalize students from diverse backgrounds (Johnson, 2007).

By contrast, curricular structures that incorporate active learning are thought to improve learning and engagement, particularly when facilitated by peers (Woodward et al., 1993). Collaborative problem-solving reinforces argumentation skills as students advance and rebut provisional solutions. Good facilitators model conventions of language and behavior and provide scaffolds such as targeted explanations or Socratic questions (Collins et al., 1989; Dennen and Burner, 2007). Facilitators with whom students identify, such as peers, can also serve as role models for academic success and interest in science. Identification can ameliorate incompatibilities students perceive between science and their core beliefs and habits (Chambers, 1983; Brickhouse, 1994; Darling, 2000; Lee et al., 2005). Peer leaders with experience in biology courses and training in science pedagogy facilitate the dissemination of ideas among students, and encourage group members to question and contribute ideas to the discussion (Johnson, 2007).

Pat Marsteller, Monitoring Editor
Submitted January 15, 2016; Revised May 31, 2016; Accepted June 7, 2016
CBE Life Sci Educ September 1, 2016 15:ar31
DOI:10.1187/cbe.16-01-0051
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Brickhouse et al., 2000). Thus, peers have remarkable potential as facilitators, because they embody “instructional congruence” (Lee and Fradd, 1998), bridging gaps between fellow students’ perceptions of science and of themselves or their desired future selves. Importantly, a sense of self-efficacy is essential for scientific identity formation (Trujillo and Tanner, 2014) and observing successful peers bolsters students’ self-efficacy beliefs (Bandura, 1977).

Progressive science educators have pioneered curricular structures that exploit the potential of facilitated, active learning. A recent meta-analysis of 225 studies demonstrated that incorporation of active-learning interventions in a variety of science, technology, engineering, and mathematics (STEM) fields increases student grades and decreases failure rate (Freeman et al., 2014). These interventions range from incorporating think–pair–share activities into lecture-based courses to replacing lecture entirely with instructor-and-peer-facilitated group work in problem-based learning (PBL) courses (Eberlein et al., 2008). However, despite evidence supporting positive outcomes (Smith et al., 2009), it remains a challenge for many faculty to incorporate active-learning pedagogies into well-honed courses and sequences of lectures.

As a more moderate alternative to supplanting lectures with PBL, the peer-led team-learning (PLTL) model represents a way to incorporate mentored active learning with less disruption to the classroom (Eberlein et al., 2008). Defining features of PLTL include peer leaders trained in tutorial methods; challenging questions suitable for collaborative problem solving; and designated meeting times outside lecture. Peer leaders are selected from among students who had been successful in the course in a previous semester. They are generally trained in pedagogical principles and practices and are paid for their time. Problems are designed by faculty lecturers to require critical integrative and analytical thinking (Sarquis et al., 2001). PLTL programs in different institutions vary by attendance requirements, ranging from walk-in (Drane et al., 2005; Amstutz et al., 2010) to voluntary-enrollment programs in which attendance is expected (Snyder et al., 2015) to mandatory workshops with graded assignments (Preszler, 2009). Programs also vary by institutional context, ranging from small, open-enrollment, 2-year colleges (Amstutz et al., 2010) to selective mid-sized universities (Drane et al., 2005).

PLTL programs are often implemented with the intention of broadening participation in STEM. Previous studies describing outcomes of PLTL in introductory biology courses have assessed attendance, self-reported gains in comprehension and confidence, course performance gains (grades), and effects on attrition rates from the course or from a defined sequence of courses. Across contexts, students self-report gains in confidence and comprehension from PLTL attendance (Drane et al., 2005; Preszler, 2009; Amstutz et al., 2010; Stanger-Hall et al., 2010; Batz et al., 2015). Small performance gains of 0.2–0.3 grade points have been associated with several PLTL programs in different contexts, although these gains were often inconsistent when multiple semesters or quarters were studied (Drane et al., 2005; Preszler, 2009; Amstutz et al., 2010; Stanger-Hall et al., 2010; Batz et al., 2015). A recent study describes a full grade point associated with PLTL attendance for a subset of students who opted out of lab in one semester (Snyder et al., 2015). Another found a relationship between PLTL and performance for underrepresented minority (URM) students that approached significance (p = 0.07) in one of the three quarters in which impacts of the program were assessed (Drane et al., 2005). Others have reported no special benefit for URM students but greater gains for underprepared students (Batz et al., 2015).

Importantly, PLTL programs have been reported to improve retention or persistence for URM or underprepared students, with persistence usually defined as reduced attrition from courses or sequences of courses (Drane et al., 2005; Preszler, 2009; Stanger-Hall et al., 2010; Batz et al., 2015). One study described a small (r = 0.1) but significant association between graduation rates and workshop attendance in a voluntary-attendance PLTL model, although this was not parsed by major, preparedness, or URM status (Amstutz et al., 2010). However, few or no studies to date have examined a putative association between PLTL program implementation and rates of graduation as STEM majors or minors or continuation to graduate schools. We contribute to the current body of work by describing the implementation and outcomes of our study-group meeting (SGM) program, a PLTL model in introductory biology at a selective, small liberal arts college. We address the following four research questions: To what extent do students from URM groups or those with weaker preparation in biology use the program relative to counterparts? What do students perceive as benefits of participating in SGMs? To what extent is participation associated with improved performance in the course? To what extent is program implementation associated with improved persistence of URM students in biology and other natural science majors and minors and enrollment in postgraduate programs?

METHODS

Institutional Context

This study took place at Swarthmore College, a selective, undergraduate liberal arts college of roughly 1500 students set in suburban Philadelphia, Pennsylvania. All students are admitted as premajors and apply to departments to become majors or minors in the Spring semester of sophomore year. While biology is often reported as an intended major by incoming first-year students, many students are undecided about their intended major upon matriculation. The study focused on students who were admitted between 2000 and 2011 and who took introductory biology in their first year. The median Scholastic Aptitude Test (SAT) scores for incoming students were 730 for Verbal/Critical Reading and 720 for Math, and these remained unchanged from 2000 through 2011. URM students (defined as those who self-identified in admissions records as African American, Latino/a American, or Native American) averaged 16.9% of the student body between 2000 and 2005 and 20.0% between 2008 and 2013. College 6-year graduation rates for cohorts of students entering during this period ranged between 92 and 95% overall, and 82–96% for URM students.

Course Context and Resources

The SGM program supports the lecture component of two team-taught introductory biology courses, Biology 1: Cellular & Molecular Biology (Fall) and Biology 2: Organismal and Population Biology (Spring). Biology 1 and 2 have no prerequisites and can be taken by any student at the college. The content in each course is modular, so students may take one or both
courses in any sequence. They are generally among the largest courses at the institution, with enrollments ranging from 85 to 140 students per semester. Each course attracts roughly 70–80% freshmen, with the remainder mostly sophomores with a few juniors and/or seniors.

Students enroll in the courses for a variety of reasons. The courses are required for biology majors and minors and are therefore taken by those who anticipate majoring in biology or a related discipline. About half of Biology 1 and 2 students become biology majors, minors, or biology-related majors (e.g., neuroscience or biochemistry majors). Some register intending to pursue biology or a related discipline but do not persist. Other students use the courses to fulfill requirements for medical school or to satisfy college distribution requirements for graduation. Some students enroll in the courses to satisfy requirements but then decide to become biology majors. These courses also attract students who have earned a score of 5 on the Advanced Placement (AP) Biology exam even if they do not plan on continuing studies in biology, because students must take a biology course at Swarthmore to receive credit for their AP work.

While grades are calculated for all students, all grades for first-semester freshmen appear only as credit or no-credit (CR/NC) on official student transcripts. Thus, most Biology 1 students in this study took the course CR/NC, whereas most Biology 2 students took the course for a letter grade. Biology 1 and Biology 2 have classroom and laboratory components that contribute approximately equally to the total grade for the course. In each team-taught course, four professors take turns lecturing in an auditorium using blackboards and projected images or videos for three 50-min periods per week. Grades for the classroom component stem from three to six quizzes and a comprehensive final exam. Question formats are primarily short answer with a few other question types. Each student attends one weekly 3-h laboratory section taught by one of the four lecturing professors alongside a professional BS-, MS-, or PhD-level instructor and an undergraduate laboratory teaching assistant (TA). Grades for the laboratory component stem from two or three laboratory reports and other short written assignments focused on data analysis. Professional academic support resources include office hours and open-door policies of the eight- to nine-member professional teaching staff. Peer academic support resources include evening data analysis help sessions with lab TAs and editorial meetings with student writing associates (WAs). In parallel, peer science associates (SAs) facilitate student work at evening SGMs.

