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Michael R. Brown  
*Swarthmore College, doc@swarthmore.edu*

C. D. Cothran

Matthew J. Landreman, '03

David J. Schlossberg, '01

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Citation: Physics of Plasmas 9, 2077 (2002); doi: 10.1063/1.1458589
View online: http://dx.doi.org/10.1063/1.1458589
View Table of Contents: http://aip.scitation.org/toc/php/9/5
Published by the American Institute of Physics
Energetic particles from three-dimensional magnetic reconnection events in the Swarthmore Spheromak Experiment \(^\text{a}\)

M. R. Brown, \(^b\) C. D. Cothran, M. Landreman, and D. Schlossberg  
Department of Physics and Astronomy, Swarthmore College, Swarthmore, Pennsylvania 19081-1397  
W. H. Matthaeus and G. Qin  
Bartol Research Institute, University of Delaware, Newark, Delaware 19716  
V. S. Lukin and T. Gray  
Princeton Plasma Physics Laboratory, Princeton University, Princeton, New Jersey 08543

(Received 30 October 2001; accepted 11 January 2002)

Measurements are presented from the Swarthmore Spheromak Experiment (SSX) [M. R. Brown, Phys. Plasmas 6, 1717 (1999)] showing a population of superthermal, super-Alfvenic ions with \(\bar{E}\) \(\approx\) 90 eV and \(E_{\text{max}}\) \(\geq\) 200 eV accelerated by reconnection activity in three-dimensional magnetic structures. These energetic ions are temporally and spatially correlated with three-dimensional magnetic reconnection events (measured with a 3D probe array) and are accelerated along the X-line normal to the local 2D plane of reconnection. In a typical SSX discharge, the peak reconnection electromotive force \(E = vBL \approx (10^5 \text{ m/s})(0.05 \text{ T})(0.1 \text{ m}) = 500 \text{ V}\) consistent with our observations. In addition, test particle simulations using magnetohydrodynamic (MHD) data from SSX simulations and run with dimensionless parameters similar to the experiment \((S = 1000, \beta = 0.1)\) show acceleration of ions up to \(2v_A\) in a few Alfven times consistent with the measurement. The process includes two phases—a strong but short duration direct acceleration in the quasi-steady reconnection electric field, and a weaker longer lived sub-diffusive component associated with turbulence. © 2002 American Institute of Physics. [DOI: 10.1063/1.1458589]

I. INTRODUCTION

Magnetic reconnection is the process in which magnetic topology is globally rearranged due to local annihilation of magnetic flux or when magnetic fields cross-link. The paradigm for magnetic reconnection is the merger of two parcels of highly conductive magnetofluid with anti-parallel flux.\(^1\) High conductivity implies that the magnetic field and fluid are coupled (forming a magnetofluid). Magnetic reconnection converts magnetic energy to bulk flow, individual particle acceleration and heat. In two dimensions, the picture reduces to an inflow of magnetofluid, a decoupling of field and fluid by reconnection then flow of fluid across a separatrix (defined as those special field lines that cross at an X-point) (see Fig. 1). By conservation of energy, the outflow velocity across the reconnection plane is limited to the Alfven speed \(v_A\).

In two dimensions, there is an electric field equal to the rate of change of magnetic flux at the X-point \((E = d\phi/dt)\). This electric field (essentially the reconnection rate) can accelerate ions along the X-line. However, since the electric field is in the \(v \times B\) direction, \(E \cdot B = 0\) identically. Ions that remain precisely on the X-line (where \(B = 0\)) can be accelerated appreciably. However relatively few ions will participate in this acceleration unless some additional features such as multiple-X-lines, current sheets or turbulent bubbles\(^2\) trap them near the region of most intense electric field.

