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### Recommended Citation

D. Anderson, Linda Chen, and H.-H. Tseng. (2022). "On The Finiteness Of Quantum K-Theory Of A Homogeneous Space". *International Mathematics Research Notices*. Volume 2022, Issue 2. 1313-1349.  
DOI: 10.1093/imrn/rnaa108  
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# ON THE FINITENESS OF QUANTUM K-THEORY OF A HOMOGENEOUS SPACE

DAVID ANDERSON, LINDA CHEN, AND HSIAN-HUA TSENG

**ABSTRACT.** We show that the product in the quantum K-ring of a generalized flag manifold  $G/P$  involves only finitely many powers of the Novikov variables. In contrast to previous approaches to this finiteness question, we exploit the finite difference module structure of quantum K-theory. At the core of the proof is a bound on the asymptotic growth of the  $J$ -function, which in turn comes from an analysis of the singularities of the zastava spaces studied in geometric representation theory.

An appendix by H. Iritani establishes the equivalence between finiteness and a quadratic growth condition on certain shift operators.

Let  $G$  be a simply connected complex semisimple group, with Borel subgroup  $B$ , maximal torus  $T$ , and standard parabolic group  $P$ . The main aim of this article is to prove a fundamental fact about the quantum K-ring of the homogeneous space  $G/P$ .

**Theorem.** *The structure constants for (small) quantum multiplication of Schubert classes in  $QK_T(G/P)$  are polynomials in the Novikov variables, with coefficients in the representation ring of the torus.*

This is proved as Theorem 10 below. A priori, quantum structure constants are power series in the Novikov variables, which keep track of degrees of curves; our theorem says that in fact, only finitely many degrees appear. This property is often referred to as *finiteness* of the quantum product.

Finiteness has been the subject of conjectures since the beginnings of the combinatorial study of quantum K-theory in Schubert calculus. Indeed, this property is a foundational prerequisite for the main components of Schubert calculus: a presentation of the quantum K-ring as a quotient by a polynomial ring; a set of polynomial representatives for Schubert classes; and finally, combinatorial formulas for the structure constants themselves.

In quantum cohomology, finiteness of the quantum product is immediate from the definition. In this case, the structure constants are Gromov-Witten invariants—certain integrals on the moduli space of stable maps into  $G/P$ —and they automatically vanish for curves of sufficiently large degree, by dimension reasons. In K-theory, by contrast, the analogous Gromov-Witten invariants are certain Euler characteristics on the moduli space, and there is no reason for them to vanish for large degrees—in fact they do not.

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*Date:* February 12, 2020.

D. A. is supported in part by NSF grant DMS-1502201. L. C. is supported in part by Simons Foundation Collaboration grant 524354. H.-H. T. is supported in part by NSF grant DMS-1506551.

The structure constants for the quantum product in K-theory are rather complicated alternating sums of Gromov-Witten invariants, so a direct proof of finiteness involves demonstrating massive cancellation.

In the cases where finiteness was previously known, this direct approach was used, employing a detailed analysis of the geometry of the moduli space of stable maps, and especially its “Gromov-Witten subvarieties”, whose Euler characteristics compute K-theoretic Gromov-Witten invariants of  $G/P$ . In their paper on Grassmannians, Buch and Mihalcea showed that these Gromov-Witten varieties are rational for sufficiently large degrees; this implies that the corresponding invariants are equal to 1, and the required cancellation can be deduced combinatorially [14]. Together with Chaput and Perrin, they extended this idea to prove finiteness for *cominuscule varieties*, a certain class of homogeneous varieties of Picard rank one [11, 12]. (Furthermore, according to [12, Remark 1.1], finiteness holds for any projective rational homogeneous space of Picard rank one.)

Recently, Kato [25, 26] has proven some remarkable conjectures [32] about the quantum K-ring of a *complete* flag variety  $G/B$ . Up to inverting some elements, he establishes ring isomorphisms

$$QK_T(G/B) \cong K_T^\circ(\text{semi-infinite flag variety}) \cong K_\circ^T(\text{affine Grassmannian}).$$

In particular, Kato’s work implies finiteness for  $QK_T(G/B)$ . See [25, Corollary 3.3], noting that the argument given there relies on our Lemma 6 (in establishing the first isomorphism above), but otherwise is independent of our approach.

In this paper we prove the finiteness result for  $QK_T(G/P)$  for all partial flag varieties. The starting point of our method is the fundamental fact that quantum K-theory admits the structure of a  $D_q$ -module. This structure was first found for the quantum K-theory of the complete flag variety  $Fl_{r+1} = SL_{r+1}/B$  by Givental and Lee, and later derived in general by Givental and Tonita from a characterization theorem of quantum K-theory in terms of quantum cohomology, the so-called *quantum Hirzebruch-Riemann-Roch theorem* [20, 21]. As explained by Iritani, Milanov, and Tonita, this  $D_q$ -module structure is manifested as a difference equation (Equation (11) below) satisfied by certain generating functions  $J$  and  $T$  of K-theoretic Gromov-Witten invariants; they also explain how the quantum product by a line bundle is related to these generating functions and use this to compute the quantum product for  $Fl_3$  [24]. More details are reviewed in §1.5.