Challenge Questions
While faculty generally do not attend SGMs, they provide “challenge questions” that are the focus of student work at SGMs. The expectation that study groups will discuss and solve difficult problems is based on the structure of the PLTL workshop program at Northwestern University (Born et al., 2002), which in turn was inspired by the workshop calculus model pioneered at the University of California–Berkeley (Fullilove and Treisman, 1990). Each faculty member assigns three to six challenge questions per lecture (see examples in the Supplemental Material). These are handed out to students in lecture and posted to the course website. These questions are meant to be difficult and address higher levels of understanding in Bloom’s taxonomy (Crowe et al., 2008). In lecture, students are told that the questions are hard and are encouraged to work in groups to solve them. The answers are not collected or graded. The challenge questions are not used as quiz or exam questions, but because they align with learning objectives for the lectures, solving the challenge questions is good preparation for summative assessments.

Program Structure
Some aspects of the SGM program were introduced in Biology 1 and 2 in 2004–2005. It was fully implemented 2005–2006 with the support of a grant from the Howard Hughes Medical Institute (HHMI). The structure of the program is aligned with the PLTL described previously.

Peer facilitators, the SAs, host 2-h SGMs two evenings per week. An additional weekend SGM is offered before each quiz and an extended 4-h SGM is offered the night before each quiz for a mean of 30 SGMs each semester. Snacks are available to students in the SGM meeting room. Students may arrive or leave any time during an SGM. Participation in the program is strictly voluntary. Faculty lecturers and laboratory instructors encourage all students to attend by promoting the benefits of the group problem-solving experience. In addition, instructors typically offer direct encouragement to struggling students to attend SGMs.

Eight SAs are selected each semester from a pool of applicants. URM students are encouraged to apply in order to generate a diverse pool. Biology faculty and instructors rate the suitability of each applicant based on experiences with these students in classes or other relevant contexts. SAs are selected based in part on these ratings and also with the aim of building a team that is diverse by class year, gender, and ethnicity. SAs have taken Biology 1 and 2 and are required to attend lecture during the semester(s) they are working as peer leaders. In addition, SAs meet with the faculty lecturer each week to review challenge questions and other lecture material. They are also required to attend weekly meetings of a course entitled Pedagogical Principles & Practices (syllabus in the Supplemental Material), taught by the program coordinator, for which they are paid but receive no academic credit. In this seminar-type course, SAs read articles or excerpts from the educational literature and discuss how to apply these ideas as peer facilitators at SGMs.

The number of SAs present in a given SGM is a function of predicted attendance. Student attendance at SGMs is voluntary and patterns have been similar over many years, permitting targeted allocation of resources such as snack food and SAs. From two to six SAs are assigned a given shift depending on predicted attendance. Students sign an attendance sheet when they enter and sit in movable chairs to facilitate the formation of small groups. They typically form groups of between three and seven students, similar to group sizes reported for other PLTL programs in biology (Drane et al., 2005).

SAs move about the room in the manner of restaurant wait staff. They make themselves available to answer questions or overhear conversations and make targeted comments. They are trained to not provide full answers to the challenge questions but to catalyze their peers’ critical thinking and discussion and inspire interest about challenge questions, lecture topics, and course themes. Their methods include Socratic questioning,
restating student explanations with more appropriate terminology, and asking students to write or draw (concept maps, etc.) and “jigsaw” (a practice in which each member of a group is responsible for solving and sharing a given part of a problem or problem set). By working together (at the least in pairs), they model teamwork, community cooperation, and group problem solving if they are asked an especially difficult question. SAs rely on their training, intuition, and prior experiences to diagnose impediments to student comprehension and motivation and provide appropriate pedagogical interventions. For example, if a group is struggling to answer a challenge question, an SA would ask questions to probe the students’ understanding of the concepts the question is based on and guide them to the relevant lecture or text material.

Student Populations
The results are based on institutional records and surveys of students who enrolled in Biology 1 or 2 between Fall 2008 and Spring 2012. The control (before-program) cohort was composed of students who took the courses between Fall 2000 and Spring 2004, before SGM program implementation. Students who enrolled in both Biology 1 and 2 are counted with each course for SGM attendance, perceptions, and performance, which are course-based measures. Each student was counted once for analyses of student-based measures of persistence and outcomes.

Because graduation rates at the college are already so high that they are unlikely to be influenced by these efforts, measures of persistence and outcomes focus on students’ continuation in biology or other STEM majors and minors. Specifically, analyses of persistence, subsequent course performance, and outcomes focus on those who took either Biology 1 or 2 as a first-year student and graduated within 4 years (N = 453 in before-program control group and N = 452 in after-program group). URM students (defined as those who self-identified in admissions records as African American, Latino/a American, or Native American) were 14.1% of the before-program population (N = 453) and 19.9% of the after-program population (N = 452). Those who did not self-identify were included in the non-URM group.

The additional use of underpreparedness as a criterion to identify at-risk students has been justified by arguments that ethnicities are overaggregated (Alessandria, 2002; Lee, 1997). Underprepared students have been classified as such by parental education (Harackiewicz et al., 2013), socioeconomic/geographic identifiers (Gilbert and Yerrick, 2001; Rahm, 2008), or academic background such as AP status (Scott et al., 2010). In an effort to define two groups based on students’ academic preparation for introductory biology, those who reported scores on the AP Biology exam were considered to have more preparation than those who did not report AP Biology scores (non-AP). This criterion served as a proxy for positively identifying students who had taken more than one biology course in high school (AP group), while the non-AP group was more heterogeneous with regard to prior experience in biology. In the after-program population, 42% reported AP Biology scores, and 91% of these scores were 4 or 5. The mean math SAT score of the AP group was 726 compared with 699 for the non-AP group (t test, p < 0.0001). This grouping was implemented only for analyses of after-program data, since records of AP scores were not available for the before-program group.

Statistics
A variety of statistical methods were used, depending on the types of measures and hypotheses explored. Where possible, dependent variables were compared for students in the following groups: URM versus non-URM, non-AP versus AP, and before- versus after-program implementation. Frequencies of attending SGMs across the semester were compared for these groups using chi-square tests. Pearson correlations were used to explore relationships between SGM attendance and course grades.

Confidential surveys were conducted by Swarthmore’s Office of Institutional Research at the end of each semester. Institutional research staff connected survey respondents to their institutional data by using the student ID requested as part of the survey. Because survey items reflecting perceptions of SGM benefits were ordinal, correlations calculated between SGM attendance and these perceptions used the nonparametric Spearman rank-order coefficient. Chi-square statistics were used to determine whether frequencies of positive responses (“somewhat helped” or “helped a lot”) on these items were related to group status.

Using data from before the program implementation as a control raises the concern that any observed differences might reflect exogenous changes at the institution over time that could have influenced student performance. We assessed the influence of year on both biology and natural sciences and engineering (NSE) grade point averages (GPAs) by using Akaike information criterion (AIC) model selection to contrast models of GPA expressed as a function of URM treatment, with year either excluded (linear models) or treated as a random effect (linear mixed models). As the top AIC model (delta AIC ≥ 2) in both cases was the linear model that excluded year, it was possible to conclude that effects attributable to year were minimal. Therefore, a simpler analysis of variance (ANOVA; pooling the years before and after program implementation) is justified and is presented here.

A related concern is that student ability was not equivalent in the before- and after-program cohorts, particularly for targeted groups. To test this possibility, we compared SAT Math scores of URM freshmen taking these introductory biology courses during the pooled before-program years with the pooled after-program years, using an independent samples t test. The scores were not significantly different (t = −1.66 with df = 561, p = 0.10).

Pearson correlations were used to explore relationships between SGM attendance and course grades. Introductory course grades during each semester were compared for URM and non-URM, and for more prepared and less-prepared students, using independent sample t tests. Longitudinal performance data were also examined using a linear mixed model.

Student persistence as biology or STEM majors and minors and subsequent enrollment in postgraduate programs are categorical data, and so the chi-square tests were used to determine relationships between persistence and groups, and postgraduate enrollment and groups.

RESULTS
Student Participation in SGMs
The primary subjects of this study were students who enrolled in introductory biology during eight semesters (Fall 2008
through Spring 2012). A large majority of those in Biology 1 (82%) and Biology 2 (77%) attended at least one SGM. The median number of SGMs attended was three for the overall population and four for the URM and non-AP subsets of the population (Figure 1). The frequency of SGM attendance by students from URM groups exceeded that of non-URM students (Figure 1A; chi-square $\chi^2 = 13.8$, df $= 5$, $p = 0.02$). Rates of attendance by students in the non-AP and AP groups were not different.