In three dimensions, the magnetic field can have a guiding component normal to the plane. Whether it is externally applied or self consistently generated (see below), a guide field can enhance particle acceleration by keeping ions near the intense electric fields in the reconnection region. The electric field is not measured directly in our device, because the flux functions lack sufficient symmetry to compute it from magnetic probe data. However, if reconnection occurs, in the sense of global topology change, then it is axiomatic that an electric field is required along a guide field that threads the reconnection region. In fact, a viable definition of three dimensional reconnection at a point at which \(B\) does not vanish\(^3,4\) is the appearance of an electric field along the guide field. We observe topology change and energetic particles, so one concludes that there is a strong reconnection electric field along the separator. A guide field is also generated along the X-line, implying a locally generated parallel electric field \(E \cdot B \neq 0\).\(^3,6\) Several models of reconnection include mechanisms for generation of out of plane fields.\(^7\)

Magnetic reconnection may play a significant role in the acceleration of charged particles to ultra-relativistic energies on astrophysical scales. The key feature is that the reconnection electric field is of order \(vB\) where \(v\) is a typical magnetohydrodynamic (MHD) flow velocity and \(B\) is a typical magnetic field strength. If magnetic reconnection plays a role in particle acceleration then maximum particle energies should scale as the reconnection electromotive force (EMF)}
\[ \mathcal{E} = \int \mathbf{E} \cdot d\mathbf{l} \equiv v \mathbf{B} L \] where \( L \) is a characteristic length of the system along the electric field.\(^8\)

We report a measurement of ions accelerated along the X-line coincident with the formation of three-dimensional magnetic reconnection structures. Ion detectors are spatially aligned with the 3D structure and detection is temporally correlated with the magnets. We have clear evidence of a component of the magnetic field \( \mathbf{B} \) along the X-line (i.e., in the third dimension, normal to the classical X-point). In the terminology of Hesse and Schindler,\(^4\) this corresponds to fully three-dimensional “finite B” reconnection. We have also mapped out the energy distribution of the ions and find it to be super-thermal and super-Alfvénic. Measurements agree with an accelerated ion distribution characterized by a drift energy of about 90 eV and thermalized to 30 eV with \( E_{\text{max}} \approx 200 \) eV. In addition, test particle simulations using MHD data from SSX simulations and run with dimensionless parameters similar to the experiment (\( S = 1000, \beta = 0.1 \)) show acceleration of ions up to 2\( v_A^e \) in a few Alfvén times.

In Sec. II, we provide astrophysical motivation for this work and review recent related experiments. In Sec. III, the Swarthmore Spheromak Experiment is described and experimental results are reported. In Sec. IV, results of numerical simulations of reconnection and particle acceleration in SSX are reported. Finally, in Sec. V future directions are discussed.

II. ASTROPHYSICAL MOTIVATION AND PRIOR EXPERIMENTS

There is a connection between complex magnetic structure and energetics. Simple potential fields \( \nabla \times \mathbf{B} = 0 \) and force-free fields \( \nabla \times \mathbf{B} = \lambda \mathbf{B} \) are in a minimum energy state and have no excess energy to give to particles. Measured away from the reconnection zone, the reconnection electric field is \( \mathbf{E} = - \mathbf{v} \times \mathbf{B} \). Near the center of the reconnection zone some nonideal effect (resistivity, Hall effect, electron pressure, etc.) supports an electric field of about the same magnitude. But unless the geometry is nontrivial, e.g., if there is a component of \( \mathbf{B} \) along \( \mathbf{E} \) (\( \mathbf{E} \cdot \mathbf{B} \neq 0 \)) it is difficult for the electric field to impart energy to the particles,\(^3\) because particles escape easily from a simple X-point configuration centered about a null point.
action (about the spheromak minor radius $a = 0.13$ m). The segmented boundary also removes axisymmetry, making the experiment fully and inherently three-dimensional.

Early in the discharge we are able to study driven reconnection as the still forming spheromaks merge at the midplane close to the Alfvén speed.$^{19}$ Later in the discharge, the two spheromaks separately relax to nearly force-free equilibria$^{30}$ so that we can study spontaneous reconnection along the interface between them. Energetic particles and soft x-rays traverse the field-free, high vacuum gap between flux conservers to various detectors on the midplane. Special ports have been designed to view the reconnection region through a small solid angle along the X-line so we have good spatial localization of the reconnection region. Diagnostic attention can be focussed on those regions where reconnection is known to occur.

