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# Structure And Dynamics That Specialize Neurons For High-Frequency Coincidence Detection In The Barn Owl Nucleus Laminaris

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### Structure and dynamics that specialize neurons for high-frequency coincidence detection in the barn owl nucleus laminaris

Running title: Specializations for high-frequency neural coincidence detection

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### Abstract

A principal cue for sound source localization is the difference in arrival times of sounds at an animal's two ears (interaural time difference, ITD). Neurons that process ITDs are specialized to compare the timing of inputs with submillisecond precision. In the barn owl, ITD processing begins in the nucleus laminaris (NL) region of the auditory brainstem. Remarkably, NL neurons are sensitive to ITDs in high-frequency sounds (kilohertz-range). This contrasts with ITD-based sound localization in analogous regions in mammals where ITD-sensitivity is typically restricted to lower-frequency sounds. Guided by previous experiments and modeling studies of tone-evoked responses of NL neurons, we propose NL neurons achieve high-frequency ITD sensitivity if they respond selectively to the small-amplitude, high-frequency fluctuations in their inputs, and remain relatively non-responsive to mean input level. We use a biophysically-based model to study the effects of soma-axon coupling on dynamics and function in NL neurons. First, we show that electrical separation of the soma from the axon region in the neuron enhances high-frequency ITD sensitivity. This soma-axon coupling configuration promotes linear subthreshold dynamics and rapid spike initiation, making the model more responsive to input fluctuations, rather than mean input level. Second, we provide new evidence for the essential role of phasic dynamics for high-frequency neural coincidence detection. Transforming our model to the phasic firing mode further tunes the model to respond selectively to the fluctuating inputs that carry ITD information. Similar structural and dynamical mechanisms specialize mammalian auditory brainstem neurons for ITD-sensitivity, thus our work identifies common principles of ITD-processing and neural coincidence detection across species and for sounds at widely-different frequencies.

### Author summary

Differences in the arrival times of sounds at the two ears are essential for creating a sense of auditory space. For many animals, the utility of these interaural time-differences for sound source localization is thought to be restricted to relatively low-frequency sounds, due to limits of temporal precision in the auditory pathway. Barn owls, remarkably, use temporal processing to localize high-frequency (kilohertz-scale) sounds. This capability is critical for their activities as nocturnal predators. Building on insights from previous experimental and modeling studies, we propose that these neurons encode time differences in high-frequency sounds because they respond selectively to input fluctuations, and are relatively non-responsive to input mean. We use a biophysically-based computational model to show that electrical separation between a neuron's input region (soma) and spike-generating region (axon) improves sensitivity to input fluctuations. This structural configuration produces linear integration of subthreshold inputs and rapid spike initiation, two dynamical features that improve time-difference sensitivity to high-frequency sound-evoked inputs. Neural coincidence detection in the neuron model is further enhanced if it operates in a phasic firing mode. Taken together, we provide new insights into the dynamical and structural mechanisms that support high-frequency sound localization by coincidence detector neurons.

### **Introduction**

A principal cue for sound source localization is the difference in arrival times of sounds at an 2 animal's two ears (interaural time difference, ITD). ITDs depend on animals' head sizes and are <sup>3</sup> small compared to typical neural time scales. The physiological ranges of ITDs are  $\pm 700 \mu s$  in humans and  $\pm 170 \mu s$  in barn owls, for instance. Neurons and neural circuits that process ITDs  $\frac{1}{5}$ are specialized for temporal precision so that they can compare the timing of inputs at this  $\frac{6}{5}$ submillisecond scale [\[1,](#page-24-0) [2\]](#page-24-1). Binaural ITD processing begins in mammals in the medial superior  $\tau$ olive (MSO) and in birds in the nucleus laminaris (NL). Neurons in these two nuclei are often  $\frac{8}{8}$ characterized as coincidence detectors because they respond with higher firing rates when brief <sup>9</sup> inputs arrive nearly simultaneously  $[3-10]$  $[3-10]$  and because they have been considered as possible  $\overline{10}$ neural substrates for Jeffress's influential theory of sound source localization by delay 11  $\lambda$  [12\]](#page-24-5). 12

Although MSO and NL neurons share a similar role in auditory processing, they operate at 13 widely-different frequency ranges. ITD-sensitive MSO neurons are thought to primarily aid in 14 the localization of low-frequency sounds due to the limits of phase-locking of their inputs [\[13\]](#page-24-6) <sup>15</sup> and consistent with the classical duplex theory of sound localization [\[14\]](#page-25-0). Phase-locking in the 16 early auditory pathway of barn owls persists for much higher-frequency sounds [\[15\]](#page-25-1). Indeed, 17 barn owls accurately localize sounds in the 4 kHz to 8 kHz range [\[16\]](#page-25-2) and NL neurons shows <sup>18</sup> ITD sensitivity in this frequency range as well  $[5, 17]$  $[5, 17]$ .

Extracting ITDs from kilohertz-scale signals poses a difficult computational challenge for  $\sim$  20 coincidence detector neurons. At these high frequencies, inputs to NL neurons are not resolved <sup>21</sup> as isolated synaptic events whose relative timing can be compared. Instead, sound-evoked 22 votage-responses in the soma of NL neurons are small-amplitude oscillations at the frequency of <sup>23</sup> the tone input, termed the sinusoidal analogue potential (SAP) [\[17\]](#page-25-3). Essential features of the <sup>24</sup> SAP described by Funabiki and colleagues are 1) SAP amplitude varies with ITD, but the mean 25 SAP level does not; and 2) NL firing rate increases with increases in SAP amplitude, but does  $\alpha$ not depend on SAP mean. These observations suggest that high-frequency ITD processing in 27 the barn owl requires that NL neurons respond to fluctuations in their inputs (SAP amplitude) 28 while remaining insensitive to slower changes in the baseline input level (SAP mean).

The presumption that NL neural firing should be selective for high-frequency fluctuations  $\frac{300}{200}$ guides our analysis. Adapting a biophysically-based NL neuron model  $[17, 18]$  $[17, 18]$ , we identify  $\overline{\phantom{a}}$  31 structural and dynamical features that specialize NL neurons for high-frequency ITD sensitivity.  $\frac{32}{2}$ We show that electrical separation of the soma and the axon improves neural coincidence  $\frac{33}{2}$ detection. When the soma and axon regions are weakly-coupled, spike generation in the NL  $_{34}$ neuron model depends less on input mean than in the case of strong coupling between soma and axon. We identify two dynamical features associated with weak soma-axon coupling that  $\frac{36}{10}$ benefit high-frequency neural coincidence detection: linear subthreshold dynamics (as opposed  $\frac{3}{27}$ to amplified, supralinear responses to subthreshold inputs) and rapid spike initiation. The 38 importance of electrical separation between soma and axon regions for high-frequency ITD  $\frac{39}{20}$ processing accords with related studies of NL neurons [\[18,](#page-25-4) [19\]](#page-25-5) (and similar work in MSO <sup>40</sup> neurons  $[20, 21]$  $[20, 21]$ , but we offer novel and clarifying insights into how weak soma-axon coupling  $\frac{41}{41}$ produces fluctuation-sensitive dynamics that enhance ITD encoding.

Fluctuation-sensitivity is a feature of phasic neurons (also known as Type III 43 excitability) [\[22–](#page-25-8)[25\]](#page-25-9). Phasic neurons respond to a prolonged pulse of current (constant level) <sup>44</sup> with a single spike at pulse onset, with no possibility for repetitive firing [\[26\]](#page-25-10). NL neurons  $\frac{45}{45}$ 

exhibit phasic firing in vitro [\[7\]](#page-24-8), but biophysically-based models developed previously for NL  $_{46}$ neurons are tonic (produce repetitive spiking in response to sufficiently strong constant  $\frac{47}{47}$ inputs)  $[17, 18]$  $[17, 18]$ . We show that transforming our model to the phasic firing further tunes the  $48$ model to respond selectively to the small amplitude, high-frequency signals that carry ITD <sup>49</sup> information. Thus we add to the understanding of the functional importance of phasic  $\frac{50}{50}$ dynamics by showing phasicness beneftis high-frequency coincidence detection. In sum, our <sup>51</sup> work points to coherent principles of soma-axon coupling configurations and spiking dynamics  $52$ that specialize auditory neurons for coincidence detection across species and for sounds at  $\frac{53}{10}$ widely-different frequency scales.  $\frac{54}{2}$ 

### $\textbf{Results}$  55

### Time-difference sensitivity is greater for weakly-coupled soma and axon <sup>56</sup>  $\mathbf{regions}$  57  $\mathbf{S}$  57  $\mathbf$