**Student Perceptions of SGMs**

Perceived benefits of attending SGMs were assessed using seven multiple-choice items on anonymous end-of-term surveys. Seven putative benefits were assessed: interest in biology, self-confidence in understanding biology, ability to think scientifically, enjoyment of the course, comfort level in taking biology courses, ability to articulate solutions to scientific problems, or interest in further learning in biology. Each item prompted students to fill in the statement “Going to SGMs ___” on a four-point scale: 1 = did not help, 2 = helped very little, 3 = somewhat helped, 4 = helped a lot.

First, we compared percentages of students selecting each of the four responses to identify the modal response for each putative benefit. The mode was either somewhat helped (3) or helped a lot (4) for all seven benefits in Biology 1 and for six of the seven benefits in Biology 2. When results for the two introductory courses were pooled and ratings were parsed by URM status, we found that URM and non-URM students responded similarly in their ratings of each of the seven putative benefits (Figure 2A). By contrast, less-prepared (non-AP) students rated most of the putative benefits more highly than those who had reported AP Biology scores (Figure 2B). Specifically, significantly more non-AP students than AP students said that the SGMs either somewhat helped (3) or helped a lot (4) to enhance their interest in biology, their understanding of biology, their enjoyment of the course, and their comfort in taking biology courses (chi-square tests, $p < 0.05$).

We also explored possible relationships between student ratings of the benefits of SGMs and their actual SGM attendance. As expected, student attendance was positively correlated with their ratings for all seven putative benefits in both courses (Spearman rho tests; $r$ ranged from 0.22 to 0.40, $p < 0.001$).

Finally, the surveys provided opportunities for students to expand on their ratings in free-response items. The majority of students in Biology 1 and 2 described benefits of defining features of the SGM program, including working with fellow students and SAs on faculty-designed challenge questions in SGMs. Typical examples include “The SAs and the way they were able......
to explain the material without feeding you the answers were extremely helpful” (Biology 1 student, Fall 2011), and “Learning from other people and teaching other people with the help of the SAs was good for my learning and I think helped me tremendously on the quizzes” (Biology 2 student, Spring 2012).

**Student Performance in Introductory Biology**

To examine the possibility that participation in SGMs enhanced academic performance, we calculated correlations between SGM attendance and course grades. We found a significant positive correlation between SGM attendance and grades in Biology 2 (Pearson correlation coefficient, $r = 0.18, p < 0.001$). A similar relationship was found when narrowing the population to less-prepared students (non-AP) in Biology 2 ($r = 0.16, p < 0.001$) but was not seen for URM students. There was no relationship between SGM attendance and grades for students in Biology 1.

Longitudinal data comparing average grades from preprogram years through Spring 2012 (Figure 3) show that URM student grades were significantly lower than non-URM grades in both Biology 1 ($t_{166} = -4.386, p < 0.0001$) and Biology 2 ($t_{1000} = -7.23, p < 0.0001$). There was no significant change in average grades after program implementation when compared with preprogram years (Figure 3). In Biology 2, however, a significant interaction between URM status and pre- versus postprogram years (linear mixed model applied to arcsine-transformed grades, $p < 0.05$) suggests that the historical grade gap between URM and non-URM students narrowed in this course.

**Student Persistence as STEM Majors and Minors**

To assess whether the persistence of URM students in pursuing biology or STEM degrees has improved since SGM program implementation, we focused on the graduation majors and minors of those who took Biology 1 or 2 as first-year students and graduated in 4 years. Because some features of the program were being developed and refined between 2004 and 2007, we defined the “Before” group here as those who enrolled in either Biology 1 or Biology 2 during the four academic years before the transition (Fall 2000 through Spring 2004). A chi-square test was used to examine the relationships between program (2, before vs. after implementation) $\times$ URM status (2, URM vs. non-URM) $\times$ persistence (2 levels, biology major or minor vs. not). The same approach was used to consider persistence as NSE majors or minors. For those who took Biology 1 or 2 in those 4 years before SGMs were introduced, 31% of URM students graduated with a major or minor in biology compared with 48% of non-URM students (chi-square $= 5.9, p < 0.05$; Figure 4A). A significant gap also was evident in the before-program cohort between the percentages of URM (38%) and non-URM (56%) students who majored or minored in any NSE department (chi-square $= 7.6, p < 0.01$; Figure 4B). In contrast, for those who took Biology 1 or 2 as first-year students after implementation of the SGM program (Fall 2008 through Spring 2012), the persistence of URM students increased to 50% biology majors or minors and 59% NSE majors or minors. As a result, there were no longer differences between URM and non-URM students in the rates of graduating with either biology or NSE degrees (Figure 4, A and B).

In the after-program cohorts, 59% of the more-prepared group (who reported AP Biology scores) graduated with majors or minors in biology, compared with only 44% of the less-prepared (non-AP) group ($r = 10.1, p < 0.01$). Rates of graduating in any NSE discipline also were higher for the AP group (69%) compared with the non-AP group (56%; chi-square $= 8.4, p < 0.01$). In the more-prepared (AP) population, these outcomes were not related to low or high rates of SGM attendance. For the subset of students in the less-prepared (non-AP) population, however, there was a small correlation between attendance and retention in biology ($r = 0.112$) that approached significance ($p = 0.07$). We were not able to quantify the relationships between preparedness and persistence for before-program cohorts, because relevant data (AP Biology status) were not available.

**Student Performance in Subsequent STEM Courses**

Given the evidence for improved persistence of URM students in studying biology and other STEM disciplines since implementation of the SGM program, we also looked for evidence of improved performance in subsequent biology and NSE courses, focusing on those who persisted in studying biology. We defined 4-year before-program and after-program cohorts in the same way as above (skipping 2004–2007, transitional years for the SGM program), and calculated GPAs in subsequent biology and subsequent NSE courses for those with a major or minor in biology-related disciplines (biology, biochemistry, neuroscience). Because both biology GPAs and NSE GPAs were modeled most effectively by linear models that excluded year (see Methods), longitudinal data were pooled in Before and After cohorts and subjected to ANOVAs. The mean overall (Before and After)
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Enrollments in Postcollege Educational Programs

Finally, to assess possible impacts of the SGM program experience on career trajectories of biology majors and minors, we tracked postgraduation enrollments for these same cohorts of alumni through the National Student Clearinghouse database (National Student Clearinghouse, StudentTracker Services, data captured November 2015). Because the database does not reliably identify specific programs in which students enrolled, this is an imperfect measure of postgraduation persistence in STEM fields. Nonetheless, more than 50% of biology majors and minors from both URM and non-URM populations enrolled in subsequent educational programs within 2.5 years of their Swarthmore graduation (Figure 6), significantly different rates than the 40–45% of Swarthmore alumni overall (chi-square tests, before \( p < 0.0001 \), after \( p < 0.001 \)). The results are not different for those who took Biology 1 or 2 before and after implementation of the SGM program. There were no significant differences associated with either URM status or SGM program experience in these postgraduation enrollment data.

FIGURE 4. Among those who took Biology 1 or 2 in their first year and graduated in 2004–2007 (before program, \( N = 453 \), 14.1% URM), smaller percentages of URM (red) students compared with non-URM students (blue) graduated with majors or minors in (A) biology (*, \( p < 0.05 \), chi-square test) or (B) any NSE department (**, \( p < 0.01 \), chi-square test). By contrast, among those who took the courses in their first year after program implementation and graduated in 2012–2015 (\( N = 452 \), 19.9% URM), percentages graduating with majors or minors in biology or other NSE disciplines were not different for URM compared with non-URM groups.

FIGURE 5. Performance as measured by subsequent GPAs for non-URM (blue) and URM (red) students who persisted as majors or minors in biology-related disciplines (biology, biochemistry, neuroscience) after taking Biology 1 or 2 as first-year students either before (2000–2004) or after (2008–2012) SGM program implementation. (A) GPAs in subsequent biology courses for URM students were different from those for non-URM students (\( p < 0.0001 \), ANOVA). There is a small difference in biology GPAs between overall before- and after-program cohorts (*, \( p < 0.05 \), ANOVA) but no interaction between URM status and program implementation. (B) GPAs in subsequent NSE courses differed between URM and non-URM students (\( p < 0.0001 \), ANOVA). There was no difference between overall before- and after-program cohorts in NSE GPAs and no interaction between URM status and program implementation (before: non-URM \( N = 185 \), URM \( N = 20 \); after: non-URM \( N = 183 \), URM \( N = 45 \)).
To What Extent Do Students from URM Groups or Those with Weaker Preparation in Biology Use the Program Relative to Counterparts?