Large scale features of SSX plasmas are in the magnetohydrodynamic (MHD) regime ($S >> 1$, $l_i/L \ll 1$, $v_A/c \ll 1$). We measure electron density with a quadrature He–Ne interferometer system. Typical SSX line-averaged densities are about $n_e = 5 \times 10^{13} \text{ cm}^{-3}$ (see Fig. 3) so $c/\omega_p \approx 2 - 3$ cm. We measure local electron density and temperature with a triple Langmuir probe ($T_e \approx 20$ eV). The ion temperature is estimated from ion energy analysers ($T_i \approx 20$ eV). Mean magnetic fields in SSX are about 0.05 T so $v_A = B/\sqrt{\mu_0 \rho} \approx 10^7$ m/s and $\rho_i \approx 1$ cm. The Lundquist number is given by $S = \mu_0 \sigma v_A L \ll 1000$. The Spitzer conductivity at 20 eV is $\sigma_{Sp} = 1.7 \times 10^5 \Omega^{-1} \text{ m}^{-1}$. The electron mean free path is $\gg 0.1$ m.

We measure 200 B vectors on a $5 \times 5 \times 8$ three-dimensional grid using a multiplexer technique to perform the 600 measurements at sub-$\mu s$ resolution in time and 2 cm resolution in space (see Fig. 2).$^{6,31}$ Data are obtained in a single shot. We then interpolate field lines on the 3D data using fourth order Runge–Kutta integration. Field lines are integrals of

$$\frac{dx_i}{ds} = \frac{B_i}{B},$$

where $ds$ is an element of arc length and $B$ is the magnitude of the field ($B_i/B$ is the component of the unit vector along $B_i$). In every case presented here, the curvature of the field

![FIG. 2. Top and end views of the Swarthmore Spheromak Experiment. Spheromaks of either helicity are formed on the east and west side by magnetized plasma guns. Reconnection occurs through large slots in the back walls at the midplane. The 3D magnetic probe array and retarding grid energy analysers (RGEAs) are located at the midplane. Magnetfield views illustrate magnetic probe locations and data shown as arrows (the longest arrows are approximately 800 G). The view in (a) is the $\hat{x} - \hat{z}$ plane, the view in (b) is the $\hat{x} - \hat{y}$ plane. Of the 200 B vectors, only those on facing planes are highlighted.](image-url)

![FIG. 3. Density trace from quadrature He–Ne interferometer.](image-url)
lines is large compared to the probe separation \(r_{\text{line}} \geq \delta_{\text{probe}}\) so we have confidence in the accuracy of the integration. We can compute current density \(J = \nabla \times B / \mu_0\) and magnetic energy density \(W = \int B^2 / 2 \mu_0 \, d^3x\) from the data. Calibration is performed using precise Helmholtz coils and known line currents.

In Fig. 4 we present a view of the measured three-dimensional structure of the magnetic reconnection geometry in SSX. We show four field lines integrated through the 3D data set viewed approximately in the \((\hat{z}, \hat{y}, \hat{z}) = (1, 0, 1)\) direction show a vestige of the X-geometry with a field line near the X-point that is both swept and sheared (red). Inflowing lines are green, outflowing lines are gray. The magnetic vector data is suppressed except for the lower plane for clarity. This is during the relaxation phase at time \(t = 64 \, \mu s\) from a single shot.

In Fig. 5, we compare the geometry of field lines integrated through the 3D data set viewed approximately in the \((\hat{z}, \hat{y}, \hat{z}) = (1, 0, 1)\) direction show a vestige of the X-geometry with a field line near the X-point that is both swept and sheared (red). Inflowing lines are green, outflowing lines are gray. The magnetic vector data is suppressed except for the lower plane for clarity. This is during the relaxation phase at time \(t = 64 \, \mu s\) from a single shot.

In Fig. 6, we display the 3D structure using magnetic energy. In Figs. 6(a) and 6(b), we show some isosurfaces of magnetic energy density \(B^2 / 2 \mu_0\) for the same single shot and...
at the same time as in Fig. 4 \((t = 64 \mu s)\). We suppress the magnetic vector data for this plot. Note that there is a magnetic energy minimum roughly aligned with the X-line as one would expect. In Fig. 6\(c\), we present a time history of the average magnetic energy integrated over the volume of measurement \(\int B^2/2 \mu_0 \, dV\). The arrow indicates the time displayed in \((a)\) and \((b)\).