The general strategy of our proof can be summarized as follows. If one can appropriately bound the coefficients appearing in the generating functions  $J$  and  $T$ , then results of [24] allow one to deduce that the quantum product by a line bundle is finite. For a complete flag variety, this is sufficient, since  $K_T(G/B)$  is generated by line bundles. In fact, it is also true that the K-theory of  $G/P$  is generated by line bundle classes, after inverting certain elements of the representation ring; this seems to be less well known, so we include a proof in Lemma 1.

The technical heart of our argument lies in obtaining the appropriate bound on the growth of coefficients of  $J$  and  $T$  as  $q \rightarrow +\infty$ . Here we divide the problem and treat the  $G/B$  and  $G/P$  cases separately. For  $G/B$ , we analyze the geometry of the *zastava space*, a compactification of the space of (based) maps studied extensively in geometric representation theory. Specifically, we use a computation of the canonical sheaf of the zastava space due to Braverman and Finkelberg [7, 8], together with some properties of its singularities. This leads to the bound for  $J$  stated in Lemma 4, as well as the stronger bound of Lemma 4<sup>+</sup> for simply-laced types. For bounds for  $T$  we appeal to Kato's work and a result of H. Iritani (the Proposition of Appendix B). We then transfer our bounds for  $G/B$  to bounds for  $G/P$ , using the main geometric constructions in Woodward's proof of the Peterson comparison formula [40].

With the bounds in hand, we deduce finiteness in §5. Here our arguments take advantage of the explicit form of our bounds for  $J$ , together with an inequality in root lattices proved in Appendix A.

We expect our methods to find further applications in quantum Schubert calculus. Most immediately, we can establish a presentation of the quantum K-ring of  $SL_{r+1}/B$ , resolving a conjecture by Kirillov and Maeno [36, 23]. (Using a different definition of quantum K-theory, a similar presentation was obtained in [29].) Together with algebraic work done by Ikeda, Iwao, and Maeno [23], this confirms some conjectural relations between the quantum K-ring of the flag manifold and the K-homology of the affine Grassmannian [32], giving an alternative to Kato's approach. Some results in this direction are included in our preprint [2].

A secondary goal of this article is to illustrate the power of finite-difference methods in quantum Schubert calculus. To this end, we have included a fair amount of background. We hope these sections may serve as a helpful companion to the foundational papers of Givental and others.

*Acknowledgements.* We thank A. Givental, T. Ikeda, H. Iritani, S. Kato, S. Kovács, and C. Li for helpful discussions, and the referees for insightful comments that improved the manuscript.

## 1. BACKGROUND

**1.1. Roots and weights.** Let  $\Lambda$  be the weight lattice for the torus  $T$ , and let  $\varpi_1, \dots, \varpi_r$  be the fundamental weights for the Lie algebra of  $G$ . The representation ring  $R(T)$  is naturally identified with the group ring  $\mathbb{Z}[\Lambda]$ , and can be written as a Laurent polynomial ring  $\mathbb{Z}[e^{\pm\varpi_1}, \dots, e^{\pm\varpi_r}]$ . The simple roots  $\alpha_1, \dots, \alpha_r$  generate a sublattice of  $\Lambda$ . The coroot lattice  $\check{\Lambda}$  has a basis of simple coroots  $\check{\alpha}_1, \dots, \check{\alpha}_r$ , dual to  $\varpi_1, \dots, \varpi_r$ . We often write

$$\lambda = \lambda_1\varpi_1 + \dots + \lambda_r\varpi_r \quad \text{and} \quad d = d_1\check{\alpha}_1 + \dots + d_r\check{\alpha}_r$$

for elements of  $\Lambda$  and  $\check{\Lambda}$ . Usually,  $d$  denotes a *positive element* of the coroot lattice, meaning all the integers  $d_i$  are nonnegative. We write  $d \geq 0$  or  $d \in \check{\Lambda}_+$  to indicate

positive elements, and  $d > 0$  to mean a nonzero such  $d$ . This induces a partial order in the standard way, so  $d' \geq d$  iff  $d' - d \geq 0$ ; that is,  $d'_i \geq d_i$  for all  $i$ .

The vector spaces  $\Lambda \otimes \mathbb{R}$  and  $\check{\Lambda} \otimes \mathbb{R}$  are identified using the Weyl-invariant inner product  $(\cdot, \cdot)$ , normalized so that  $(\alpha_i, \alpha_i) = 2$  when  $\alpha_i$  is a short root. For example, this means  $(d, \lambda) = \sum d_i \lambda_i$ . For  $G = SL_{r+1}$ , we have

$$(d, d) = \sum_{i=1}^{r+1} (d_i - d_{i-1})^2,$$

where by convention  $d_0 = d_{r+1} = 0$ .

A *standard parabolic subgroup* is a closed subgroup  $P$  such that  $G \supseteq P \supseteq B$ . By recording which negative simple roots occurs as weights on the Lie algebra of  $P$ , such parabolics correspond to subsets of the simple roots. (To be clear,  $B$  corresponds to the empty set, while  $G$  corresponds to the whole set of simple roots.) Let  $I_P \subseteq \{1, \dots, r\}$  be the indices of simple roots corresponding to  $P$ .