Because attendance at SGM workshops was voluntary, student participation depended on the workshops being perceived as welcoming and useful. Although ~20% of all students never participated, attendance for the majority of students ranged from once per semester to twice per week, with medians of three SGMs per semester in the overall population and four per semester among targeted groups. This is consistent with findings from other voluntary-attendance PLTL programs; for example, Amstutz et al. (2010) report an average attendance of four sessions per semester. The SGM workshops attracted URM students with greater frequency than their majority counterparts (Figure 1A). The recruitment of students to the SGMs likely stems from both the encouragement of the faculty and laboratory instructors about the program and the accessibility, enthusiasm, and helpfulness of the SAs. The SAs are selected based on their interpersonal skills and affect as well as their facility with course material. In addition, their pedagogical training includes an overview of sociocultural learning theories and cognate practices for teaching diverse learners (see the Supplemental Material).

What Do Students Perceive as Benefits of Attending SGMs?

Convergent evidence from end-of-semester surveys indicated that most students perceived SGMs as enhancing their understanding of biology and their abilities to think scientifically and to articulate solutions to problems, in accord with previous studies (Preszler, 2009; Batz et al., 2015). Ratings of benefits were positively correlated with SGM attendance, suggesting that students familiar with the program perceived value and that students who valued the program attended more frequently. Non-AP students perceived the program as facilitating interest in biology, understanding biology, enjoyment of the course, and comfort level in taking biology courses with greater frequencies than those with AP Biology experience (Figure 2B), suggesting that those with more experience in biology perceived less benefit from participating in SGMs.

Is SGM Program Participation Associated with Improved Performance in Introductory Biology?

Average grades across 10 years show significant gaps between URM and non-URM students in Biology 1 and Biology 2 (Figure 3). While grades overall did not differ between preprogram and postprogram years, a significant interaction (p < 0.05) between URM status and pre- versus postprogram years in Biology 2 suggests that the historical grade gap between URM and non-URM students narrowed in this course. Results from other PLTL programs similarly reflect small performance effects in some semesters but not others (Drane et al., 2005; Stanger-Hall et al., 2010). These intermittent PLTL-associated performance effects were typically in the range of 0.2–0.3 grade point increases on a 4.0 scale (Drane et al., 2005; Amstutz et al., 2010; Batz et al., 2015). One intriguing observation (Drane et al., 2005) of a marginally significant (p = 0.07) additional gain of 0.1–0.2 grade point for URM students is similar to our findings that suggest a slight narrowing of the grade difference between URM and non-URM students in one of the two SGM-supported courses.

DISCUSSION

There is growing evidence for the value of engaging aspiring science majors in active learning and inquiry-based practices from their earliest engagement with the curriculum in their disciplines. While many biology departments have responded by implementing significant transformations of their introductory curricula to more active and inquiry-based models and course-based undergraduate research experiences (Wei and Woodin, 2011; Knight et al., 2015), many others struggle with the challenges and expenses of revamping the content and style of well-honed sequences of lectures and laboratories. To support the active engagement of introductory biology students with lecture material outside the classroom, we implemented a PLTL program of evening SGMs, with peer SAs who facilitate collaborative problem solving as students work on challenge questions provided by faculty lecturers. The program was introduced in 2005–2006 with two major aims: 1) to improve student performance in introductory biology, and in particular to improve the success of students from underrepresented groups; and 2) to increase the number of students who succeed as biology and STEM majors, including those who enter the college without strong high school backgrounds in biology. Formal assessments were carried out from Fall 2008 through Spring 2012 in order to understand students’ views and reactions to the SGMs and the effects of participation on students’ grades. Subsequent grades and rates of graduating with degrees in biology and other STEM disciplines were tracked through institutional data. A notable outcome after eight semesters of the program was a dramatic improvement in the persistence of URM students in biology and other STEM majors and minors.

FIGURE 6. Percentages of graduates who enrolled in a postcollege degree program within 2.5 years of graduating from Swarthmore, comparing graduates from 2004–2007 (before program, 4 years) to graduates from 2012–2013 (after program, 2 years). Enrollments for biology majors and minors are plotted for non-URM (blue) and URM (red) subsets of biology majors and minors compared with all graduates of the college (green). Enrollments for biology majors and minors overall are higher than the college average in both before-program (p < 0.0001, chi-square test) and after-program (p < 0.001, chi-square test) cohorts, while the results for non-URM and URM subsets of biology majors and minors are not different (before: bio non-URM N = 185, bio URM N = 20, college N = 1433; after: bio non-URM N = 91, bio URM N = 21, college N = 743).
We also expected that participation in SGMs would improve students’ grades. The data revealed no correlation between attendance and grades in Biology 1 (Fall) and a weak but significant correlation in Biology 2 (Spring), including a small effect for the subset of less-prepared (non-AP) students. It is likely that positive effects of attendance on performance were masked by deliberate recruitment of low-performing students to SGMs in Biology 1 (Fall), in which average grades are significantly lower than in Biology 2 (Spring). Another complicating factor is that most students in Biology 1 were first-semester freshmen taking the course credit/no credit, which likely affects motivation and effort for at least a subset of students. In contrast, Biology 2 students were both more experienced and were usually taking the course for a letter grade.

Is Program Implementation Associated with Improved Persistence in Biology and Other Natural Sciences?

The persistence of URM students in studying biology and other STEM disciplines improved significantly after implementation of the SGM program. Mean SAT Math scores of the URM groups did not differ between the before- and after-program cohorts, yet for the after-program cohorts (those who enrolled in Biology 1 or 2 as first-year students in 2008–2012), the same percentages of URM and non-URM students graduated within 4 years with degrees in biology-related or other STEM disciplines (Figure 3). This finding is consistent with expectations based on theories that lecture courses can marginalize students from underrepresented groups and that this effect is potentially ameliorated by opportunities for guided participation with diverse peers (Lee and Fradd, 1998; Price, 2010). While other studies highlight the importance of first-year academic support programs by demonstrating that STEM attrition rates are highest among freshmen (Kramer, 2005), few if any previous studies have documented a relationship between PLTL and graduation with STEM degrees, an objective measure of long-term outcomes.

In light of the equal rates of persistence in URM and non-URM populations of introductory biology students since SGM program implementation, it is interesting to note that mean GPAs in biology and other NSE courses continued to be significantly lower for URM biology majors and minors compared with majority counterparts (Figure 5). This seems to contradict implications of other studies that persistence is closely associated with performance (Haak et al., 2011). Our results suggest that significantly improved performance as measured by science GPA may not be necessary to improve the persistence of students from underrepresented groups. It is possible that SGM program participation can positively impact persistence by promoting changes in student attitudes about biology, their scientific identities, or their sense of connectedness with people in the department. Our survey results are consistent with this idea, as most students believed that SGMs improved their understanding and confidence in studying biology. Although we did not assess attitudinal factors like science identity and self-efficacy in this study, a consistent and essential feature of the program design is to promote inclusiveness by recruiting and training a diverse group of peer SAs who work to facilitate productive interactions among all students at the SGMs.

Another goal of the SGM program is to improve the persistence of those who enter the college without strong high school backgrounds in biology. We used the criterion of “reported AP Biology score” as a proxy for prior experience in biology, based on evidence that students with AP experience are better prepared than students without AP experience for the first year of college biology (Scott et al., 2010). The larger non-AP group (who had not reported AP Biology scores) was a far more heterogeneous population in terms of preparation. They were more likely than the AP group to perceive positive benefits of SGMs, and their grades in Biology 2 were positively correlated with SGM attendance. Although they graduated with biology and other STEM degrees at significantly lower rates than their better-prepared (AP) counterparts, it may not be reasonable to expect equal rates of persistence in the AP and non-AP groups. There are likely to be many differences between them, including interest in and commitment to pursuing STEM degrees (Morgan et al., 2013).

Limitations

The SGM program was implemented in parallel with other institutional changes that are likely to have impacted student persistence in STEM disciplines. Some high-impact practices, such as writing-intensive courses, have been constant features of the curriculum over the time periods assessed. In contrast, first-year seminars were introduced to the curriculum in 2004. College-wide, more than 80% of students in the after-program cohort years enrolled in first-year seminars, while most students in the before-program group did not. Also, funding for on-campus summer research opportunities in the NSE division increased by 40% in the same interval. Among graduates with NSE majors between 2001 and 2007, 22% of URM and 38% of non-URM groups received summer research fellowships. More recently, among NSE majors graduating between 2008 and 2014, summer fellowships were awarded to 41% of URM and 44% of non-URM students. Importantly, the overall campus climate of inclusiveness has improved, as the student body became increasingly more diverse (increasing from 16% in the before-program cohort to 20% in the after-program cohort), more faculty of color were hired in the NSE division, and faculty generally became more aware of the importance of mentoring and supporting students from diverse backgrounds.