In Figs. 7\(a\) and 7\(b\), we present a plot of the current density from the same single shot as in Fig. 4 \((J = \nabla \times B/\mu_0)\) but during the early, driven reconnection phase \(t = 32 \mu s\). For clarity, we highlight \(\nabla \times B\) near the reconnection zone and integrate lines of \(J\) to display a current channel. Note that during the driven phase, the current peaks and is directed towards the top of the box (roughly the \(-\hat{y}\) direction). Since the inflow velocity has stagnated in the layer, we believe \(J||E\) there, so this is also the direction of the local electric field. It is along this direction that we have placed one of our retarding grid energy analyzers (RGEAs). In Fig. 7\(c\), we show ion pulses measured by two of our RGEAs: one aligned with the reconnection electric field (normal to the local reconnection layer) and another aligned with the Alfvénic outflow (across the reconnection layer). The early pulse is from the RGEA aligned with the reconnection electric field. Note that the time displayed for Figs. 7\(a\) and 7\(b\) is just before the peak of the ion pulse of this detector demonstrating temporal correlation between the peak of the reconnection electric field and the peak of the pulse of ions flowing in that direction. The later pulse is from the RGEA aligned with the Alfvénic outflow.

We are able to scan the gate voltage of the RGEAs from shot to shot. In Fig. 8, we show five pulses from the RGEA aligned with the reconnection electric field with the ion discrimination gate set at 10 V (left) and 100 V (right). Each trace was obtained from shots under identical conditions. Note that a slow population of ions is retarded by the 100 V gate but there is clear evidence of a large population of ions with energies exceeding 100 V. These are super-Alfvénic protons in SSX. In Fig. 9, we present the measured ion distribution as a function of gate voltage. We show the mean and standard deviation \(\sigma\) for 10 shots at each voltage and fit the data with a three parameter model (amplitude, ion temperature and ion drift energy). To improve signal to noise on the RGEAs, the 3D magnetic array was removed to obtain this large data set. The best fit (solid curve) is characterized by a drift energy of about 90 eV and thermalized to 30 eV. The dashed lines show \(\pm\sigma\) from the best fit. Note that the distribution is clearly super-thermal in contrast to earlier work.\(^{20,28}\)

**IV. SSX SIMULATIONS**

Numerical simulations of magnetic reconnection and subsequent particle acceleration have been performed in both two-dimensions\(^{32,33,2}\) and three-dimensions.\(^{34,35}\) Nonrelativi...
astic neutral point acceleration was considered in the context of a planar sheet pinch in the presence of finite amplitude fluctuations. Recent work is fully relativistic and has included the effects of losses due to synchrotron radiation and inverse Compton scattering. We have performed numerical simulations of SSX reconnection experiments using a 2-1/2 D cylindrically symmetric nonideal single fluid MHD code called TRIM to simulate the time evolution of the electrodynamic fields, momentum density and plasma density. We demonstrated that an ab initio calculation (i.e., from gun formation to equilibrium using SSX boundary conditions) reproduced our experimentally measured global profiles well. Reversal of the magnetic field and current filamentation occur at the reconnection layer both in the experiment and in the simulation. The principal difference is that the current sheet thickness is determined by Ohmic dissipation in the single fluid MHD TRIM simulation while two-fluid effects limit the current sheet thickness to $c/\omega_{pi}$ in the SSX experiment. Finally, we have performed test particle simulations using MHD data from TRIM and run with dimensionless parameters similar to that of the experiment ($S = 1000$, $\beta = 0.1$).

In Fig. 10, we present plots of the numerically calculated poloidal flux ($\Phi_{pol} = rA_{\phi}$) at several key times. In Fig. 10(a) ($t = -2.7 \mu s$) magnetofluid has been ejected out of the coaxial magnetized plasma guns and moves towards the reconnection zone at close to $v_A$. In Fig. 10(b), initial merging has commenced. We define the simulation $t = 0 \mu s$ at this moment which corresponds approximately to the experimental time depicted in Fig. 7. By $t = 13.5 \mu s$, significant reconnection has occurred and the system has begun to relax. By $t = 23.4 \mu s$, an equilibrium has been established and resistive decay has begun.