To study neural coincidence detection and ITD sensitivity in the barn owl NL, we constructed a 58 two-compartment model with parameters adapted from an established model of these 59 neurons [\[17\]](#page-25-3). The two compartments represent a soma-dendritic region (compartment 1) and an  $\epsilon_0$ axonal region of spike generation (compartment 2). Synaptic inputs target the first  $\frac{61}{100}$ compartment and spike-generating sodium currents are restricted to the second compartment 62 with dynamics described by Hodgkin-Huxley-type nonlinear differential equations. We provide  $\sigma$ details in [Methods.](#page-17-0) In NL neurons, spikes are thought to be primarily generated in the axon <sup>64</sup> initial segment [\[18\]](#page-25-4). Axon structure and physiology can vary across the NL [\[19,](#page-25-5)27]. Our first  $\epsilon$ goal, therefore, was to systematically explore the effects of electrical coupling between soma and 66 axon regions on firing rate responses to high-frequency inputs. We did this by parameterizing  $\epsilon$ the NL model to describe the strength of electrical connection between the two compartments.  $\epsilon$ We identified constants for forward coupling strength  $(\kappa_{1\rightarrow2})$  and backward coupling strength 69  $(\kappa_{2\rightarrow1})$  based on the method in [\[21\]](#page-25-7). These coupling constants can also be understood as the  $\tau_0$ attenuation ratios of steady-state voltages. <sup>71</sup>

By construction, all models (regardless of coupling configuration) have nearly identical  $\frac{72}{20}$ passive soma dynamics, but the addition of sodium current in the second compartment leads to  $\tau$ marked difference in spiking dynamics. In particular, models with weak soma-axon coupling  $\frac{74}{4}$ have large-amplitude, fast-initiating, "all-or-nothing" spikes (Fig [1B](#page-5-0)) whereas spikes in  $\frac{75}{75}$ strongly-coupled models are more graded with more gradual upstrokes (Fig [1D](#page-5-0)). To make consistent comparisons across coupling configurations, we separately determined maximal  $\overline{7}$ sodium conductance for each coupling configuration to achieve a fixed peak firing rate in  $\frac{78}{8}$ simulated responses. Specifically, we generated two synaptic input streams (meant to represent  $\tau_s$ 4 kHz tone-evoked responses from the two ears) with a possible time difference (ITD). For <sup>80</sup> in-phase inputs (ITD=  $0 \text{ ms}$ ), such as those shown in Fig [1A](#page-5-0)1, we set maximum sodium  $\text{min}$ conductance so that the average firing rate of the NL neuron model was 500 spikes per second.  $\epsilon$ Importantly, when synaptic events were evoked by out-of-phase 4 kHz sine waves (ITD=  $125\mu s$ , s as in the example in Fig [1A](#page-5-0)2), NL firing rates decreased, indicating ITD-sensitivity. In these  $\frac{84}{94}$ examples, the model with weaker coupling produces just two spikes (Fig [1B](#page-5-0)2) (a decrease from ss the in-phase response). The model with stronger coupling produces five spikes in response to  $\frac{86}{60}$ the same input (Fig [1D](#page-5-0)2) which is nearly equal to its in-phase firing rate. The coupling  $\frac{1}{87}$ configuration  $\kappa_{1\rightarrow 2} = 0.9$  and  $\kappa_{2\rightarrow 1} = 0.5$  is similar to the previously-developed model that is as



<span id="page-5-0"></span>the starting point for our work  $[17]$ . We show responses of this model in Fig [1C](#page-5-0).

Fig 1. Spiking dynamics of a two-compartment NL model. (A) Synaptic conductance time-courses for 4 kHz in-phase (left column) and out-of-phase (right column) inputs. (B-D) Membrane voltage responses to inputs in (A), using three different soma-to-axon coupling configurations:  $(\kappa_{1\to 2}, \kappa_{2\to 1}) = (0.3, 0.2)$  in B,  $(0.9, 0.5)$  in C, and  $(0.9, 0.9)$  in D. Gray curves in these panels show soma voltage  $(V_1)$  and colored curves show axon voltage  $(V_2)$ .

We next computed ITD tuning curves by simulating neural responses to inputs with a range  $\frac{90}{20}$ of time differences (Fig [2A](#page-6-0)). For the strongly-coupled model, in which  $V_1$  and  $V_2$  are nearly 91 isopotential, firing rates do not vary substantially with ITD. This is evident in the relatively 92 flat firing rate curve for the case of  $\kappa_{1\to 2} = \kappa_{2\to 1} = 0.9$  (Fig [2A](#page-6-0)). The other coupling 93 configurations produce larger differences in the firing rates evoked by in-phase inputs versus <sup>94</sup> out-of-phase inputs. This is our primary result – electrical separation of soma and axon <sup>95</sup> improves ITD sensitivity in simulated NL responses. <sup>96</sup>

To expand on these initial observations, we measured the difference between in-phase and 97

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<span id="page-6-0"></span>

Fig 2. Tuning to input time difference requires electrically-isolated soma and axon compartments. (A) ITD tuning curves (spike rate as function of input time difference) in response to 4 kHz synaptic inputs. Coupling configurations and color scheme is same as Fig [1.](#page-5-0) Dotted lines are a reflected version of computed values. Error bars represent standard error in the mean firing rate from 100 repeated simulations. Sodium conductance is determined so 500 spikes/sec is peak firing rate for all configurations. (B) Difference between in-phase and out-of-phase firing rates across across the space of coupling configurations ( $\Delta R$ , scale bar at right).  $\Delta R$  is smallest for strong coupling and increases for electrically-isolated soma and axon compartments (weaker coupling).

out-of-phase firing rates across a full range of coupling configurations (Fig [2B](#page-6-0)). This measure of  $\bullet$ ITD tuning curve depth, which we denote by  $\Delta R$ , is commonly-used in simulation studies and 99 recordings of NL neurons  $[17, 18, 28]$  $[17, 18, 28]$  $[17, 18, 28]$ . By this measure, there is a relatively broad range of  $100$ coupling configurations with large  $\Delta R$  (good ITD sensitivity). The disadvantage of strong  $101$ coupling is confined to a relatively small region of parameter space (small  $\Delta R$  in the 102  $\mu$ upper-right corner). 103

In the following, we explain this effect of coupling configuration on ITD sensitivity by  $104$ clarifying the signal processing imperative for NL neurons to respond to input fluctuations, not <sup>105</sup> mean level, and by explaining how structure creates dynamics that support effective  $106$ high-frequency ITD coding. 107

#### Coding imperative: ITD represented by input fluctuations  $108$

Informed by insightful experimental and theoretical work in the NL  $[17, 18, 29, 30]$  $[17, 18, 29, 30]$  $[17, 18, 29, 30]$  $[17, 18, 29, 30]$ , we take the 109 view that ITD information is delivered to NL neurons via fluctuating synaptic inputs. These <sup>110</sup> fluctuations evoke the small-amplitude, high-frequency oscillations in the somatic membrane 111 potential of NL neuron termed the sinusoidal analogue potential (SAP) [\[17\]](#page-25-3). For NL neurons to <sup>112</sup> effectively encode ITD signals, the amplitudes of input fluctuations should drive NL spiking 113 activity, not the mean input level. An idealized view of this computation is NL neurons must <sup>114</sup> monitor their synaptic inputs and use spike generation in the axon to signal when voltage 115 fluctuations in the soma exceed some threshold. Threshold crossings should be determined by 116 fluctuation amplitude and not mean input level. 117

We illustrate this coding perspective by computing, on a cycle-by-cycle basis, the mean and 118 amplitude of the excitatory synaptic conductances that are inputs to the NL model. The period <sup>119</sup> of each cycle is 250  $\mu$ s, as dictated by our use of a 4 kHz input tone. A scatter plot of 100  $\mu$ 20

cycles of synaptic inputs reveals, as expected, that in-phase synaptic inputs are more <sup>121</sup> distinguishable from out-of-phase inputs by differences in fluctuation amplitude, not the mean 122 input level (Fig [3A](#page-7-0), see also Fig [1A](#page-5-0) for example synaptic conductance time-courses).

<span id="page-7-0"></span>

Fig 3. High-frequency ITD processing requires sensitivity to cycle-amplitude, not cycle-mean, of fluctuating inputs. (A) Scatter plot of mean and amplitude of synaptic conductance measured relative to period of 4 kHz input frequency for in-phase inputs (filled circles) and out-of-phase inputs (empty circles). Arrow heads indicate mean values of these measures (black arrow for in-phase, gray for out-of-phase). A classification boundary based on Fisher's linear discriminant is indicated by the solid line. (B) Thresholds of repetitive firing in two-compartment NL model in response to sine-wave conductance. Coupling configurations and color scheme are same as Fig [1.](#page-5-0)

123 To explore further this idealized view of NL neurons as cycle-by-cycle observers of their  $_{124}$ inputs, we computed a classifier boundary defined using Fisher's linear discriminant [\[31\]](#page-26-4). <sup>125</sup> Synaptic inputs that fall above the boundary would be reported by an ideal observer of these 126 synaptic conductances as in-phase inputs. The classifier boundary is upward sloping because 127 fluctuation amplitudes are positively-correlated with mean input level for in-phase inputs. This 128 suggests a stringent coding imperative for NL neurons: they should generate spikes when the 129 amplitude of their high-frequency fluctuating inputs are sufficiently large and, also, they should 130 avoid generating spikes to inputs with large mean values.

This description of an NL neuron as a signal classifier observing its inputs is, of course, an 132 over-simplification of the biophysical processes at work. We do not expect NL neurons to act <sup>133</sup> exactly like such ideal observers because intrinsic dynamics of NL neurons do not allow <sup>134</sup> spike-generation to occur on a cycle-by-cycle basis in response to high-frequency inputs (due to <sup>135</sup> refractory periods, for instance). Nevertheless, this perspective helps clarify which 136 characteristics of NL excitability may enhance ITD sensitivity.