By recruiting diverse students to be SAs, the SGM program has contributed to a more-inclusive climate in the biology department in a way that is especially salient for first-year students. Self-efficacy (Bandura, 1977) is integral to the formation of scientific identities (Trujillo and Tanner, 2014) and provides a speculative mechanism by which our program may have influenced persistence in biology and other STEM majors. Self-efficacy was not assessed in this study, however, and student attitudes were assessed only in limited ways in the after-program cohorts through faculty-authored items on student surveys.

Implications for Future Research

While the aforementioned institutional changes are likely to have positively influenced the persistence of URM students in STEM disciplines, recent analyses of persistence in other NSE departments would argue that the institutional changes are not sufficient to explain the outcomes in biology. While the persistence of URM students who take introductory biology in their first year has improved to the same level as that of majority students, that has not been uniformly true across other NSE
departments in the college, including some that have implemented parts of biology’s PLTL program. It will be important for the college to assess and compare features of these programs in the future to identify aspects that are most effective in supporting diverse students. The continued development and analysis of SGMs and these other initiatives may allow further insights into the most important effectors of both persistence and success in biology and other STEM fields.

The promising finding of a slight correlation between SGM attendance and persistence in biology for the less-prepared (non-AP) group might be related to different degrees of interest and commitment in this population. To implement and evaluate academic and social support structures that effectively improve the success for those with weaker backgrounds who aspire to major in biology, it will be important to develop better criteria for defining that subset of entering students and to include metrics like test scores and assessments of affect and self-efficacy.

It also will be important to better characterize the possible relationship between self-efficacy and persistence. To enable comparisons across programs, departments, and institutions, future research should use established metrics for self-efficacy in STEM courses and career paths (Fencl and Scheel, 2005; Lent and Brown, 2006; Sawtelle et al., 2012).

We have begun to use these metrics to analyze initial outcomes of recently implemented academic support programs. These include the new Swarthmore Summer Scholars Program for URM and first-generation college students with an early interest in STEM and our Fall and Spring semester Biology Scholars and Math Scholars Programs, half-credit supplemental instruction courses taken concurrently with introductory biology and math.

Implications for Teaching

Because PLTL programs are supplemental to lectures, it is essential that curriculum designers and instructors remain cognizant of the goal of broadening access to STEM content for students from underrepresented groups. This implies that lectures should not simply relay decontextualized scientific minutiae, a strategy described as marginalizing for URM students (Johnson, 2007). URM students begin to associate white race with scientist identity as early as elementary school, getting most of this image association from school and school-provided materials such as textbooks (Walls, 2012). Rather, bridging gaps students perceive between their nascent identities as scientists and their more established identities (e.g. racial, generational) requires 1) explicitly communicating all minutiae in socially relevant contexts, 2) showing images of diverse successful members of the scientific community, and 3) developing sensitivities for how scientific information is presented to avoid microaggression (Tanner and Allen, 2007).

We speculate our SGM program helped facilitate the formation of students’ scientific identities and cognate self-efficacy beliefs by creating instructional congruence, especially through peer modeling and peer-facilitated active, collaborative work.

Features of our SGM program we recommend include 1) selection of a diverse group of peer facilitators who have excellent interpersonal skills and characteristics, such as communication and compassion, and who model enthusiasm and self-efficacy in science; 2) training facilitators in pedagogical principles and practices, including sociocultural methods; 3) providing faculty-generated question sets suitable for group discussion and problem solving; and 4) providing space and time outside the classroom for regularly scheduled workshops.

We are hopeful that PLTL programs and other progressive efforts at academic support, based on established educational principles and emerging evidence, will help make STEM education more inviting and inclusive for students of diverse backgrounds.

ACKNOWLEDGMENTS

Initial funding for the SGM program was provided by HHMI grants (52005202 and 52006315) to Swarthmore College. We thank E. Nichols and P. Borkowski-Valentin for crucial assistance with data analysis; M. Powell for help with figures; and A. Vollmer, B. Davidson, V. Formica, S. Hiebert Burch, N. Kaplinksy, and R. Merz for sharing their challenge questions. We are grateful to C. Hungerford and T. Stephenson and all faculty and laboratory instructors in the Swarthmore College Biology Department for their consistent support for the development, implementation, and assessment of the SGM program.

REFERENCES

Peer-Led Team Learning in Intro Biology


Preview Assessment: BIOL 002 2012 Student Course Evaluation

Name
BIOL 002 2012 Student Course Evaluation

Instructions
This survey is not mandatory. However, we greatly appreciate your participation and we make changes in the course based on the answers we receive here. Please fill out the questions below and click Submit when you are finished. We expect this survey to take less than half-an hour, although this will vary between individuals. Please take your time in reading questions and choices carefully before answering. We want to make sure your point of view is accurately reflected in your responses.

Multiple Attempts
Not allowed. This Survey can only be taken once.

Force Completion
This Survey can be saved and resumed later.

Question Completion Status:

Question 1
Please enter your student ID number here

Save

Question 2
Please indicate your lab section:

1. Monday:
2. Tuesday AM:
3. Tuesday PM:
4. Wednesday:
5. Thursday:

Save

Question 3
What year are you?

- Freshman
- Sophomore
- Junior
- Senior

Save

Question 4
What major do you currently intend to pursue at Swarthmore? Please limit your choices to one or two of the following.

- Undecided
- Art, Music & Dance, Theater, or Film & Media Studies
- Asian Studies, Black Studies, Latin American Studies, or Women's Studies
- Biology or related special major (Biochemistry, Environmental Science, Psychobiology, etc.)
- Chemistry
- Classics
- Computer Science
- Comparative Literature, English Literature, or Interpretation Theory

Save
Question 5

Lecture Evaluation: Please comment on the effectiveness of the following lecturers (consider content, pace, lecturing style, etc.):

Rachel Merz

Question 6

Jason Downs
Question 8

Path: body

Question 9

Please comment on the effectiveness of instruction in your laboratory section and your interactions with the teaching staff in your section:
Question 10

Please evaluate the following activities by rating the GAIN you received by doing them.

- Attending formal lectures
  1. I got nothing from this
  2. I gained very little from this
  3. This somewhat enhanced my learning of biology
  4. This enhanced my learning of biology
  5. This greatly enhanced my learning of biology
  6. (I did not do this activity)

- Reading assignments/ textbook
- Using the Pechenik book
- Using the Bates Writing Website
- Preparing for quizzes
- Taking quizzes
- Reviewing quiz keys
- Writing laboratory reports
- Working on challenge questions
- Attending Science Associates' (SAs') Study Group Meetings (SGMs)
- Individual meetings with writing associates (WAs)
- Evening laboratory teaching assistant data analysis sessions in the Bio 2 lab (Lab TADA)
- Working with lecturers or lab instructors in individual meetings (e.g. office hours)
- Working individually with a tutor through the Dean's office

Question 11

Please evaluate the following activities by rating the PAIN you associate with them:

- Attending formal lectures
  1. This caused me no pain at all
  2. This caused me a little pain
  3. This was somewhat painful for me
  4. This was painful for me
  5. This caused me horrible miserable agony
  6. (I did not do this activity)

- Reading assignments/ textbook
- Using the Pechenik book
- Using the Bates Writing Website
- Preparing for quizzes
- Taking quizzes
- Reviewing quiz keys
- Writing laboratory reports
- Working on challenge questions
- Attending Science Associates' (SAs') Study Group Meetings (SGMs)
- Individual meetings with writing associates (WAs)
- Evening laboratory teaching assistant data analysis sessions in the Bio 2 lab (Lab TADA)
- Working with lecturers or lab instructors in individual meetings (e.g. office hours)
- Working individually with a tutor through the Dean's office

Question 12

Please feel free to comment about any of these course activities

Question 13

Please evaluate the GAIN you received from the following laboratories:

- Statistics
- Anemones & Symbiosis
- Mink I & II
- Diving Lab
- Phylogeny, Evolution & Dinosaurs
- Are Plants Really Green?
- Photosynthesis I & II
- Tour of Longwood

1. I got nothing from this laboratory
2. I gained very little from this laboratory
3. This laboratory somewhat enhanced my learning of biology
4. This laboratory enhanced my learning of biology
5. This laboratory greatly enhanced my learning of biology
Question 14

Please rate the PAIN you experienced associated with the following laboratories:

- Statistics
- Anemones & Symbiosis
- Mink Lab I & II
- Diving Lab
- Phylogeny, Evolution & Dinosaurs
- Are Plants Really Green?
- Photosynthesis I & II
- Tour of Longwood
- Walk in the Woods
- Writing Consultations I & II

1. This laboratory caused me no pain at all
2. This laboratory caused me a little pain
3. This laboratory was somewhat painful for me
4. This laboratory was painful for me
5. This laboratory caused me horrible miserable agony

Question 15

We have devoted laboratory time to meeting with students one-on-one to discuss writing. Please give us feedback on your experience and suggestions of how to make it more effective.