Numerical experiments were performed in which the trajectories of $10^5$ protons are calculated using the dynamical MHD data from TRIM. The test particle simulation is run with dimensionless parameters similar to the experiment, and particles are permitted to escape when they encounter the simulated SSX boundaries. The initial condition considered is that all protons are injected into the reconnection region at $v_A$ but with random positions and gyrophases. MHD activity related to reconnection is responsible for accelerating charged particles. The process includes two phases—a strong but short duration direct acceleration in the quasi-steady reconnection electric field, and a weaker longer lived stochastic component associated with turbulence.

Here we present two diagnostics that illustrate the statistical behavior of the energized particles in the simulations. Further detail can be found in the references. In Fig. 11(a), we show the mean-square velocity increment versus the time of separation. In other words, for each particle, we compute the square of the change in velocity in the toroidal plane (roughly in the direction of the reconnection electric field) for a specified time separation $\tau$:

$$\Delta v(t, \tau)^2 + \Delta v_{\theta}(t, \tau)^2,$$

then average over all times $t$ and all particles and finally normalize to $2v_A^2$. The early time response is distinctive—we see that for time increments less than about an Alfvén time ($1 \mu s$), the proton velocity increases linearly with time separation $\tau$ regardless of discharge time $t$. At later times, the velocity changes are random. If the velocity changes were diffusive, we would expect the mean-square velocity increment to grow linearly with time (i.e., $\Delta v \propto \tau^{1/2}$). After about $1 \mu s$ the acceleration is slower than that so we call it sub-diffusive. In Fig. 11(b), we plot the distribution function of protons $f(v, t)$ that escape the simulation domain. We see that at early times ($t = 0.6 \mu s$) a tail is beginning to form and by several Alfvén times well into the formation phase ($t = 15.6 \mu s$) a significant tail of ions with
Measurements agree with an accelerated ion distribution characterized by a drift energy of about 90 eV and thermalized to 30 eV with $E_{\text{max}} \geq 200$ eV.

ACKNOWLEDGMENTS

Discussions with Paul Bellan (Caltech), Stan Owocki (Bartol), Mike Schaffer (GA), Tom Kornack (PPL), John Boccio, Phil Everson and Jean Griffin (Swarthmore) are greatly acknowledged. M.L. was supported in part by DVSGC. Equipment assistance by Steve Palmer.

This work was performed under Department of Energy (DOE) Grants No. DE-FG02-00ER54604 and No. ER54490.

$u > 2u_A$ has been accumulated. Note also that the distribution, originally a $\delta$-function at $u_A$ has broadened substantially.

V. FUTURE DIRECTIONS AND SUMMARY

Finally, we have performed TRIM simulations of full merging of SSX spheromaks anticipating future experiments. In the modified experiment (called SSX-FRC) we will remove the wall between the spheromaks making the configuration axisymmetric. We have employed a variety of equations of state then compared reconnection and merging results. New results indicate that the width of the reconnection layer is increased and the rate of reconnection is slowed by employing a polytropic equation of state (see Fig. 12). In the isothermal case [Fig. 12(a)], a distinctive reconnection Y-point is formed early in the driven phase of merging ($t = 2 \tau_{\text{ Alf}}$), while in the polytropic case ($PV^\gamma = \text{const}$ with $\gamma = 5/3$), the reconnection layer is broader and forms an X-point [Fig. 12(b)]. Late in the decay phase ($t = 5 \tau_{\text{ Alf}}$), both cases relax to near FRC equilibria (which we call a doublet CT).

To summarize, we have reported a measurement of ions accelerated along the X-line coincident with the formation of three-dimensional magnetic reconnection structures. RGEAs specially designed to align with the 3D structures show ion pulses temporally correlated with the magnetics. We have clear evidence of a component of the magnetic field $B$ swept and sheared along the X-line demonstrating the full 3D aspect of reconnection. We have also mapped out the energy distribution of the ions accelerated by these magnetic structures and find it to be both super-thermal and super-Alfvenic.