To relate this signal classification perspective to modeling results, we used sinusoidal 138 conductance inputs (as opposed to the random synaptic inputs used in other simulations) to <sup>139</sup> compute thresholds for repetitive firing as a function of input mean and amplitude (Fig  $3B$ ). For all coupling configurations, the slope of these threshold curves was negative, indicating the <sup>141</sup> model becomes more excitable for larger mean input levels. This is inconsistent with ideal  $_{142}$ observer's classification boundary. Among these coupling configurations, though, the <sup>143</sup> strongly-coupled model had the steepest threshold curve. For  $g_{mean}$  larger than roughly 15 nS, 144 changes in mean input level alone, not fluctuation amplitude, can drive changes in firing rate <sup>145</sup>

for this coupling configuration. This parallels the simulated ITD tuning curves presented above <sup>146</sup> (Fig [2D](#page-6-0)) by showing that strong electrical coupling between soma and axon is disadvantageous <sup>147</sup> for ITD tuning because configurations with electrically-separated soma and axon compartments <sup>148</sup> are more selective for input fluctuations (rather than input mean).

#### Distinctive dynamics of strongly-coupled models that hinder high-frequency 150 coincidence detection that is a set of the coincidence detection that is a set of the coincidence of the coi

What accounts for enhanced ITD-tuning for models with stronger soma-axon coupling? We 152 identified two dynamical features caused by strong coupling that distinguish those models from <sup>153</sup> models with electrical isolation between soma and axon. These features are: 1) supralinear 154 subthreshold integration in the soma and 2) slow spike initiation in the axon. By supralinear  $\frac{1}{155}$ integration, we mean that the subthreshold current-voltage relation is nonlinear with positive <sup>156</sup> concavity as in the  $I-V$  curves shown in Fig [4A](#page-8-0). Amplification of subthreshold voltage is  $157$ greatest for models with strong soma-axon coupling because strong soma-to-axon (forward) <sup>158</sup> coupling activates sodium conductance and, in turn, strong axon-to-soma (backward) coupling <sup>159</sup> enables axonal sodium current to depolarize the soma. <sup>160</sup>

<span id="page-8-0"></span>

Fig 4. Nonlinear mechanisms that distinguish strongly-coupled models from models with electrically-isolated compartments. (A) Stready-state soma voltage response to constant input current (I-V relation). Color code and coupling configurations are same as Fig [1.](#page-5-0) Black line is response of the model  $g_{Na}$  removed (linear response with 5  $M\Omega$ soma input resistance). (B) Subthreshold  $V_1$ -amplification measured as the difference between steady-state  $V_1$  responses to 1000 pA inputs for models with and without sodium current. (C) Maximum  $V_2$  slope during spike upstrokes, calculated as average of 100 responses to in-phase inputs. Colors given on a logarithmic scale as indicated.

We quantified the amount of amplification across the range of coupling configurations by  $\frac{161}{161}$ calculating the steady state  $V_1$  (soma) voltage response to a subthreshold input (1000 pA 162 constant current) and compared it to the  $V_1$  value that would be expected for a purely passive 163 model (recall that passive soma input resistance is fixed as a constant for all coupling 164 configurations). The largest amplification occurs in models with strong soma-axon coupling and <sup>165</sup> models with weak soma-axon coupling have nearly linear  $I-V$  relations (Fig [4B](#page-8-0)).

In addition to affecting subthreshold integration, coupling configuration also changes the 167

shape and dynamics of spikes (recall Fig [2A](#page-6-0),B). In strongly-coupled models, voltage dynamics 168 in the two compartments track one another closely. Spikes occur in both compartments with  $V_1$  169 dynamics slowing the rate of upstroke. In weakly-coupled models, by contrast, voltage dynamics <sup>170</sup> in the axon are insulated from the soma. In these cases, fast activation of sodium current during <sup>171</sup> a spike increases  $V_2$  rapidly and without hindrance from the more slowly-depolarizing soma. 172

We measured the maximum slope of spike upstroke in  $V_2$  across coupling configurations 173 (Fig [4C](#page-8-0)). The rates of spike upstrokes in weakly-coupled models are as much as one hundred <sup>174</sup> times faster than those in the most strongly-coupled model. This finding is consistent with  $\frac{175}{175}$ previous modeling work showing that moving the location of a localized spike initiation zone to <sup>176</sup> positions on the axon more distant from soma leads to steeper spike upstrokes [\[32\]](#page-26-5).

#### Advantages of linear subthreshold integration and fast spike initiation  $178$ illustrated in an integrate-and-fire model  $175$

We hypothesized that the two dynamical features identified above that distinguish 180 strongly-coupled models from other coupling configuration (supralinear subthreshold integration 181 and slow spike initiation) could account for degraded ITD sensitivity in models with strong 182 soma-axon coupling. Our reasoning was as follows. Inputs to NL neurons are relatively weak  $-$  183 the SAP (soma voltage fluctuations) is on the order of a few millivolts [\[17\]](#page-25-3). Models of NL  $_{184}$ neurons must operate, therefore, near threshold so these small-amplitude fluctuations suffice to <sup>185</sup> evoke spiking in NL neurons  $[17, 18]$  $[17, 18]$ . At the same time, to maintain high-frequency ITD  $_{186}$ sensitivity, NL neurons must not respond to changes in input mean. Nonlinear amplification of 187 subthreshold inputs are detrimental for high-frequency coincidence detection, therefore, because 188 constant or slowly-varying subthreshold inputs that are nonlinearly amplified can drive spiking <sup>189</sup> activity even in the absence fluctuations related to meaningful ITD information. There is <sup>190</sup> greater amplification of soma voltage in strongly-coupled models than models with weak <sup>191</sup> axon-to-soma coupling, so the strongly-coupled configuration is not optimal for preventing spike <sup>192</sup> initiation in response to constant or slowly-varying inputs.  $193$ 

The second distinctive feature of strongly-coupled models is (relatively) slow spike initiation. <sup>194</sup> Fast spike initiation should be beneficial for high-frequency ITD detection. The 195 larger-amplitude portions of high-frequency fluctuating inputs represent coincident inputs <sup>196</sup> corresponding to salient ITD information. When a brief but strong input fluctuation arrives 197 (viewed as a small portion of a high-frequency oscillating input), a rapidly-initiated spike can be <sup>198</sup> triggered before the input level decreases (during the next phase of the input oscillation). Neurons with slowly-initiating spikes, by contrast, may need to "integrate" over larger portions <sup>200</sup> of their inputs before spikes fully develop. Neurons with gradual spike upstrokes would be less <sup>201</sup> responsive to the brief and strong input fluctuations created by coincident inputs. <sup>202</sup>

We illustrate how these two features affect high-frequency fluctuation sensitivity using an 203 integrate-and-fire model customized with parametric control over subthreshold dynamics and <sup>204</sup> spike upstroke speed. An interpolation parameter  $p$  switches the model between linear  $205$ subthreshold dynamics  $(p = 0)$  and supralinear subthreshold dynamics  $(p = 1)$ . When the 206 dynamical variable in the model exceeds a threshold level, the dynamics change to exponential <sup>207</sup> growth. A gain parameter q controls the exponential rate-of-rise of x. When  $x(t)$  exceeds the 208 maximum value of  $x_{max} = 50$  the dynamical variable is returned to  $x(t) = -5$ , a reset condition 209 typical for representing spikes in integrate-and-fire model. See [Methods](#page-17-0) for details and Fig [5A](#page-11-0) <sup>210</sup> shows the graph of the piecewise-function that governs the intrinsic dynamics of the model. A  $_{211}$ linear subthreshold model with slow spike initiation is in Fig A1 ( $p = 0, q = 1$ ) and a model 212

with suprathreshold amplification and fast spike initiation is in Fig A2 ( $p = 1, q = 4$ ). We 213 simulated responses of this model to high-frequency sinusoidal inputs, varying input mean 214  $(g_{mean})$  and input amplitude  $(g_{amp})$ . Examples of spiking responses are shown in Fig [5B](#page-11-0) with 215 steeper spike upstroke visible in Fig  $5B2$  due to the larger value of q in that simulations.

We have parametric control over subthreshold amplification and rate of spike initiation in 217 this integrate-and-fire model. We first computed thresholds for repetitive spiking as a function <sup>218</sup> of input mean and amplitude and found that increasing the degree of subthreshold <sup>219</sup> amplification (increasing  $p$ ) produces threshold curves that slope downward more steeply 220 (Fig [5C](#page-11-0)). This is qualitatively consistent with repetitive spiking threshold curve for the <sup>221</sup> strongly-coupled two-compartment model (Fig [3B](#page-7-0)). In the simulations shown, we used  $q = 3$  for 222 the rate of spike growth in the integrate-and-fire model. In additional simulations (not shown) 223 we varied q between 1 and 5 and observed no changes to these threshold curves.  $224$ 

Next, we varied the spike growth parameter  $q$  to confirm that rapid spike initiation increased 225 sensitivity to high-frequency fluctuations (Fig [5D](#page-11-0)). For these simulations we used inputs that  $_{226}$ were sinusoidal, but with oscillation amplitude that varied randomly on a cycle-by-cycle basis. 227 Our rationale for this input structure was that most cycles would be subthreshold oscillations <sup>228</sup> (by design) with some larger-amplitude fluctuations occurring at random. Higher firing rates in <sup>229</sup> response to this random input would indicate greater sensitivity to the brief but large 230 fluctuations produced by coincident inputs. We found, as expected, firing rates increased with  $_{231}$ the speed of spike initiation. The results shown in Fig [5D](#page-11-0) are for  $p = 0$  (linear model), and we 232 found qualitatively similar outcomes for models with nonlinear subthreshold dynamics  $(p > 0, z_{33})$ results not shown).