The sublattice  $\Lambda_P \subseteq \Lambda$  of weights  $\lambda$  such that  $(\check{\alpha}_i, \lambda) = 0$  for  $i \in I_P$  is spanned by the weights  $\varpi_j$  for  $j \notin I_P$ . Dually,  $\check{\Lambda}_P \subseteq \check{\Lambda}$  is the sublattice spanned by  $\check{\alpha}_i$  for  $i \in I_P$ . We write  $\check{\Lambda}^P = \check{\Lambda}/\check{\Lambda}_P$ , and  $\check{\Lambda}_+^P$  for the image of  $\check{\Lambda}_+$ . So  $\check{\Lambda}_+^P$  is spanned by the images of  $\check{\alpha}_i$  for  $i \notin I_P$ .

Let  $\rho = \varpi_1 + \dots + \varpi_r$  be the *Weyl element*, the smallest regular dominant weight. For any  $d \in \check{\Lambda}$ , we have  $(d, \rho) = \sum d_i =: |d|$ .

**1.2. Flag varieties.** Each weight  $\lambda \in \Lambda$  gives rise to an equivariant line bundle  $P^\lambda$  on the complete flag variety  $G/B$ . Writing  $P_i$  for the line bundle corresponding to  $\varpi_i$ , we have  $P^\lambda = P_1^{\lambda_1} \cdots P_r^{\lambda_r}$  when  $\lambda = \lambda_1 \varpi_1 + \dots + \lambda_r \varpi_r$ .

Each fundamental weight  $\varpi_i$  corresponds to an irreducible representation  $V_{\varpi_i}$ . There is an embedding

$$\iota: G/B \hookrightarrow \Pi := \prod_{i=1}^r \mathbb{P}(V_{\varpi_i}),$$

such that  $P_i = \iota^* \mathcal{O}_i(-1)$  is the pullback of the tautological subbundle from the  $i$ th factor of  $\Pi$ .

For example, when  $G = SL_{r+1}$ , the flag variety  $G/B = Fl_{r+1}$  parametrizes all complete flags in  $\mathbb{C}^{r+1}$ . We have  $V_{\varpi_i} = \bigwedge^i \mathbb{C}^{r+1}$ , and the line bundle  $P_i$  is the top exterior power  $\bigwedge^i S_i$  of the  $i$ th tautological subbundle on  $Fl_{r+1}$ .<sup>1</sup>

Equivariant line bundles on  $G/P$  correspond to weights  $\lambda \in \Lambda_P$ . We will continue to use the notation  $P^\lambda$  for such bundles; the meaning of “ $P$ ” (as parabolic or line bundle) should be clear from context. As with  $G/B$ , there is an embedding

$$\iota: G/P \hookrightarrow \prod_{j \notin I_P} \mathbb{P}(V_{\varpi_j}),$$

<sup>1</sup>Our conventions agree with [20], but are opposite to those of [24], where  $P_i$  is replaced by  $P_i^{-1}$ .

with  $P_j$  being the pullback of  $\mathcal{O}(-1)$  from the  $j$ th factor.

There are natural identifications  $H_2(G/B, \mathbb{Z}) = \check{\Lambda}$  and  $\text{Eff}_2(G/B) = \check{\Lambda}_+$ , as well as  $H_2(G/P, \mathbb{Z}) = \check{\Lambda}^P$  and  $\text{Eff}_2(G/P) = \check{\Lambda}_+^P$ . The pushforward  $H_2(G/B) \rightarrow H_2(G/P)$  is identified with the quotient map  $\check{\Lambda} \rightarrow \check{\Lambda}^P$ . The pullback  $H^2(G/P) \rightarrow H^2(G/B)$  dual to this projection is identified with the inclusion  $\Lambda_P \hookrightarrow \Lambda$ .

It is a basic fact that  $K_T(G/B)$  is generated by  $P_1, \dots, P_r$  as an  $R(T)$ -algebra; that is, there is a surjective homomorphism

$$R(T)[P_1, \dots, P_r] \twoheadrightarrow K_T(G/B).$$

(See, for example, [30, §4].) Thus there is an  $R(T)$ -basis for  $K_T(G/B)$  consisting of monomials in the  $P_i$ , and in particular, any other basis—for example, a Schubert basis—can be written as a finite  $R(T)$ -linear combination of such monomials.

In general, it is not the case that  $K_T(G/P)$  is generated by line bundles as an  $R(T)$ -algebra. However, after extending scalars to the fraction field  $F(T)$  of  $R(T)$ , the algebra is generated by line bundles. This fact seems to be less well known, although it is implicit in [13], and the idea of the proof can be found in [15, Lemma 4.1.3]. For clarity, we state a general version here.