Path: body

Question 16

Please feel free to make comments about any of the laboratories
Question 17
Please comment on the feedback you received on graded assignments (quizzes and papers). Do you understand why you got the grade you did and how to improve?

Question 18
Did you attend Science Associates' Study Group Meetings this semester? If you answer yes, please proceed to the next question and continue until you complete the survey. If you answer no, please proceed to question 26 and continue until you complete the survey.
- Yes
- No

Question 19
If you attended Science Associates' (SAs') Study Group Meetings (SGMs), how often did you attend on average?
- Several times a week
- Once a week
- Once every 2 weeks
- Once every 3 weeks
- Once a month
- Only a few times this semester

Question 20
If you attended SGMs, please indicate whether you agree with the following statement:
Going to Science Associates' Study Group Meetings (SGMs) was helpful for me in BIOL 002.

- I strongly disagree
- I disagree somewhat
- I agree somewhat
- I strongly agree

Question 21
If you attended SGMs, what features were most helpful to you?

Question 22
If you attended SGMs, what improvements could you suggest?

Question 23
If you attended, how valuable did you find SGMs for each of the following?

- Working on Challenge Questions
  1. Not valuable at all
  2. A little valuable
  3. Somewhat valuable
  4. Valuable
- Synthesizing connections and learning course concepts
Preparing for quizzes  
Getting help from Science Associates  
Interacting socially with Science Associates  
Getting help from other BIOL 002 students  
Giving help to other BIOL 002 students  
Interacting socially with other BIOL 002 students

Question 24
If you attended SGMs, please answer the following: Beyond what you gained from lectures and labs, how valuable were SGMs specifically for enhancing each of the following:

- My interest in biology
- My self-confidence in understanding biology
- My ability to think scientifically
- My conceptual understanding of cellular & molecular biology
- My enjoyment of BIOL 002
- My ability to solve scientific problems
- My ability to articulate solutions to scientific problems
- My comfort level in taking biology courses
- My interest in further learning in biology

1. Going to SGMs did not help this at all
2. Going to SGMs helped this very little
3. Going to SGMs somewhat helped this
4. Going to SGMs helped this a lot

Question 25
If you attended SGMs, do you have anything else to add that would help us in our thinking about practices and policies of Science Associates' Study Group Meetings? If so, please explain.

Question 26
If you have never or only rarely attended Science Associates' Study Group Meetings (SGMs), why? (Check all that apply)
I felt I already had a good grasp of the course material
I felt I needed to devote greater energy and attention to my other courses
I had a scheduling conflict with the timing of the SGMs
I already have other classmates and friends with whom to study biology, outside of SGMs
I prefer to study alone
I prefer meeting directly with my biology instructors/professors for help
I attended at least once and found SGMs unhelpful
I attended at least once and found SGMs intimidating
I attended at least once and found SGMs took too much of my time
I attended at least once and found SGMs too noisy
I attended at least once and found too few Science Associates were available
I attended at least once and found Science Associates unhelpful
Other (please describe in the box provided below)

Question 27

If you had another reason for not attending SGMs, please describe it here

Question 28

How often did you rely on each of the following methods to study for BIOL 002 quizzes and exams?

- Studying independently on my own
- Studying informally with friends (not including SGMs)
- Studying with BIOL 002 class or lab instructors (e.g. in office hours)
- Studying in Science Associates’ Study Group Meetings

Question 29

On average, how many hours a week do you spend on your Bio 2 course (not including time spent in class or lab)?

- 1 to 3 hours
- 3+ to 6 hours
Question 30

On average, is this more or less than for other courses you've taken at Swarthmore?

- Bio took twice as much or more time compared to most other courses
- Bio took somewhat more time compared to most other courses
- Bio took about the same time compared to most other courses
- Bio took somewhat less time compared to most other courses
- Bio took half as much or less time compared to most other courses

Question 31

We tried to provide you with numerous resources to facilitate writing in this course (Pechenik, scientific articles, WA-process, Bates Writing Website, meeting one on one with instructors, etc.). Which ones were most helpful?

Question 32

What major do you currently intend to pursue at Swarthmore. Please limit your choices to one or two of the following:

- Undecided
- Art, Music & Dance, Theater, or Film & Media Studies
- Asian Studies, Black Studies, Latin American Studies, or Women's Studies
- Biology or related special major (Biochemistry, Environmental Science, Psychobiology, etc.)
- Chemistry
- Classics
- Computer Science
- Comparative Literature, English Literature, or Interpretation Theory
- Economics
- Engineering
- History or Medieval Studies
- Linguistics or Cognitive Science
Mathematics & Statistics
Modern Languages & Literatures, Francophone, or German Studies
Philosophy or Religion
Physics & Astronomy
Political Science, Public Policy, or Peace & Conflict Studies
Psychology
Sociology & Anthropology

Question 33
How confident are you in your ability to comprehend and articulate concepts in biology?

1 - No confidence whatsoever
2
3
4
5 - Fully confident

Question 34
Indicate your level of agreement with the following statement:
Having taken Bio 2 has increased my confidence in my ability to form arguments (describe evidence, etc.) in a debate with a skeptic about global warming.

1 - Strongly disagree
2
3
4
5 - Strongly agree

Question 35
Indicate your level of agreement with the following statement:
Having taken Bio 2 has increased my confidence in my ability to explain the evidence for global warming to an interested peer.

1 - Strongly disagree
2
3
4
5 - Strongly agree

Question 36
Please indicate your level of agreement with the following statements:

- Biology is interesting
- Biology is applicable to the real world outside of school (for example in health or political decision making)
- I plan to take more biology courses after BIOL 002

1. I strongly disagree
2. I disagree
3. I'm not sure
4. I agree
5. I strongly agree

Question 37
Did BIOL 002 excite you and encourage you to delve deeper into biology?

- Yes
- No

**Question 38**
If you replied "yes" to the previous question, please describe how you expect to delve deeper into biology.

**Question 39**
Please tell us one thing that we should keep the same about BIOL 002.

**Question 40**
Please tell us the most important thing to change about BIOL 002.
Question 41

The following space is available for you to write any additional comments about BIOL 002 before you exit the survey. We greatly appreciate your participation in BIOL 002 and in this survey.
**Peer-Led Team Learning in Introductory Biology**

**SUPPLEMENTAL MATERIALS**

**Supplement 1.  
Challenge Questions for Introductory Biology**

What would the effect of a H⁺ ionophore (a molecule which creates H⁺ channels in membranes) be on photosynthesis? On respiration? Be specific.

What are the structural and functional similarities and differences of mitochondria and chloroplasts?

Review all of the monomers that living organisms (including plants) are built from. Compare their elemental composition to that of the compounds that plants can produce from air, water, and sunlight. Now figure out what the major nutrients in plant fertilizer must be (i.e. what do plants have to absorb from the soil in order to grow that they cannot make for themselves?).

When Rubisco evolved, why was there no selective pressure for it to evolve as a carboxylase without any oxygenase activity? What problem does this create for plants?

What effects will the increasing global CO₂ levels which are forcing global temperature increases have on the photosynthetic efficiency of C₃ plants? of C₄ plants? How might these changes affect competition between a C₃ and a C₄ plant?

You are the first scientist to determine the DNA sequence of a newly discovered organism. How would you go about identifying the promoters and other regulatory DNA sequences in your new genome?

Is it possible to select for quantitative traits without using genotyping information (molecular markers)? If so, why do modern breeding programs universally use genotype information?

Why go through the hassle of marker assisted backcrossing when you can use genetic engineering to move genes directly from one organism to another? There are both policy and scientific answers to this question.

A phenotype can be used to infer the molecular function of the gene that causes the phenotype when mutated (the causal gene). The green pea mutant phenotype suggests that the mutated gene has a function in chlorophyll break down. Predict the molecular functions of the other six genes whose phenotypes Mendel studied.