#### Phasic dynamics enhance high-frequency ITD-sensitivity  $_{235}$

To this point we have illustrated now soma-axon electrical separation promotes 236 fluctuation-sensitive dynamics that are beneficial for high-frequency ITD sensitivity. A 237 well-known mechanism for fluctuation-sensitivity in neurons is phasic dynamics. NL neurons 238 display phasic dynamics in vitro  $[7]$  and phasic neurons are known to respond selectively to 239 input fluctuations (and not input mean) [\[22,](#page-25-8) [23,](#page-25-11) [26\]](#page-25-10). Previous models of NL neurons have not <sup>240</sup> exhibited phasic firing [\[17,](#page-25-3) [18\]](#page-25-4), so we were compelled to explore whether phasic dynamics would <sup>241</sup> enhance high-frequency ITD sensitivity in the NL neuron model. We began by inspecting the 242 dynamics of a reduced version of the model in which soma voltage  $V_1$  is a (constant) input 243 strength, sodium activation is set instantaneously to its voltage-dependent steady state value <sup>244</sup>  $m_{\infty}(V_2)$ , and  $g_{KHT}$  set to 0. These manipulations yielded a two-variable model  $(V_2-h)$  of 245 axonal dynamics. The phase plane for this reduced axon model contains a fixed point that loses <sup>246</sup> stability as it transitions from the left branch of the  $V_2$ -nullcline to the middle branch for 247 sufficiently large input strength  $V_1$  (Fig [6A](#page-12-0)1). This transition is characteristic of tonic dynamics [\[33\]](#page-26-6).  $_{249}$ 

From this geometric approach, we observed that a convenient way to convert this 250 two-parameter axon model to the phasic firing mode was to steepen the sodium inactivation <sup>251</sup> function  $h_{\infty}$  by decreasing the parameter  $\sigma$  in Eq [3.](#page-20-0) The default value used in Fig [6A](#page-12-0)1 and 252 based on previous models [\[17\]](#page-25-3) is  $\sigma = 7.7$ . When we steepened sodium inactivation by setting 253  $\sigma = 5$ , we found the fixed point remained stable and located on the left-branch of the 254  $V_2$ -nullcline (Fig [6A](#page-12-0)2). This indicates no possibility of repetitive firing to constant inputs [\[26\]](#page-25-10). 255

We next confirmed that this manipulation of  $h_{\infty}$  acted similarly in the full two-compartment 256 NL model. For various values of sodium inactivation steepness  $(\sigma)$ , we performed a

<span id="page-11-0"></span>

Fig 5. Nonlinear integrate-and-fire model illustrates effects of subthreshold amplification and spike initation speed on sensitivity to high-frequency fluctuations.  $(A)$  Piecewise-defined function that governs model dynamics. An interpolation parameter  $(p)$ controls whether subthreshold integration is linear  $(p = 0$  in A1) or supralinear  $(p = 1$  in A2). A second parameter  $(q)$  controls the speed of spike initiation (the slope of  $f(x)$  where  $x > 1$ ). (B) Time-courses of the dynamical variable  $x(t)$  in response to 4 kHz sine-wave input, with parameter sets in B1 and B2 corresponding to those in A1 and A2. (C) Thresholds for repetitive firing in response to 4 kHz input with varying mean (ordinate) and amplitude (abscissa). The subthreshold nonlinearity  $p$  affects the slope of these threshold curves (as shown), but the spike slope q does not. (D) Mean spike rate in response to 4 kHz inputs with fluctuating cycle-amplitudes (with zero mean input) and linear subthreshold dynamics  $(p = 1)$ . Error bars show standard deviations of 500 repeated trials.

<span id="page-12-0"></span>

Fig 6. Modification of sodium inactivation converts two-compartment model from tonic to phasic firing. Coupling configuration is  $\kappa_{1\to 2} = 0.9, \kappa_{2\to 1} = 0.5$ . (A) Phase-plane diagrams for two-variable (reduced) axon model. Dotted line shows h-nullcline for the control model ( $\sigma = 7.7$  in A1) and a model with steeper  $h_{\infty}$  function ( $\sigma = 5$  in A2). Solid lines show  $V_2$ -nullclines for varying  $V_1$  (treated as a constant, input parameter). (B) Two-parameter bifurcation study of two-compartment (full) NL model showing combinations of constant input current  $(I_0)$  and sodium conductance  $(g_{Na})$  that produce tonic firing (shaded region) or phasic firing (non-shaded region). Horizontal dashed line marks the  $g_{Na}$  value used in ITD simulations (to satisfy criterion of 500 sp/s peak firing rate). Values of  $\sigma$  (steepness of  $h_{\infty}$  in B1 and B2 correspond to values in A1 and A2, respectively.

two-parameter bifurcation analysis using input current strength  $I_0$  and sodium conductance  $\qquad$  $g_{Na}$  as bifurcation parameters (Fig [6B](#page-12-0)). For the reference model using  $\sigma = 7.7$  a region of tonic 259 firing was present for sufficiently strong input current (gray shaded region in Fig [6B](#page-12-0)1). Maximal sodium conductance for this configuration was  $g_{Na} = 1286 \text{ nS}$  (marked by dashed line 261 that passes through the tonic firing region). This model fires repetitively for inputs with 262 sufficiently large mean values. The model with steeper  $h_{\infty}$  and  $g_{Na} = 1522$  nS does not fire 263 repetitively to constant inputs, regardless of the input level (Fig [6B](#page-12-0)2). Recall that  $g_{Na}$  is 264 different in these two models because we selected  $g_{Na}$  separately for all model configurations to 265 maintain the 500 sp/s firing rate at 0  $\mu$ s ITD.

Converting the model from tonic to phasic firing substantially altered sensitivity to synaptic 267 fluctuations and improved ITD tuning. We first computed thresholds for repetitive firing to  $_{268}$ sinusoidal conductance (Fig [7A](#page-13-0)). Earlier we observed these curves were downward sloping  $_{266}$ (Fig [3B](#page-7-0)), even though an idealized signal classification view of this problem indicated that <sup>270</sup> upward-sloped threshold curves would be optimal for coincidence detection (Fig [3A](#page-7-0)). Reducing  $_{271}$  $\sigma$  had the effect of flattening these threshold curves so that the model could be more sensitive  $\frac{272}{272}$ to input fluctuations and less sensitive to input mean. In fact, for the steepest  $h_{\infty}(V)$  curve 273 tested ( $\sigma = 3$ , also a phasic model), portions of the threshold were upward-sloped and thus 274 more similar to the ideal observer's classification boundary.

<span id="page-13-0"></span>

Fig 7. Phasic dynamics enhance fluctuation sensitivity and ITD tuning in the two-compartment NL model. (A) Thresholds for repetitive firing in response to  $4 \text{ kHz}$ sinusoidal conductance with varying mean (ordinate) and amplitude (abscissa). Compare to Fig [3B](#page-7-0) and observe the slope of the threshold curves is less step, and can even turn positive, for phasic firing models (smaller  $\sigma$ ). (B) ITD tuning curves (spike rate as function of input time difference) in response to 4 kHz synaptic inputs. Format is same as Fig [2A](#page-6-0). Tuning curves narrow for smaller  $\sigma$  demonstrating advantage of phasic firing for high-frequency ITD processing.

Consistent with these changes in responsiveness to sinusoidal conductance, we found that  $\frac{276}{276}$ converting the model to phasic-firing enhanced ITD tuning (Fig [7B](#page-13-0)). Visible changes to ITD  $_{277}$ tuning curves for smaller  $\sigma$  include both narrower tuning curves and greater peak-to-trough  $278$ differences  $(\Delta R)$ . For the default parameter value of  $\sigma = 7.7$  (tonic mode) the model fired 279 vigorously to out-of-phase inputs (200 spikes/second, approximately). Out-of-phase firing is <sup>280</sup> nearly extinguished for sufficiently steep sodium inactivation ( $\sigma = 3$ , phasic firing mode) while 281 maintaining the criterion level of excitability to in-phase inputs (500 spikes/second).

## **Discussion**

Temporal precision is a hallmark of neural processing in the auditory pathway [\[13,](#page-24-6) [15,](#page-25-1) [34–](#page-26-7)[37\]](#page-26-8). <sup>284</sup> Sound localization on the basis of interaural time differences (ITDs) is one of the most temporally-demanding aspects of auditory perception. Sound source location is encoded (in 286 part) by submillisecond-scale time differences in sounds arriving at the two ears. Unraveling the <sup>287</sup> physiological specializations of temporally-precise binaural neurons has been the subject of <sup>288</sup> sustained investigation. Features that specialize these neurons to act as temporally-precise 289 coincidence detectors include dendritic integration  $[28, 34, 38-40]$  $[28, 34, 38-40]$  $[28, 34, 38-40]$  $[28, 34, 38-40]$ , ionic currents active at 290 subthreshold voltages  $[9, 25, 41]$  $[9, 25, 41]$  $[9, 25, 41]$ , synaptic inputs  $[42-46]$  $[42-46]$ , and spike generator regions in the axon  $\frac{291}{291}$ at locations remote from the soma  $[18–21, 27]$  $[18–21, 27]$  $[18–21, 27]$ .