**Lemma 1.** *Let  $X \hookrightarrow Y$  be a closed  $T$ -equivariant inclusion of smooth varieties. Assume that the restriction homomorphism  $K_T(Y^T) \rightarrow K_T(X^T)$  is surjective. If  $\{\alpha\}$  is a set of generators for  $K_T(Y)$  as an  $R(T)$ -algebra, then the restrictions  $\{\beta\}$  generate  $F(T) \otimes_{R(T)} K_T(X)$  as an  $F(T)$ -algebra.*

*Proof.* The proof follows directly from the localization theorem, which gives natural isomorphisms  $F(T) \otimes_{R(T)} K_T(X) \cong F(T) \otimes_{R(T)} K_T(X^T)$ . A little more precisely, rather than passing to  $F(T)$ , it suffices to invert elements  $1 - e^{-\alpha}$  of  $R(T)$ , where  $\alpha$  runs over characters appearing in the normal spaces to  $X^T$  in  $X$ .  $\square$

A particular case of the lemma is this:

*Whenever  $X$  is a smooth projective variety with finitely many attractive fixed points, the  $F(T)$ -algebra  $F(T) \otimes_{R(T)} K_T(X)$  is generated by the class of an ample line bundle.*

An isolated fixed point  $p$  of a (possibly singular) variety  $X$  is called *attractive* if all the weights of the action of  $T$  on the Zariski tangent space at  $p$  lie in an open half-space. This condition guarantees that under any equivariant embedding  $X \hookrightarrow \mathbb{P}^n$ , each of the finitely many fixed points of  $X$  maps to a distinct connected component of  $(\mathbb{P}^n)^T$ , which in turn implies that the restriction map is surjective.

The standard torus action on  $G/P$  has finitely many attractive fixed points, so the lemma applies to the case we study. (A different, combinatorial argument for equivariant cohomology of  $G/P$  is given in [13, Remark 5.11].)

**1.3. Equivariant multiplicities and the fixed-point formula.** One of the main tools for computing in quantum K-theory is torus-equivariant localization on moduli spaces. We quickly review the main theorem we will use. This material is standard; see, e.g., [1] for an exposition aligned with our needs, [10] for a parallel discussion in the case of equivariant Chow groups, and [4] for applications to Gromov-Witten theory.

Suppose a torus  $T$  acts on a variety  $X$ . The Grothendieck group of equivariant coherent sheaves is  $K_{\circ}^T(X)$ . There is a natural isomorphism

$$(1) \quad F(T) \otimes_{R(T)} K_{\circ}^T(X^T) \xrightarrow{\sim} F(T) \otimes_{R(T)} K_{\circ}^T(X)$$

induced by pushforward from the fixed locus. (This goes back to Atiyah [3] and Quart [37].) Since  $T$  acts trivially on  $X^T$ , the left-hand side is

$$F(T) \otimes_{R(T)} K_{\circ}^T(X^T) = F(T) \otimes_{\mathbb{Z}} K_{\circ}(X^T) = \bigoplus_{Z \subseteq X^T} F(T) \otimes_{\mathbb{Z}} K_{\circ}(Z),$$

the direct sum over connected components  $Z \subseteq X^T$ .

If  $Z \subseteq X^T$  is a connected component, the *equivariant multiplicity* of  $X$  along  $Z$  is the element  $\varepsilon_Z(X)$  of  $F(T) \otimes_{\mathbb{Z}} K_{\circ}(Z)$  defined so that

$$\sum_{Z \subseteq X^T} \varepsilon_Z(X) = [\mathcal{O}_X]$$

under the isomorphism (1). More generally, the localization isomorphism respects products by vector bundles: given a class  $\xi \in K_T^{\circ}(X)$  (the Grothendieck group of equivariant vector bundles), one has

$$(2) \quad \sum_{Z \subseteq X^T} \varepsilon_Z(X) \cdot \xi|_Z = \xi \cdot [\mathcal{O}_X].$$

Here  $(\cdot)|_Z$  denotes the restriction homomorphism  $K_T^{\circ}(X) \rightarrow K_T^{\circ}(Z)$ .

The localization isomorphism is natural in an evident way: if  $\pi: X \rightarrow Y$  is a proper equivariant morphism, then there is a commuting square

$$\begin{array}{ccc} F(T) \otimes_{R(T)} K_{\circ}^T(X^T) & \xrightarrow{\sim} & F(T) \otimes_{R(T)} K_{\circ}^T(X) \\ \downarrow \pi_* & & \downarrow \pi_* \\ F(T) \otimes_{R(T)} K_{\circ}^T(Y^T) & \xrightarrow{\sim} & F(T) \otimes_{R(T)} K_{\circ}^T(Y). \end{array}$$

Naturality immediately implies a useful formula for equivariant multiplicities. Assume  $\pi_*[\mathcal{O}_X] = [\mathcal{O}_Y]$ . (For example, this holds if  $X$  and  $Y$  both have rational singularities and  $\pi$  is birational, or has connected rational fibers.) Then for any connected component  $W \subseteq Y^T$ , we have the formula

$$(3) \quad \varepsilon_W(Y) = \sum_{Z \subseteq (\pi^{-1}W)^T} \pi_*^Z \varepsilon_Z(X),$$

the sum over connected components  $Z \subseteq X^T$  which map into a given connected component  $W \subseteq Y^T$ , where  $\pi^Z: Z \rightarrow W$  is the restriction of  $\pi$ . This gives a means of computing the equivariant multiplicities.