If you wanted to double the amount of milk produced by a population of dairy cows through artificial selection (controlled breeding) what pieces of information would you need, and how would you go about getting those data?

Can an individual evolve? Can populations evolve? Can a species evolve? Can we say that the entire group we call Chondrichthyes evolve? What about the cells in the hand of a chimp, can they evolve? Be sure to justify your answer for each.

Why does the definition of evolution contain the word “heritable” for properties that could evolve? Can only traits that are heritable evolve? If so, why?
Often students will make a mistake and say something like this: “In a system with strong sexual selection, females mate with the males because they are the most fit (i.e. those males have the highest fitness).” What is wrong with this phrase? How could you correct this statement to be correct?

Can sexual selection occur in a species that is strictly monogamous? Why or why not?

Generate a list of any and all possible uses for therapeutic and reproductive cloning. Evaluate the societal implications for two or three reproductive cloning technologies, including ethical implications. For one of these technologies, discuss whether it can or should be regulated.

Find a friend, family member or classmate who is not a biologist. Use a simple analogy to help explain why the cells in their bodies exhibit different functional properties even though they share the same DNA. Write down your explanation. Based on this explanation, discuss why it should be possible to change a cell’s identity by manipulating transcription factor activity or expression.

Imagine that a medical researcher wants to determine what proteins are differentially expressed in three different cell types from an individual patient, red blood cells, white blood cells and epidermal cells. The researcher decides to investigate this question by collecting samples of each cell type from the patient and extracting the cytoplasmic, fully processed messenger RNA from each cell sample. She then uses an enzyme called reverse transcriptase to convert the extracted RNA into complementary strands of DNA (this is called cDNA, http://www.bio.davidson.edu/genomics/method/cDNAproduction.html). She then sequences the cDNA from each sample.

Based on today’s lecture and information you can find about the structure and function of these cell types, list one or more proteins that would be differentially expressed in each of these cell types.

Explain why the researcher’s plan to examine RNA expression will reveal some potential differences in protein expression between these cell types.

What are the limitations of this approach? Why is it that differences in RNA expression may not accurately reflect differences in protein expression or activity?

Would a comparison of genomic DNA have helped her examine differential protein expression in these different cell types?

**TP53** is a key “tumor suppressor” gene. Expression of the p53 protein helps ensure that precancerous cells do not form tumors. One 5’ regulatory element for p53 has been shown to play an important role in activating p53 expression. Based on this information, assess the likelihood that each of the following mutations would promote the formation of a cancerous tumor.

- 5 base pair insertion in the regulatory element
- 5 base pair insertion in the promoter
- 5 base pair insertion in an exon
- 5 base pair insertion in an intron

Write two stories involving human communication. Use these stories to illustrate all of the aspects of signal transduction listed in Figure 16-13 including - signal, receptor, relay,
amplify, transduce and effect. For one story the response should be analogous to altered gene expression. In the other story, the response should be analogous to altered cell shape or movement.

Evolutionary biologists have posited that non-coding mutations play a predominant role in evolution, as opposed to mutations in coding regions. Use material from lecture to justify this viewpoint.

The hemoglobin-oxygen dissociation curves we have drawn in class represent a large pool of molecules. Draw a dissociation curve for a single molecule of hemoglobin that displays the property of cooperative binding. Draw a second curve on the same axes, this time for a single molecule of hemoglobin that does not show cooperative binding.

Would the structure of a protein be different if it were in pure oil rather than in water? Why? In what way would it be different? What would the structure of the cell membrane be like if it were in pure oil rather than in water?

Explain how anhydrobiosis could result in higher-than-usual amounts of oxidative damage.

A tardigrade tun has no measurable metabolic rate (we cannot detect any cellular respiration). Is it alive?

Some bony fish spend part of their lives in salt water and part in freshwater (e.g., salmon). In which environment will a salmon’s osmoregulatory costs be higher? Include Fick’s law in your answer, and support your answer quantitatively (i.e., how many times higher is the osmoregulatory cost in one of these environments than the other?).

What are the advantages and disadvantages of being an osmoconformer?

The mummichog possesses the physiological ability to osmoregulate in a range of environmental water concentrations, but it often swims to locations in the estuary where the water has a similar osmotic concentration to its own body fluids. Based on this information, which would you guess is more energetically costly, swimming to different locations in the estuary or physiological osmoregulation (i.e., fueling the kidneys and ion pumps in the gills)?

As a member of the interstellar space fleet, your assignment is to look for examples of different kinds of reproduction on a new planet. Where (under what circumstances) would you look for the following kinds of reproduction: internal and external fertilization, hermaphroditism, asexual reproduction? Be prepared to explain your reasoning.

Some evolutionary biologists argue that parasitism is one of the factors that enforces the advantage of sexual reproduction. First explain why (in an evolutionary context) sexual reproduction would be at a disadvantage (compared to asexual reproduction) and then make an argument for why parasites, in particular, would be an important selection force that favors sexual reproduction. What other circumstances favor sexual reproduction and are they common? What does all this have to do with the Red Queen Hypothesis?

If sexual reproduction is so important to the success of eukaryotic organisms, how do prokaryotes survive without it?
Parthenogenesis has recently been reported in female Komodo Dragons that have been isolated in zoos away from any males for years. Sex determination in these big lizards is based on a ZW scheme (as opposed to our X and Y). Female Dragons are ZW, males are ZZ and WW individuals do not survive. All the living offspring from these isolated females are male. Propose a mechanism for these results. Komodo dragons are island species where an individual may find herself isolated from the rest of the population. How would this version of parthenogenesis help her lineage survive? A detrimental outcome of inbreeding is the “uncovering” of lethal recessive alleles – why is that not typically happening to these parthenogenetic Komodo Dragons?

What would happen if all bonds were covalent? What kinds of things could not happen and how would that change cells and their functions?

What kinds of external forces/conditions might disrupt ionic bonds? hydrogen bonds?

Water is polar. Methane is not polar – its formula is CH₄ and has the shape:

![Methane structure](image)

It has been found on Titan, one of Saturn’s moons that there are lakes of liquid methane. So when methane is the major solvent, and not water, if some sort of cellular-based life form were to evolve on that planet, what are two major differences between those cells and the cells found on earth.

Proteins are quite flexible. While we do not know all of the rules of folding, we have a good handle on some of them. Write three rules that might be part of the ‘instructions’ for how to fold a protein in an aqueous (watery) solvent and explain why. How would these instructions change if the protein were to be embedded in a lipid membrane?

Polyplody is much more common in plants than in animals. What are at least two different reasons this is true?

Allopatric speciation is a very rare event. It requires that a fraction of the original population be separated and remain that way until that sub-population has developed reproductive isolation. Diagram a theoretical population from separation to speciation showing the change in genetic diversity in the group over time. Where does Natural Selection come into play? For a group that has been separated from the parent population what are other possible outcomes other than speciation? What is the most common? What criteria are used to recognize when speciation has happened?

How are endangered species like the isolated populations at the beginning of allopatric speciation?

Explain why planktic species have lower speciation rates.

If planktic species generally exist longer (have greater species longevity), why do we have any non-planktic species? (hint: One way to think of this is under what conditions do non-planktic species have an advantage over planktic species.) (This is a good complicated question!)
Oak trees (*Quercus*) produce the relatively large seeds we know as acorns. In contrast, poplar trees, aspen and cottonwoods (all genus *Populus*) produce small seeds that are dispersed by wind. *Quercus* has at least 126 species, while *Populus* has only 21.

Considering the work of Thor Hansen on the patterns of speciation and extinction of snails, is the speciation pattern in these trees surprising? Why or why not?

In which group (oaks or poplars) do you expect to find higher extinction rates?

Give two reasons why the group you named would be more vulnerable to extinction.

When a biologist says, “DNA is made into RNA,” what does she really mean?

Transcription factors bind to DNA in specific locations based on the nucleotide sequence (ATTA but not ATTG for example). Explain how this is possible, given that the unique portion of the nucleotides (the bases) are facing towards the interior of the DNA double helix and the bases are hydrogen bonded to one another.

How may the presence of introns contributed to the evolution of new protein sequences and functions? Can you think of an analogy that I could use in the future to describe this to students?

Why are some genes more highly expressed than others? Is a particular gene always expressed at the same level?

How might some cell types splice out different introns than other cell types?