### Electrical separation of the soma and axon is essential high-frequency 293 coincidence detection 294

We focused our attention on the dynamics of spike generation and the nature of soma-axon 295 coupling since fine-tuning of soma-axon coupling may be particularly relevant for <sup>296</sup> high-frequency ITD processing. In the nucleus laminaris (NL), where neurons can face the 297 extreme challenge of extracting ITD information from kilohertz-scale inputs, previous work has <sup>298</sup> shown that spike initiation zones in the axon are more distant and smaller in size for neurons 299 that have higher characteristic frequencies [\[19\]](#page-25-5). Computational modeling also showed that ITD <sup>300</sup> sensitivity is improved if spike initiation occurs only in the axon, with the soma structured as  $\frac{301}{200}$ large and passive (without sodium current) [\[18\]](#page-25-4). Similar structural advantages may help MSO 302 neurons operate at the upper frequency-limit of their ITD sensitivity [\[20,](#page-25-6) [21,](#page-25-7) [47\]](#page-27-2).

A typical explanation for the advantage of remote spike initiation zones in NL neurons is <sup>304</sup> that this configuration can prevent temporally-summated inputs in the soma from causing  $\frac{305}{200}$ sustained depolarization of the axon. Insulating the spike-generator region from sustained  $\frac{306}{200}$ depolarization prevents inactivation of sodium channels that would suppress excitability [\[19,](#page-25-5) [27\]](#page-26-0). 307 In addition, impedance analysis in a NL model indicates that the transfer of high-frequency  $\frac{308}{200}$ voltage oscillations from soma to axon is greater if sodium current is absent from the soma  $\frac{309}{200}$ region [\[18\]](#page-25-4). Synthesizing these arguments, one could conclude that the NL soma-axon <sup>310</sup> connection should act as a high-pass filter. There should be minimal attenuation (or even <sup>311</sup> amplification) in the transfer of high-frequency voltage fluctuations to the axon, but mean soma 312 voltage or slow variations in soma voltage should not pass to the axon.  $\frac{313}{2}$ 

Using a signal detection analogy, we considered the same ITD encoding challenge, but from  $\frac{314}{2}$ the point of view of using axonal spiking as a means to monitor NL inputs for ITD information. <sup>315</sup> Informed by current understanding of NL responses to high-frequency pure tone inputs (the 316 sinusoidal analogue potential, SAP, as measured in  $[17]$  and studied further in  $[29, 30]$  $[29, 30]$ ), our view  $317$ is that spike generation in NL neurons should be insensitive to slowly-varying changes in inputs <sup>318</sup> (the kinds of changes that would be associated with temporal summation of non-coincident <sup>319</sup> inputs). Instead, NL neurons should fire in response to the high-frequency fluctuations that are  $\frac{320}{20}$ evoked by coincident synaptic events. Said differently, we concur that NL neurons should have  $\frac{321}{221}$ high-pass-like behavior to be effective ITD processors, but we locate mechanisms for  $\frac{322}{2}$ high-pass-like behavior in several nonlinear aspects of NL neural dynamics.  $\frac{323}{22}$ 

We showed that high-frequency ITD sensitivity is severely degraded by strong electrical  $\frac{324}{2}$ coupling between the soma and spike-generating regions (Fig [2\)](#page-6-0). Strong soma-axon coupling  $\frac{325}{2}$ allows for sodium in the axon to act as a source of nonlinear amplification of subthreshold <sup>326</sup>

inputs in the soma (Fig  $4A,B$ ). This causes neural excitability to depend on mean input level  $\frac{327}{2}$  $(Fig 3B)$  $(Fig 3B)$  $(Fig 3B)$ . Structural configurations with weaker soma-axon coupling linearly integrate  $\frac{328}{26}$ subthreshold inputs and thus respond more selectively to input fluctuations. This is consistent <sub>329</sub> with a previous finding that a passive soma enhances coincidence detection in an NL model  $\frac{330}{2}$ because sodium in the soma nonlinearly-amplifies subthreshold inputs [\[18\]](#page-25-4). In addition, strong <sup>331</sup> coupling slows the speed of spike initiation (which we measured as the slope spike upstroke, <sup>332</sup> Fig [4C](#page-8-0)). Similar observations about the relationship between spike upstroke and soma-axon 333 coupling have been made previously [\[32\]](#page-26-5). Fast spike initiation aids in responding to <sup>334</sup> high-frequency input [\[47–](#page-27-2)[49\]](#page-27-3), so this is a second reason why electrical separation of the soma 335 from the axon enhances high-frequency ITD sensitivity.  $336$ 

If insulation from temporally-summating inputs (to prevent sodium inactivation in the axon) <sup>337</sup> were the primary benefit of weak soma-to-axon coupling, one might expect that ITD sensitivity 338 should decrease in our model with increases in the forward-coupling constant. Some minor  $\frac{339}{2}$ trends to this effect are evident in Fig [2B](#page-6-0) for configurations with strong backward coupling. For <sup>340</sup> weaker backward coupling, though, ITD sensitivity does not depend on forward coupling  $\frac{341}{2}$ strength. Recall, though, that we selected sodium conductance separately for each coupling  $\frac{342}{2}$ configuration to maintain a comparable degree of excitability  $(500 \text{ sp/sec to in-phase inputs}).$  343 For instance, maximum sodium conductance is  $g_{Na} = 4304$  nS for the  $(\kappa_{1\rightarrow2}, \kappa_{2\rightarrow1}) = (0.3, 0.2)$  344 configuration and is  $g_{Na} = 428$  nS for the  $(\kappa_{1\rightarrow 2}, \kappa_{2\rightarrow 1}) = (0.9, 0.2)$  configuration. Reduced 345 sodium conductance for increases in forward coupling strength may explain why we did not find  $\frac{346}{2}$ that strong forward coupling, on its own, degrades ITD sensitivity by inactivating sodium  $\frac{347}{2}$ currents.  $348$ 

### Phasic excitability, a generic mechanism for neural coincidence detection, also <sup>349</sup> improves high-frequency ITD processing <sup>350</sup>

NL neurons exhibit phasic firing in vitro [\[7\]](#page-24-8), but the Hodgkin-Huxley-type models previously  $\frac{351}{20}$ developed for NL neurons do not  $[17, 18]$  $[17, 18]$ . We determined that our NL model became more  $\frac{352}{2}$ sensitive to input fluctuations and less sensitive to input mean when it was converted to the  $\frac{353}{20}$ phasic firing mode (Fig [7A](#page-13-0)). In addition, phasic firing resulted in improved ITD tuning <sup>354</sup>  $(Fig 7B)$  $(Fig 7B)$  $(Fig 7B)$ .

We steepened the sodium inactivation steady-state curve  $h_{\infty}(V)$  as a straightforward way to 356 convert the model to phasic firing (see also [\[26\]](#page-25-10)). A previous study of coincidence detection and <sup>357</sup> temporal precision in MSO neurons left-shifted the  $h_{\infty}(V)$  curve as a means to toggle between 358 phasic and tonic firing [\[25\]](#page-25-9). Either manipulation of  $h_{\infty}(V)$  creates phasic firing dynamics 355 because they strengthen the negative-feedback effect produced by sodium inactivation at <sup>360</sup> subthreshold voltage levels [\[25\]](#page-25-9).  $\frac{361}{25}$ 

If a model is in a tonic firing mode then it can be tipped into a repetitive firing pattern by <sup>362</sup> temporal summation of high-frequency inputs. This can be problematic for modeling NL neural <sup>363</sup> activity because voltage-responses in the soma that trigger spikes are small-amplitude events <sup>364</sup> (SAP fluctuations on the order of a few millivolts) [\[17\]](#page-25-3). Sodium current in these models must <sup>365</sup> be carefully calibrated, therefore, so that small fluctuations can evoke spikes but temporal <sup>366</sup> summation of inputs do not [\[28\]](#page-26-1). In past modeling studies, mean input levels have been selected  $\frac{367}{261}$ to position the dynamics near the boundary of critical points for repetitive firing  $[18]$  or have  $\frac{180}{100}$ incorporated synaptic suppression to reduce temporal-summation of synaptic inputs [\[17\]](#page-25-3). Some 369 amount of fine-tuning is necessary for these neurons because of the nature of their synaptic <sup>370</sup> inputs and the temporally-demanding computation they perform. Indeed, developmental and <sup>371</sup>

homeostatic processes do regulate axon physiology in NL neurons and their inputs  $[19, 27]$  $[19, 27]$ .  $\overline{\phantom{a}}$ That being said, phasic excitability offers a robust mode for neurons to selectively respond to 373 input fluctuations and remain insensitive to temporally-summated, slowly-varying input <sup>374</sup> currents  $[22, 23, 26]$  $[22, 23, 26]$  $[22, 23, 26]$ .