If the connected component  $Z \subseteq X^T$  is regularly embedded, with conormal bundle  $N_{Z/X}^*$ , then the equivariant multiplicity is

$$(4) \quad \varepsilon_Z(X) = \frac{1}{\lambda_{-1}(N_{Z/X}^*)}.$$

Here the denominator is the K-theoretic Euler class of  $N_{Z/X}$ . (More generally, for any vector bundle  $E$  of rank  $e$ , one defines

$$\lambda_{-1}(E) = 1 - E + \bigwedge^2 E - \cdots + (-1)^e \bigwedge^e E.)$$

Suppose  $\pi: X \rightarrow Y$  is a proper equivariant map, and  $W \subseteq Y^T$  is a connected component which is regularly embedded, such that all components  $Z \subseteq (\pi^{-1}W)^T$  are regularly embedded in  $X$ . (For example, this happens automatically if  $X$  and  $Y$  are nonsingular varieties.) Combining (2), (3), and (4), we have

$$(5) \quad \frac{(\pi_*\xi)|_W}{\lambda_{-1}(N_{W/Y}^*)} = \sum_{Z \subseteq (\pi^{-1}W)^T} \pi_*^Z \left( \frac{\xi|_Z}{\lambda_{-1}(N_{Z/X}^*)} \right),$$

for any element  $\xi \in K_{\circ}^T(X) = K_T^{\circ}(X)$ ,

A simple special case of the equivariant multiplicity will be of particular interest to us. When  $X$  is affine, and  $Z = p$  is any fixed point, the equivariant multiplicity is equal to the *graded character*  $\text{ch}(\mathcal{O}_X)$  (see, e.g., [38]). If, furthermore, the fixed point is *attractive*, the equivariant multiplicity is equal to the multigraded Hilbert series of  $\mathcal{O}_X$ . (For example, if  $T$  acts on  $X = \mathbb{A}^1$  by the character  $e^\alpha$ , then it acts on  $\mathcal{O}_X = \mathbb{C}[x]$  by scaling  $x$  by  $e^{-\alpha}$ , so we have  $\varepsilon_0(X) = \text{ch}(\mathcal{O}_X) = 1/(1 - e^{-\alpha})$ .)

**1.4. Quantum K-theory and moduli spaces.** The (genus 0) K-theoretic Gromov-Witten invariants are defined as certain sheaf Euler characteristics on the space of  $n$ -pointed, degree  $d$  stable maps,

$$\overline{M}_{0,n}(G/P, d).$$

This space comes with evaluation morphisms  $\text{ev}_i: \overline{M}_{0,n}(G/P, d) \rightarrow G/P$  for  $1 \leq i \leq n$ , which are equivariant for the action of  $T$  on  $G/P$  and the induced action on  $\overline{M}_{0,n}(G/P, d)$ . Given classes  $\Phi_1, \dots, \Phi_n \in K_T(G/P)$ , there is a Gromov-Witten invariant

$$\chi(\overline{M}_{0,n}(G/P, d), \text{ev}_1^*\Phi_1 \cdots \text{ev}_n^*\Phi_n) \in R(T).$$

The *Novikov variables* keep track of curve classes in  $G/P$ ; for  $d \in \check{\Lambda}_+^P$ , we write  $Q^d = Q_1^{d_1} \cdots Q_r^{d_r}$ . The (small) quantum K-ring of  $G/P$  is defined additively as

$$QK_T(G/P) := K_T(G/P) \otimes \mathbb{Z}[[Q]],$$



and is equipped with a *quantum product*  $\star$  which deforms the usual (tensor) product on  $K_T(G/P)$ . Choosing any  $R(T)$ -basis<sup>2</sup>  $\{\Phi_w\}$  for  $K_T(G/P)$ , and using the same notation for the corresponding  $R(T)[[Q]]$ -basis for  $QK_T(G/P)$ , one has

$$\Phi_u \star \Phi_v = \sum_{w,d} N_{u,v}^{w,d} Q^d \Phi_w,$$

where a priori the right-hand side is an infinite sum over all  $d \in \check{\Lambda}_+^P$ . (The structure constants  $N_{u,v}^{w,d}$  are defined in a rather involved way via alternating sums of Gromov-Witten invariants; see [18, 34, 14] for details.)

We work mainly with two compactifications of the space  $\text{Hom}_d(\mathbb{P}^1, G/P)$  of degree  $d$  maps from  $\mathbb{P}^1$  to  $G/P$ . The first is Drinfeld's *quasimap space*  $\mathcal{Q}_d$ , and we use it only for  $G/B$ . This space may be defined as follows; see, e.g., [5] for more details. For projective space  $\mathbb{P}(V)$  and an integer  $d_i \geq 0$ , let  $\mathbb{P}(V)_{d_i} = \mathbb{P}(\text{Sym}^{d_i} \mathbb{C}^2 \otimes V)$  be the projective space of  $V$ -valued binary forms of degree  $d_i$ . (This is the quot scheme compactification of the space of degree  $d$  maps  $\mathbb{P}^1 \rightarrow \mathbb{P}(V)$ .) With  $\Pi = \prod_{i=1}^r \mathbb{P}(V_{\varpi_i})$  as above and  $d \in \check{\Lambda}_+$ , let  $\Pi_d = \prod_{i=1}^r \mathbb{P}(V_{\varpi_i})_{d_i}$ . This contains the space of maps  $\text{Hom}_d(\mathbb{P}^1, \Pi)$  as an open subset. The embedding  $\iota: G/B \hookrightarrow \Pi$  induces an embedding  $\text{Hom}_d(\mathbb{P}^1, G/B) \hookrightarrow \text{Hom}_d(\mathbb{P}^1, \Pi)$ , and the quasimap space  $\mathcal{Q}_d$  is the closure of  $\text{Hom}_d(\mathbb{P}^1, G/B)$  inside  $\Pi_d$ .