The processes of transcription and translation can be divided into initiation, elongation and termination. Compare and contrast how these steps happen for these processes. What are the enzymes and monomer subunits involved? What are the signals sensed by these enzymes for initiation and termination? Where are the signals found?
Supplement 2.
Pedagogical Principles & Practices Proseminar
Fall Syllabus
Th 5:30-6:30pm

Course Description

BIOL 000-SA Pedagogical Principles & Practices Proseminar introduces Science Associates (SAs) to established theoretical frameworks in science education, their methodological implications and opportunities for application through a teaching practicum. Expectations include assigned readings and reflective writing. This is a non-credit course co-requisite with employment requiring attendance at Study Group Meetings (teaching practicum), introductory biology lectures (BIOL 001 Cellular & Molecular Biology in the current semester) and content meetings with lecturers.

Proseminar Readings and Assignments

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<tr>
<th>Date</th>
<th>Topic</th>
<th>Readings and Other Assignments</th>
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<td>September 1st</td>
<td>Orientation</td>
<td>Biology Biographies -due prior to class</td>
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<tr>
<td>October 6th</td>
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<td>October 13th</td>
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<td>November 10th</td>
<td>Discuss SA Essays</td>
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<td>Buzzword Bingo Brainstorming</td>
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<td>December 1st</td>
<td>Buzzword Bingo Rehearsal</td>
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SA Essays–final draft due by end of final exams
Science Associates’ Roles

Science Associates’ roles include colleague, researcher, recruiter, scholar and teacher. Features of these roles are explained here and in more depth during orientation.

How can I be a good colleague?
• Be prompt and prepared for all classes and meetings.
• Be positive to enrolled students about BIOL 001 content and colleagues.
• Support fellow SAs e.g. clarifying concepts, shifting shifts.
• Support lecturers e.g. feedback on lectures and student comprehension.

How can I be a good researcher?
• Keep an accurate attendance sheet at SGMs.
• Note and share pedagogical ideas and experiences in proseminar.
  o What instructional methods worked well or woefully at SGMs?
  o How might fellow SAs apply this or other information in upcoming SGMs?
  o Which groups or individuals were struggling or strong at SGMs and why?
  o Discuss how you did, might’ve or might facilitate these students’ learning.

How can I be a good recruiter?
• General: Advertise and advocate SGMs -spread the word! :^)
• Struggling students: Be subtle. Information about student performance is strictly confidential.

How can I be a good scholar?
• Take time and notes as you read and reflect on assigned readings.
• Come to seminar prepared to participate in discussions.
• Be reflective in your writing and prepared to discuss your work.

How can I be a good teacher?
• Successful teaching is context-dependent, but drawing on established pedagogical principles & practices such as those we will discuss in our proseminar can help.

Proseminar Topics

This course is designed to familiarize you with established principles and practices in science education to inform your teaching in SGMs or other contexts. I have organized these for you as a nested set of five lenses on learning, from least to most holistic as described at the end of this section. We will discuss these and tools for teaching associated with each lens in our weekly meetings. Each lens is an amalgam of one or more established learning theories, which share common or commensurable epistemologies and units of analysis. In our weekly meetings, we will discuss selected articles or excerpts representing each lens.

Stepwise, we may increase or decrease the magnification on a metaphorical microscope to reveal emergent properties at each level from in-the-head cognition to distributed communities. As is true for literal microscopes, stronger lenses illuminate more detail, but at the expense of context (and the reciprocal is also true.) Thus, the ability to flexibly shift between different lenses as warranted by the situation and goal at hand is a powerful one. Moreover, these lenses on learning
are not mutually exclusive. Boundaries between perspectives are pragmatic, rather than rigid so one or more lenses may apply in a given situation for a given goal.

Like physicians, educators are a kind of clinician. Physicians are practical in their use of biological research findings. They draw from findings at the level of the molecule, cell, organism and inter-organismal interaction as needed to treat her or his patient. Rather than drawing from biological research findings, Science Associates and other teachers can draw from educational research findings to diagnose problems and design and apply appropriate interventions for the students in our care. Thus, I encourage you to combine or toggle between these theoretical lenses as needed for students’ situation-specific needs.

In proseminar, we will avail ourselves of our common experience in BIOL 001 lectures as a context in which to discuss these perspectives on teaching and learning. As you listen to each lecture, note how the lecturer utilizes teaching tools warranted by respective lenses on learning. Come to proseminar prepared to discuss these observations and implications for your and fellow SAs’ teaching in SGMs. What was especially effective? What might be missing from the lecture that warrants emphasis in SGMs? (To address our roles as good colleagues, we will share these observations with the lecturer.)

In preparing to discuss each assigned reading, consider the following questions:
- What epistemologies, values and methods do the author(s) advocate or intimate?
- How might this selection (or the lens it represents) inform your practice in SGMs?
- What is missing from this selection? How might other principles or practices supplement the author(s) recommendations or implications?

Lenses on Learning

The following are brief introductory summaries of each lens. We will discuss each in more detail in proseminar, including a published article or excerpt representing each lens and implications or explications for associated pedagogical practices.

**Personal Constructivist/ Cognitive Lens**

This lens amalgamates perspectives, which view learning as in-the-head information processing or conceptual ecology. It focuses on cognitive features of expertise including hierarchical organization, assimilation and retrieval of information, pattern recognition, and patterned response to cues.

**Aesthetic Lens**

Aesthetics as a coherent lens on learning is still somewhat boutique relative to others introduced in this course, but is gaining traction among science education theorists, researchers and practitioners. This perspective is inclusive of Deweyan aesthetics, narrative theories and other perspectives that focus on present affective, motivational qualities of educative experiences.

**Collaborative Learning/ Social Constructivist Lens:**

Interpersonal interaction is the hallmark of social constructivist depictions of learning and teaching such as cognitive apprenticeship and is described by constructs such as the Zone of Proximal Development. The most popular of these perspectives trace their origin to Lev
Vygotsky, whose work is seminal in higher order sociocultural perspectives that view learning as participation in practices of distributed communities and not merely through direct interlocution. However, collaborative learning benefits, mechanisms and methods are often abstracted from broader sociocultural descriptors in literature. The unique implications of learning at the direct interpersonal level create space for a description of this lens abstracted from the higher order perspectives that follow.

**Scientific Community Lens**

This lens represents common perspectives of professional scientists and focuses on educating students in correct lexical and methodological conventions grounded in the ontological and epistemic foundations of science and the self-reflective history and philosophy of the distributed scientific community. Conventions include canonical descriptions of natural phenomena, experimental and observational inquiry methods, standards of evidence and argument, and standpoints such as probabilistic and critical thinking.

**Ecosocial Lens**

An amalgam of sociocultural perspectives including situated cognition, critical theory and identity formation, this lens views learning as testing a new identity and its potential commensurability with a student’s extant and desired identities through engagement in a community’s practices as a peripheral member in an emergent situation. To adopt a biological identity is to adopt biological habits of perception, action and valuing. Rather than focusing exclusively on minutiae, a central question for this lens is if/how we can facilitate this adoption for students in ways that are commensurable with values and other habits associated with their established and desired memberships e.g. in religious, ethnic, gendered, familial and peer communities.

**Reflective Writing Assignments**

**Biology Biographies** – due in advance of the first class

Biology Biographies are 2-page essays to be posted on Moodle to introduce you and your SGMs to the introductory biology students as approachable, helpful and fun. Please write according to the following guidelines: The tone of your text should be informal and friendly. Be sure to include (a) some of your biology-related and (b) non-biology related interests and experiences, (c) your speculative future academic and professional goals and (d) advice about how to be successful in introductory biology e.g. attendance at lectures and SGMs, techniques for note taking and studying, etc. Embed 2 or 3 pictures of yourself in the text as follows: (1st) posed with face recognizable, (2nd) doing a biology-related activity and (optional 3rd) doing a biology or non-biology-related activity.

**SA Essays** - first draft due Th Nov 10th via electronic submission and a copy for discussion at proseminar - final draft due by the end of final exams.

Reflect on your teaching in light of the assigned readings and class discussions to write a 5-10 page essay according to the following guidelines (roughly 1-2 pages per guideline.)

a. Compare and contrast epistemologies, values and methods advocated or intimated in two or more articles assigned this semester.
b. Describe if/how two or more assigned articles (or the lens each represents) informed your teaching at SGMs this semester.

c. Describe one or more situations in which you felt your teaching at SGMs was especially effective or ineffective and critique this situation using one or more lenses on learning from your orientation handout.

d. Describe if/how participating in BIOL 000-SA Pedagogical Principles & Practices Proseminar influenced your teaching at SGMs (or influenced you in other ways.)

e. Provide recommendations on what is most important to keep and/or change about proseminar content or format for the benefit of future Science Associates.