#### Toward a unified view of binaural coincidence detector neurons  $376$

We have found ITD sensitivity to be enhanced if soma and axon regions are electrically  $\frac{377}{277}$ separated in an NL neuron model and that phasic dynamics further enhance the function of  $\frac{378}{20}$ these neurons as temporally-precise coincidence detectors. Similar structural configurations may 379 enhance ITD processing by MSO neurons in mammals  $[20, 21, 47]$  $[20, 21, 47]$  $[20, 21, 47]$ , suggesting common dynamic  $\frac{380}{20}$ principles at work in these different neural systems. While MSO and NL neurons operate at <sup>381</sup> different frequency scales and in the context of cross-species differences in their auditory  $\frac{382}{2}$ pathways, they appear to share many physiological features. We have remarked that both MSO 383 and NL neurons exhibit phasic firing. In addition, they are both characterized by several 384 related physiological features (low input resistance, fast membrane time constant, prominent  $\frac{385}{100}$ voltage-gated currents active at subthreshold voltages). There are, however, notable differences 386 in these two circuits. See [\[50,](#page-27-4) for review], including discussion of the differences in the numbers  $\frac{387}{2}$ and types of synaptic inputs. Continued explorations of the similarities and differences between 388 these centers for binaural coincidence detection may clarify the function of MSO neurons with  $\frac{386}{100}$ high characteristic frequency  $[51, 52]$  $[51, 52]$  and may also provide insights into how ITD information  $\frac{390}{2}$ can be delivered with the high-frequency stimuli used in cochlear implant technology  $[53, 54]$  $[53, 54]$ .  $\frac{391}{20}$ 

There are some features of binaural neurons and circuits that we have not included in our 392 model but that can be understood in relation to our findings. Inhibitory feedback from the  $\frac{393}{200}$ superior olivary nucleus improved ITD sensitivity in a model of NL circuit in chicken [\[55\]](#page-28-1).  $\frac{394}{2}$ Inhibitory feedback that stabilizes mean input level (counteracting sustained depolarization due 395 to temporal summation) would help the NL circuit transmit ITD information via input  $\frac{396}{4}$ fluctuations. The function of inhibition in MSO neurons continues to be studied  $[44, 56-58]$  $[44, 56-58]$  $[44, 56-58]$  with  $\frac{397}{2}$ some proposals that precisely-time inhibitory inputs shift the peaks of ITD tuning  $_{398}$ curves  $[42, 43, 59, 60]$  $[42, 43, 59, 60]$  $[42, 43, 59, 60]$  $[42, 43, 59, 60]$  (but see also  $[10, 46]$  $[10, 46]$ ). Low-threshold potassium current is prominent in NL  $_{395}$ neurons and enhances ITD sensitivity in MSO neurons. As discussed by Ashida and colleagues,  $\frac{400}{400}$ low-threshold potassium current renders their model "more tolerant to changes in DC <sup>401</sup> amplitude" [\[18\]](#page-25-4). In other words, the negative-feedback effect of this current (active at  $402$ subthreshold voltage levels) can prevent supralinear amplification of subthreshold inputs (and  $\frac{403}{403}$ recall we found such amplification be detrimental to high-frequency ITD sensitivity). <sup>404</sup>

In sum, the remarkable temporal precision of binaural coincidence detector neurons requires  $\frac{405}{405}$ numerous cellular and circuit-based specializations. Tracing cross-species similarities between  $_{406}$ MSO and NL neurons provides useful perspectives on both systems. We have emphasized that  $407$ the nature of high-frequency synaptic inputs requires NL neurons to respond selectively to  $\frac{408}{408}$ fluctuations amplitude, not mean input level. Low-frequency ITD encoding in the MSO, in contrast, requires slope-sensitive neurons to respond selectively to a few well-timed <sup>410</sup> inputs  $[9, 26, 42, 51]$  $[9, 26, 42, 51]$  $[9, 26, 42, 51]$  $[9, 26, 42, 51]$ . Taken together, our findings add to the evidence that there are shared  $\frac{411}{411}$ structural and dynamical principles underlying the encoding of sound source location by neural <sup>412</sup> coincidence across different species and widely-different frequency ranges. <sup>413</sup>

### <span id="page-17-0"></span> $\bf{Methods}$  and the set of  $\bf{414}$

#### $Two-component NL$  neuron model  $415$

We studied spiking dynamics of a barn owl NL neuron using a two-compartment model that 416 had been developed previously [\[17,](#page-25-3) [18\]](#page-25-4). The model consists of a compartment with passive  $\frac{417}{417}$ dynamics (compartment 1 with voltage variable  $V_1$ , representing the soma region) and a  $418$ compartment with excitable dynamics (compartment 2 with voltage variable  $V_2$ , representing a  $_{419}$ spike-initiating node in the axon). Voltages in the two compartments are governed by coupled  $\frac{420}{420}$ differential equations  $\frac{421}{421}$ 

$$
c_1 \frac{dV_1}{dt} = -g_{lk,2}(V_1 - E_{lk,1}) - g_{ax}(V_1 - V_2) - I_{in}(t)
$$
  
\n
$$
c_2 \frac{dV_2}{dt} = -g_{lk,1}(V_2 - E_{lk,2}) - g_{ax}(V_2 - V_1) - I_{Na}(V_2) - I_{KHT}(V_2)
$$
\n(1)

where capacitance (c), leak conductance  $(q_{lk})$ , and leak reversal potential  $(E_{lk})$  can take different values in each compartment. The coupling conductance  $g_{ax}$  is the Ohmic coupling  $423$ between the two compartments. The input current to the soma  $I_{in}$  typically represents either  $\frac{424}{424}$ sinusoidal input current or conductance-based synaptic inputs. Voltage-gated ionic currents in 425 the axon are spike-generating sodium current  $(I_{Na})$  and high-threshold potassium current  $426$  $I_{KHT}$ . More details regarding these currents are given below.  $427$ 

#### Passive parameters determined by soma-axon coupling  $\frac{428}{428}$

Following the method described in [\[21\]](#page-25-7), we set passive parameter values so that  $V_1$  dynamics  $\frac{429}{429}$ reproduced basic, physiologically-measurable properties of NL neurons (resting potential, input <sup>430</sup> resistance, and membrane time constant). We then created a two-parameter space described by <sup>431</sup> the strength of forward and backward couplings between the two compartments. With this  $\frac{432}{4}$ approach we could study ITD sensitivity while systematically varying soma-axon coupling <sup>433</sup> configurations  $[21, 61]$  $[21, 61]$ .

We set passive parameter values using values of three physiological constants similar to what 435 has been reported in a previous studies of NL neurons [\[17\]](#page-25-3). These are input resistance in the  $\frac{436}{4}$ soma  $(R_1 = 5 \text{ M}\Omega)$ , resting potential in the soma  $(E_{rest} = -62 \text{ mV})$ , also used for  $V_2$  resting 437 voltage), and soma membrane time constant describing the time-scale of exponential decay of <sup>438</sup>  $V_1$  ( $\tau_{exp} = 0.1$  ms). We assumed the surface area of the first compartment is orders of  $439$ magnitude larger than the surface area of the second compartment  $(2400 \ \mu m^2)$  compared to  $440$  $20 \ \mu m^2$ , consistent with previous NL modeling studies following [\[17,](#page-25-3) [18\]](#page-25-4).

We followed the approach in [\[21\]](#page-25-7) to determine passive parameters in the two-compartment  $\frac{442}{4}$ model. This enables systematic variation of coupling configuration will maintaining nearly <sup>443</sup> identical passive  $V_1$  dynamics. Defining  $U_1$  and  $U_2$  to be the deviations of voltages from rest and  $\mu$ removing the voltage-gated currents  $I_{Na}$  and  $I_{KHT}$ , the passive dynamics relative to rest are:  $\frac{445}{456}$ 

$$
\tau_1 \frac{dU_1}{dt} = -U_1 + \kappa_{2 \to 1} U_2 - J_{in}(t)
$$
  

$$
\tau_2 \frac{dU_2}{dt} = -U_2 + \kappa_{1 \to 2} U_1
$$

<span id="page-17-1"></span>

where the time constant parameters  $\tau_i = c_i/(g_i + g_{ax})$  for  $i = 1, 2$  and  $J_{in} = I_{in}/(g_1 + g_{ax})$  is a  $\tau_i$ rescaled input term. The soma-to-axon (forward) coupling parameter  $\kappa_{1\rightarrow2}$  and axon-to-soma 447 (backward) coupling parameter  $\kappa_{2\to1}$  describe the impact of voltage deviations in one  $\epsilon_{448}$ compartment on the other. Alternatively, these parameters can be thought of as steady-state 449 attenuation factors between the two compartments. They are  $450$ 

$$
\kappa_{i \to j} = g_{ax}/(g_j + g_{ax}) \qquad \text{for} \quad i, j = 1, 2 \text{ with } i \neq j
$$