Spaces of maps and quasimaps are equipped with a  $\mathbb{C}^*$ -action induced from an action on the source curve. The action on  $\mathbb{P}^1$  is given by  $q \cdot [a, b] = [a, qb]$ , where  $q$  is a coordinate on  $\mathbb{C}^*$ , so the fixed points are  $0 = [1, 0]$  and  $\infty = [0, 1]$ . The  $\mathbb{C}^*$ -fixed loci in  $\Pi_d$  are easy to describe: for each expression  $d = d^- + d^+$  (with  $d^-, d^+ \in \check{\Lambda}_+$ ), there is a fixed component  $\Pi_d^{(d^+)}$  consisting of tuples of monomials of bidegree  $(d_i^-, d_i^+)$  on the factor  $\mathbb{P}(V_{\varpi_i})_{d_i}$ . Using monomials to denote weight bases for  $\text{Sym}^{d_i} \mathbb{C}^2$ , we have

$$\Pi_d^{(d^+)} = \prod_{i=1}^r \mathbb{P}(x_i^{d_i^-} y_i^{d_i^+} \otimes V_{\varpi_i}),$$

so each such component is isomorphic to  $\Pi$  itself.

The  $\mathbb{C}^*$ -fixed components of  $\mathcal{Q}_d \subseteq \Pi_d$  are  $\mathcal{Q}_d^{(d^+)} \subseteq \Pi_d^{(d^+)}$ , each isomorphic to  $G/B \subseteq \Pi$ .

If we also consider the action of  $T$  induced from the target space  $G/B$ , the quasimap space  $\mathcal{Q}_d$  has finitely many  $\mathbb{C}^* \times T$ -fixed points, indexed by  $(d^+, w)$  as  $w$  ranges over the Weyl group.

Our second compactification of the space of maps is the *graph space*,

$$\Gamma(G/P)_d := \overline{M}_{0,0}(\mathbb{P}^1 \times G/P, (1, d)).$$

It includes  $\text{Hom}_d(\mathbb{P}^1, G/P)$  as the open subset of stable maps with irreducible source, regarded as the graph of a map  $\mathbb{P}^1 \rightarrow G/P$ . This space also comes with an action

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<sup>2</sup>The classes  $\Phi_w$  are not necessarily Schubert classes; in fact, after extending scalars from  $R(T)$  to  $F(T)$ , we will use a monomial basis consisting of certain  $P^\lambda$ 's.

of  $\mathbb{C}^* \times T$ , induced from the componentwise action on  $\mathbb{P}^1 \times G/P$ . As explained in [20, §2.2] and [24, §2.6], the  $\mathbb{C}^*$ -fixed components of  $\Gamma(G/P)_d$  correspond to certain maps where the source curve is reducible. For each decomposition  $d = d^- + d^+$ , there is a component  $\Gamma(G/P)_d^{(d^+)}$  whose general points parametrize maps with source curve having three components: a “horizontal”  $\mathbb{P}^1$  with degree 0 with respect to  $G/P$ ; a “vertical”  $\mathbb{P}^1$  attached to the first component at the fixed point 0, with  $G/P$ -degree  $d^+$ ; and a “vertical”  $\mathbb{P}^1$  attached to the first component at  $\infty$ , with  $G/P$ -degree  $d^-$ . (If  $d^+$  or  $d^-$  is zero, the corresponding component of the source curve is absent.) There are also pointed versions of graph spaces,  $\Gamma(G/P)_{n,d}$ , with  $n \geq 0$  marked points, defined as  $\overline{M}_{0,n}(\mathbb{P}^1 \times G/P, (1, d))$ . The fixed loci of these pointed spaces are similar, with the marked points being allocated to one of the two vertical curves.

There is a birational morphism  $\mu: \Gamma(G/B)_d \rightarrow \mathcal{Q}_d \subseteq \Pi_d$ , described in [20, §3], and

the fixed component  $\Gamma(G/B)_d^{(d^+)}$  maps onto  $\mathcal{Q}_d^{(d^+)}$  under  $\mu$ . There are also morphisms  $\beta_n: \Gamma(G/P)_{n,d} \rightarrow \overline{M}_{0,n}(G/P, d)$ , which, composed with evaluation morphisms from  $\overline{M}_{0,n}(G/P, d)$  to  $G/P$ , give morphisms  $\text{ev}_i: \Gamma(G/P)_{n,d} \rightarrow G/P$ , for  $1 \leq i \leq n$ .

A key property of each of these moduli spaces— $\overline{M}_{0,n}(G/P, d)$ ,  $\Gamma(G/P)_{n,d}$ , and  $\mathcal{Q}_d$ —is that they have rational singularities. (For the first two, this is a general fact about varieties with finite quotient singularities; for  $\mathcal{Q}_d$ , it is one of the main theorems of [7, 8].) We exploit this to freely transport computations of Euler characteristics from one of these spaces to another.