Due to the large discrepancy in membrane surface areas, there is a time-scale separation  $\frac{451}{451}$ between the passive dynamics in the two-compartments  $(V_2$  is fast relative to  $V_1$ ). In particular, 452 the ratio of time constants is  $\tau_2/\tau_1 = \alpha \kappa_{1\to 2}/\kappa_{2\to 1}$ . For  $\alpha = 20/2400$  (as specified above) and 453 the range of coupling constants used in our study, we have that  $\tau_2$  takes values approximately  $\tau_4$ 10 to 100 times smaller than  $\tau_1$ . Due to this separation of time-scales, we could use fast-slow  $\tau_4$ analysis to uniquely define combinations of passive parameters that vary soma-axon coupling  $456$ while maintaining nearly identical passive dynamics in the soma compartment (see [\[21\]](#page-25-7) for  $\frac{457}{457}$  $\text{details):}$  458

$$
g_{ax} = \frac{\kappa_{2\to 1}}{R_1(1 - \kappa_{1\to 2}\kappa_{2\to 1})}
$$
  
\n
$$
g_1 = g_{ax} \left(\frac{1}{\kappa_{2\to 1}} - 1\right)
$$
  
\n
$$
g_2 = g_{ax} \left(\frac{1}{\kappa_{1\to 2}} - 1\right)
$$
  
\n
$$
c_1 = \tau_{exp}(1 - \kappa_{1\to 2}\kappa_{2\to 1})(g_1 + g_{ax})
$$
  
\n
$$
c_2 = \alpha c_1
$$
\n(2)

Values of these parameters throughout the coupling parameter space are shown in Fig [8A](#page-19-0)-C. <sup>459</sup>

#### $\nu_{\rm{edge-gated}}$  spike-generating currents  $460$

The voltage-gated currents in the axon region are the spike-generating sodium current  $I_{Na}$  and  $\rightarrow$ the high-threshold potassium current  $I_{KHT}$ . We modeled the dynamics of these currents as  $462$  $\text{in } [17]:$  $\text{in } [17]:$  $\text{in } [17]:$  463

$$
I_{Na}(V_2) = g_{Na}mh(V_2 - E_{Na}) - m_{\infty}(V_{rest})h_{\infty}(V_{rest})(V_{rest} - E_{Na})
$$
  

$$
I_{KHT}(V_2) = g_{KHT}N(V_2 - E_{Na}) - n_{\infty}(V_{rest})(V_{rest} - E_{Na}).
$$

We include the second term so that these currents are zero at rest. This facilitates our  $464$ exploration of coupling parameter space. This can be implemented equivalently as a shift in the  $_{465}$ leak reversal potential. Reversal potentials are  $E_{Na} = 35$  mV and  $E_K = -75$  mV.

We set maximal sodium conductance  $g_{Na}$  separately for each coupling configuration to  $467$ achieve a consistent firing rate response of 500 spikes per second to in-phase synaptic inputs. <sup>468</sup> We selected this criterion to be similar to the peak firing rate reported in previous modeling  $469$ work [\[17,](#page-25-3) [30\]](#page-26-3). We found  $g_{Na}$  values to range from 200 nS to 7000 nS, roughly (Fig [8D](#page-19-0)). We set  $\alpha_{70}$ the maximal high-threshold potassium conductance to  $g_{KHT} = 0.3g_{Na}$  as in [\[17\]](#page-25-3). The passive  $\frac{471}{47}$ 

<span id="page-18-0"></span>

<span id="page-19-0"></span>

Fig 8. Parameter space for two-compartment NL model. (A) Total conductance in the soma compartment  $(g_1)$ . (B) Total conductance in the axon compartment  $(g_2)$ . (C) Axial conductance between the two compartments  $(g_{ax})$ . Parameters in  $(A-C)$  depend uniquely on soma-axon coupling constants and commonly-reported physiological properties (input resistance and membrane time constant in the soma) and are determined for a passive model. (D) Sodium conductance in the axon compartment  $(g_{Na})$ . This parameter is determined so that, at each coupling configuration, the model fired at 500 spikes/sec at ITD = 0  $\mu$ s. Colored dots mark the specific coupling configurations used in many figures (refer to Fig [1\)](#page-5-0). We used, as a reference value  $\kappa_{1\to 2} = 0.9$  and  $\kappa_{2\to 1} = 0.5$  since this configuration is similar to the parameter set used in [\[17\]](#page-25-3).

leak conductance in the axon  $(g_{lk,2}$  in Eq [1\)](#page-17-1) was reduced by amounts equal to  $g_{Na}$  and  $g_{KHT}$  to 472 maintain the total axon conductance at rest determined by the initial parameter-fitting <sup>473</sup> calculation  $(g_2$  in Eq [2\)](#page-18-0). We did not include any voltage-gated currents in the soma (as in [\[18\]](#page-25-4)),  $\frac{474}{40}$ so leak conductance in the soma compartment is identical to  $g_1$ .

The kinetics of the gating variables are governed by equations of the form  $476$ 

$$
\frac{du}{dt} = \phi\left(\frac{u_{\infty}(V_2) - u}{\tau_u(V_2)}\right) \qquad \text{(for } u = m, h, n\text{)}.
$$

The constant  $\phi = 4.75$  adjusts for temperature at 40° C with Q10 factor 2.5. The functions  $u_{\infty}$  477 and  $\tau_u$  are identical to the model in [\[17\]](#page-25-3) using the conventional definitions that  $478$  $u_{\infty}(V) = \alpha_u(V)/(\alpha_u(V) + \beta_u(V))$  and  $\tau_u(V) = 1/(\alpha_u(V) + \beta_u(V))$  where  $\alpha_u$  and  $\beta_u$  represent 479 opening and closing rates, respectively, for voltage-gated ion channel subunits (Table [1\)](#page-20-1) <sup>480</sup>

#### <span id="page-20-1"></span>Table 1. Voltage-gated ion channel subunit kinetics.

Na activation  $(m)$  $\alpha_m(V)=3.6e^{(V+34)/7.5}$  $\beta_m(V) = 3.6e^{-(V+34)/10}$ Na inactivation  $(h)$  $\alpha_h(V) = 0.6e^{-(V+34)/18}$  $\beta_h(V) = 0.6e^{(V+34)/13.5}$ KHT activation  $(n)$  $\alpha_n(V) = 0.110e^{(V+19.)/9.1}$  $\beta_n(V) = 0.103e^{-(V+19.)/20}$ 

#### Modification of sodium inactivation for phasic model  $481$

We created a phasic version of the model by altering the steady-state function for sodium inactivation  $(h_{\infty})$ . The default definition of  $h_{\infty}$  using the values of the  $\alpha_h$  and  $\beta_h$  given in  $\qquad$ Table [1](#page-20-1) is  $484$ 

$$
h_{\infty}(V) = \frac{1}{1 + e^{(V + 57)/\sigma}}
$$
\n
$$
\tag{3}
$$

where  $\sigma = 7.7$  reproduces the model in [\[17\]](#page-25-3). We found that reducing  $\sigma$  (resulting in steeper  $h_{\infty}$ curve) was a practical way to toggle the model between a tonic firing mode (at the default  $\sigma$ value) and a phasic firing mode (for smaller  $\sigma$  values). We recalculated maximal sodium conductance separately for each  $\sigma$  value to maintain the consistent peak firing rate of 500 spikes/sec. It was necessary to increase  $g_{Na}$  for smaller values of  $\sigma$ . For the coupling configuration  $\kappa_{1\to 2} = 0.9$  and  $\kappa_{2\to 1} = 0.5$ , for example,  $g_{Na}$  increased from 1240 nS for  $\sigma = 9$  to 490 1838 nS for  $\sigma = 3$ .

<span id="page-20-0"></span>

#### $Synaptic current and the SAP$   $492$

We modeled input currents  $I_{in}$  as either a sinusoidally-varying conductance (an idealized  $493$ description of the high-frequency oscillatory input to NL neurons  $[17, 18, 29]$  $[17, 18, 29]$  $[17, 18, 29]$  or as the summed  $494$ input of simulated excitatory synaptic events. In the first case (idealized sinusoidal input), the <sup>495</sup> parameter  $g_0$  is the baseline (mean) level of the input conductance and  $g_1$  is the amplitude of  $\frac{496}{4}$ input oscillations. The reversal potential is  $E_{sun} = 0$  mV for both input types. We used  $497$  $f = 4000$  Hz in all simulations in this study. This value is in the range of high-frequency tones  $\frac{498}{4}$ that barn owls can localize and has been used in previous modeling studies  $[17, 18, 30]$  $[17, 18, 30]$  $[17, 18, 30]$ . Our  $\frac{499}{4}$ rationale for this idealized input is that tone-evoked voltage responses in the soma of NL  $\sim$  500 neurons are characterized by oscillations at the tone frequency (the so-called sinusoidal 501 analogue potential, SAP) and that SAP amplitude (not baseline level) varies with ITD [\[17\]](#page-25-3).  $\frac{502}{20}$ The parameter  $g_1$  controls the amplitude of this idealized input with large  $g_1$  interpreted to  $\sim$  503 represent preferred ITDs with coincident inputs that drive maximal firing.