**1.5. The  $J$ -function and  $D_q$ -module structure.** The structure of quantum K-theory becomes clearer when Gromov-Witten invariants are packaged into a generating function, the  $J$ -function. Note that the definitions of  $J$  vary somewhat in the literature. Ours is that of [20]; the function of [24] is equal to our  $(1 - q)J$ . The function of [7] is a certain localization of our  $J$ -function. This function satisfies a finite-difference equation, and it is this  $D_q$ -module structure we exploit to prove finiteness of the quantum product. Here we review the properties of the  $J$ -function which we will need. In this subsection,  $X$  may be any smooth projective variety, as considered in [24].

Consider the evaluation morphism  $\text{ev}: \overline{M}_{0,1}(X, d) \rightarrow X$ , which is equivariant for  $\mathbb{C}^* \times T$  (with  $\mathbb{C}^*$  acting trivially on both  $\overline{M}_{0,1}(X, d)$  and  $X$ ). The  $J$ -function is a power series in  $Q$ , with coefficients in  $K_T(X) \otimes \mathbb{Q}(q)$ :

$$(6) \quad J := 1 + \frac{1}{1 - q} \sum_{d > 0} Q^d \text{ev}_* \left( \frac{1}{1 - qL} \right).$$

Here the character  $q$  identifies  $K_{\mathbb{C}^*}(\text{pt}) = \mathbb{Z}[q^{\pm}]$ , and  $L$  is the cotangent line bundle on  $\overline{M}_{0,1}(X, d)$ . (Its fiber at a moduli point  $[f: (C, p) \rightarrow X]$  is  $T_p^*C$ .) We often write

$$J = \sum_{d \geq 0} J_d Q^d,$$

with  $J_d \in K_T(X) \otimes \mathbb{Q}(q)$ .

In [24], a *fundamental solution*  $\mathbb{T}$  is defined. This is an element of  $\text{End}_{R(T)}(K_T(X)) \otimes \mathbb{Q}(q)[[Q]]$ , and is characterized by

(7)

$$\chi(X, \Phi_u \cdot \mathbb{T}(\Phi_v)) = \chi(X, \Phi_u \cdot \Phi_v) + \sum_{d>0} Q^d \chi \left( \overline{M}_{0,2}(X, d), \text{ev}_1^* \Phi_u \cdot \frac{1}{1 - qL_1} \cdot \text{ev}_2^* \Phi_v \right),$$

for all  $\Phi_u$  and  $\Phi_v$  in an  $R(T)$ -basis of  $K_T(X)$ . Here  $L_1$  is the cotangent line bundle at the first marked point of  $\overline{M}_{0,2}(X, d)$ . As with  $J$ , we write  $\mathbb{T} = \sum_d Q^d \mathbb{T}_d$ .

From the definitions of  $J$  and  $\mathbb{T}$ , we see that  $J$ -function is recovered as  $\mathbb{T}(1)$ . (The factor of  $1/(1 - q)$  in the  $d > 0$  terms of  $J$  arises from the pushforward by the forgetful morphism  $\overline{M}_{0,2}(X, d) \rightarrow \overline{M}_{0,1}(X, d)$ , via the string equation in quantum K-theory; see [34, §4.4].)

**Remark 2.** Note that  $\mathbb{T}|_{q=\infty} = \mathbb{T}|_{Q=0} = \text{id}$ . In particular, the expansion of  $\mathbb{T}$  at  $q = +\infty$  is of the form  $\mathbb{T} = \text{id} + O(q^{-1})$ .

The coefficients  $J_d$  and the operators  $\mathbb{T}_d$  can be computed by localization on the pointed graph space  $\Gamma(X)_{n,d}$ , and we mainly use this characterization. Consider the fixed component  $\Gamma(X)_{n,d}^{(n,d)}$  which parametrizes stable maps in  $\overline{M}_{0,n}(\mathbb{P}^1 \times X, (1, d))$  whose source curve has a horizontal component of bi-degree  $(1, 0)$  and a vertical component of bi-degree  $(0, d)$  attached to the horizontal component at 0, with all  $n$  marked points lying on the vertical component. The key is an identification

$$\Gamma(X)_{n,d}^{(n,d)} \cong \overline{M}_{0,n+1}(X, d)$$

obtained by taking account of the node at 0 where the vertical and horizontal components are attached.

Recall from §1.4 that  $\mathbb{C}^*$  acts on  $\Gamma(X)_{n,d}$  via its action on  $\mathbb{P}^1$ , by  $q \cdot [a, b] = [a, qb]$ , fixing  $0 = [1, 0]$  and  $\infty = [0, 1]$ . The normal bundle to the fixed component  $\Gamma(X)_{n,d}^{(n,d)}$  has rank 2, and decomposes into a trivial line bundle of character  $q^{-1}$  (corresponding to moving the node away from 0 along the horizontal curve), and a copy of the tangent line bundle  $L_{n+1}^*$  on  $\overline{M}_{0,n+1}(X, d)$  with character  $q^{-1}$  (corresponding to smoothing the node). (See, e.g., [20, p. 201], [7, Proof of Lemma 5.2], or [28, §1.3, §3.3].)