For simulations in which we preferred a more biophysically-realistic description of the ITD 505 computation performed by NL neurons, we let  $I_{in}(t) = g(t)(E_{syn} - V_1)$  where  $g(t)$  represents 506 synaptic conductance generated by simulated trains of synaptic events filtered by 507 short-duration excitatory post-synaptic potentials (EPSGs):  $508$ 

<span id="page-21-0"></span>
$$
g(t) = \sum_{n=1}^{N} \sum_{t_{i,n}} g_s s(t - t_{i,n}).
$$
\n(4)

We used the synaptic model of Ashida and colleagues [\[29,](#page-26-2) [30\]](#page-26-3) and parameters drawn from their  $\frac{509}{20}$ work. Synaptic reversal potential is  $E_{syn} = 0$  mV (as above) and the time-course of synaptic  $=$  510 conductance  $g(t)$  is a random process constructed as the sum of unitary EPSG events produced  $\sigma$ <sub>511</sub> by N independent input neurons whose event times  $t_{i,n}$  are sampled from an inhomogeneous  $\overline{\phantom{nn}}$ Poisson process. The Poisson intensity  $\lambda(t)$  is the periodic function  $\lambda(t) = 2\pi \lambda_0 p_k(2\pi ft)$ , where 513  $f = 4000$  Hz is the input frequency,  $\lambda_0$  is the baseline rate (500 Hz), and  $p_\kappa$  is the von Mises 514 distribution function with concentration parameter  $\kappa$  (see [\[29,](#page-26-2) [30\]](#page-26-3) for details). The unitary 515  $EPSG$  events are alpha-functions  $516$ 

$$
s(t - t_i) = \begin{cases} 0 & \text{for } t < t_{i,n} \\ (g_s t / \tau_{syn}) e^{1 - t / \tau_{syn}} & \text{for } t \ge t_{i,n} \end{cases}
$$

with maximal conductance  $g_0 = 1.3$  nS and time constant  $\tau_{syn} = 40.9 \mu$ s. This exceptionally 517 brief time constant is required for the model to replicate properties of the SAP observed in  $\frac{1}{518}$ *vivo* [\[17,](#page-25-3) [29,](#page-26-2) [30\]](#page-26-3). We used  $N = 300$  for the total number of inputs (NM neurons), evenly divided  $\overline{5}19$ into two input streams to simulate binaural (two-eared) inputs. Synaptic inputs carry ITDs  $\frac{520}{20}$ when there is a time-lag between the time-courses of  $\lambda(t)$  used in each of the two NM  $_{521}$ population representing the two "ears."  $522$ 

#### Measure of coincidence detection sensitivity and ITD coding  $\frac{1}{2}$  s<sub>23</sub>

We simulated the sound localization computation performed by NL neurons by measuring mean  $524$ firing rate of the two-compartment model in response to repeated samples from the synaptic 525

input model. Spikes (defined as an upward crossing of  $V_2$  past  $-30$  mV were counted over a 526 duration of 20 ms and mean spike rates were averages from 100 repetitions.

As a summary measure of ITD sensitivity we calculated the difference between in-phase and  $\frac{528}{20}$ out-of-phase mean firing rates (visualized as the peak-to-trough difference in ITD tuning curve <sup>529</sup> height). We denoted this statistic as  $\Delta R$  and note that it has been used commonly used in 530 previous studies of ITD processing including for NL neurons [\[17,](#page-25-3) [18,](#page-25-4) [28\]](#page-26-1).

We calculated thresholds for repetitive spiking in response to sinusoidal input conductance.  $\frac{532}{200}$ Repetitive firing to these inputs was defined as more than one spike in both halves of the 533 20 ms-long stimulus. Threshold for repetitive firing was defined as the smallest possible input <sup>534</sup> strength at which repetitive firing could be observed over a range of initial values. A modified 535 bisection search method was used so that, with  $g_0$  fixed,  $g_1$  thresholds were calculated to within  $\frac{536}{2}$  $\pm 0.5$  nS. As part of the bisection search, we found it necessary to systemically test a range of  $\frac{537}{2}$ initial values in order to identify the minimum threshold in cases when the model exhibited  $\frac{538}{538}$ hysteresis dynamics. 539

#### $\sum_{540}$  Numerical methods  $\sum_{540}$

Original simulation code was developed in C, python, and Matlab and is available at <sup>541</sup> <https://github.com/jhgoldwyn/TwoCompartmentNL>. Computations to determine  $g_{Na}$  542 conductance values and measure ITD tuning curves were performed on a multi-CPU cluster <sup>543</sup> maintained by Swarthmore College. All other computations were performed on personal <sup>544</sup> laptops. Two-compartment model simulations were carried out using the forward Euler method <sup>545</sup> with a 0.1  $\mu$ s time-step. Synaptic conductance time-courses  $(g(t))$  in Eq [4\)](#page-21-0) were also computed 546 at this temporal resolution. For some coupling configurations (those with large  $g_{Na}$  values), we  $\frac{547}{2}$ found it necessary to use smaller time step-sizes in the Euler calculations, in which case we <sup>548</sup> linearly interpolated synaptic conductance time-courses to the smaller time steps.

### Synaptic classification by Fisher's linear discriminant 550

As conceptual support for how to understand the coincidence detection computation performed  $\frac{551}{2}$ by NL neurons, we considered how in-phase and out-of-phase inputs could be linearly separated 552 (Fig [3A](#page-7-0)). Specifically, for every period of the 4 kHz stimulus, we measured the mean and <sup>553</sup> amplitude (half the maximum-to-minimum range of  $q(t)$ ) of synaptic input currents for  $\sim$  554 one-second-long samples of the biophysically-based synaptic input model (see above). We then <sup>555</sup> used Fisher's linear discriminant to find the direction w along which to project these data in 556 order to maximally separate them in-phase from out-of-phase synaptic inputs. Let  $\mathbf{x}_n$  be the  $\mathbf{s}_5$ vector containing the mean and amplitude of  $g(t)$  on each period of an in-phase input,  $\mathbf{y}_n$  the 558 corresponding vector for out-phase inputs, and denote the means of these values (over all 559 periods of the input) as  $\langle x \rangle$  and  $\langle y \rangle$ , respectively. Then Fisher's Linear Discriminant for  $\sim$  560 optimally separating the in-phase and out-of-phase inputs on a cycle-by-cyle basis is to project 561 these data onto any vector **w** in the direction of  $\Sigma_W^{-1}(\mathbf{m}_1 - \mathbf{m}_2)$  [\[31\]](#page-26-4), where  $\Sigma_W$  is the 562  ${\rm within-class\ covariance\ matrix}$  563

$$
\Sigma_W = \sum_n (\mathbf{x}_n - \langle \mathbf{x} \rangle)^T (\mathbf{x}_n - \langle \mathbf{x} \rangle) + \sum_n (\mathbf{y}_n - \langle \mathbf{y} \rangle)^T (\mathbf{y}_n - \langle \mathbf{y} \rangle).
$$

#### $\mathbf{Nonlinear\ integer}$  integrate-and-fire model  $_{564}$

Two features of nonlinear dynamics in the axon that we found could impact coincidence  $\frac{565}{565}$ detection sensitivity are the quickness of spike initiation and the extent to which sodium  $\frac{566}{566}$ current in the axon amplifies subthreshold voltages in the soma (causing the input region to  $\frac{567}{667}$ deviate from linear, passive dynamics). We developed a nonlinear integrate-and-fire mode to  $\frac{568}{100}$ investigate these two features. The dynamics of this model were governed by separate rules for  $\frac{566}{100}$ subthreshold and suprathreshold (spike initiation) behavior to investigate these two mechanisms  $\frac{570}{20}$ with direct parameter control. 571

$$
\begin{cases}\n\tau \frac{dx}{dt} &= -x - f(x) + a + b \sin(2\pi ft) & \text{if } x < x_{thresh} \\
\tau \frac{dx}{dt} &= q(x - x_{thresh}) + a + b \sin(2\pi ft) & \text{if } x_{thresh} \le x < x_{max} \\
x = 0 & \text{if } x \ge x_{max}\n\end{cases}
$$

where the function  $f(x)$  has the form  $572$ 

 $f(x) = \begin{cases} x & \text{if } x \leq 0 \\ 0 & \text{if } x \leq 0 \end{cases}$  $(1-p)x+p\left(\frac{x}{1+p}\right)$  $\left(\frac{x}{1+x}\right)$  if  $0 \leq x < x_{thresh}$ , with  $0 \leq p \leq 1$ 

We view the piecewise-nonlinearity in  $f(x)$  as a caricature of the amplifying effect that  $\frac{573}{573}$ sodium current in the axon can have if there is sufficient backpropagation from axon to soma  $574$ (strong backward coupling), as shown in Fig [4A](#page-8-0) and B. In particular, the parameter  $p$  575 interpolates between linear subthreshold dynamics  $(p = 0)$  and supralinear subthreshold  $576$ dynamics  $(p = 1)$ .

Spike generation in the model in two phases. First, if  $x(t)$  exceeds the spike initiation  $578$ threshold  $x_{thresh}$ , then  $x(t)$  increases with the exponential growth rate q. Second, spike  $575$ generation occurs at the instant at which  $x(t)$  exceeds  $x_{max} = 50$ , at which point the value of 580  $x(t)$  resets to  $x_{reset} = -5$ . We use the exponential growth parameter q to characterize the slope 581 of spike upstroke, which we observed could change with coupling configuration (Fig  $4C$ ). The  $\frac{582}{2}$ integrate-and-fire model was simulated in Matlab with code available at 583 <https://github.com/jhgoldwyn/TwoCompartmentNL>. <sup>584</sup>

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### $\mathbf{Competing\text{ }interests}$

The authors declare they have no competing interests related to this research.  $\frac{592}{200}$ 

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