Now the localization formula (3) for the map  $\mu_*: K_{\circ}^T(\Gamma(X)_d) \rightarrow K_{\circ}^T(\mathcal{Q}_d)$  says

$$(8) \quad \varepsilon_{\mathcal{Q}_d^{(d)}}(\mathcal{Q}_d) = \mu_*^{(d)} \left( \frac{1}{\lambda_{-1}(N^*)} \right)$$

where  $\mu^{(d)}$  is the restriction of  $\mu$  to the fixed component  $\Gamma(X)_d^{(d)}$ ,  $N$  is the normal bundle to this component, and  $\lambda_{-1}(N^*) = 1 - N^* + \bigwedge^2 N^* - \dots = (1 - q)(1 - qL)$ . Using the identifications  $\mathcal{Q}_d^{(d)} \cong X$ ,  $\Gamma(X)_d^{(d)} \cong \overline{M}_{0,1}(X, d)$ , and  $\mu^{(d)} = \text{ev}$ , the right-hand side is exactly

$$J_d = \text{ev}_* \left( \frac{1}{(1 - q)(1 - qL)} \right).$$

Similar reasoning identifies  $\mathsf{T}_d(\xi)$  as

$$(9) \quad \frac{1}{1-q} T_d(\xi) = (\mathrm{ev}_1)_* \left( \frac{\mathrm{ev}_2^* \xi}{(1-q)(1-qL_1)} \right),$$

where we use the identification  $\Gamma(X)_{1,d}^{(1,d)} \cong \overline{M}_{0,2}(X, d)$ . See [20, §2.2 and §4.2].

Next we turn to the difference equations satisfied by  $J$  and  $\mathsf{T}$ . The main theorems of [20], [7] say that  $J$  is an eigenfunction of the finite-difference Toda operator [16], [39], [17] when  $X = G/B$  is a complete flag variety of type A, D, or E. (A modification of  $J$  satisfies the corresponding system in non-simply-laced types [8].) We only need part of this structure, which holds for general  $X$ , suitably interpreted as in [24]. To simplify the equations, we often write

$$\tilde{J} = P^{\log Q / \log q} J \quad \text{and} \quad \tilde{\mathsf{T}} = P^{\log Q / \log q} \mathsf{T},$$

where  $P^{\log Q / \log q}$  means  $P_1^{\log Q_1 / \log q} \dots P_r^{\log Q_r / \log q}$ .

Consider the  $q$ -shift operator  $q^{Q_i \partial_{Q_i}} : Q_j \mapsto q^{\delta_{ij}} Q_j$  which induces an action on power series in  $Q$ . The  $D_q$ -module structure of quantum K-theory has the following form.

For a finite sequence  $I$  consisting of integers  $1 \leq i \leq r$ ,

$$(10) \quad \left( \prod_{i \in I} q^{Q_i \partial_{Q_i}} \right) \tilde{J} = \tilde{\mathsf{T}} \left( \prod_{i \in I} A_i q^{Q_i \partial_{Q_i}}(1) \right).$$

where the  $A_i$  are certain operators in  $\mathrm{End}_{R(T)}(K_T(X)) \otimes \mathbb{Q}[q][[Q]]$  defined in [24]; see especially [24, Proposition 2.10]. This is essentially a commutation relation between the operators  $\tilde{\mathsf{T}}$  and  $q^{Q_i \partial_{Q_i}}$  which follows from [24, Remark 2.11]. Note that

$$\left( \prod_{i \in I} q^{Q_i \partial_{Q_i}} \right) P^{\log Q / \log q} = \left( \prod_{i \in I} P_i \right) P^{\log Q / \log q} \left( \prod_{i \in I} q^{Q_i \partial_{Q_i}} \right).$$

Cancelling a factor of  $P^{\log Q / \log q}$  and noting that  $\prod_{i \in I} q^{Q_i \partial_{Q_i}}$  operates by replacing  $J_d$  with  $q^{\sum_{i \in I} d_i} J_d$ , we can rewrite Equation (10) as

$$(11) \quad \prod_{i \in I} P_i \left( \sum_{d \geq 0} q^{\sum_{i \in I} d_i} J_d Q^d \right) = \mathsf{T} \left( \prod_{i \in I} A_i q^{Q_i \partial_{Q_i}}(1) \right).$$

We can write  $a_I := \prod_{i \in I} A_i q^{Q_i \partial_{Q_i}}(1)$  as  $a_I = \sum_{d \geq 0} a_I^{(d)} Q^d$  where each  $a_I^{(d)}$  is polynomial in  $q$  by [24, Proposition 2.10]. As noted in Remark 2,  $\mathsf{T} = \mathrm{id} + O(q^{-1})$ , so we can rewrite Equation (11) as

$$(12) \quad \prod_{i \in I} P_i \left( 1 + \sum_{d > 0} q^{d_i} J_d Q^d \right) = \mathsf{T}(a_I) = a_I + \dots = a_I^{(0)} + \sum_{d > 0} a_I^{(d)} Q^d + \dots,$$

where the omitted terms vanish at  $q = \infty$ .

Therefore the right-hand side of Equation (12)—namely, the leading terms of  $a_I$ —can be studied from the asymptotics of the left-hand side as  $q \rightarrow +\infty$ , specifically, the

$q^{\geq 0}$  coefficients of  $q^{\sum_{i \in I} d_i} J_d$ . We will see examples of how this works in Lemma 6 and Proposition 9 below.

## 2. THE ZASTAVA SPACE AND THE $J$ -FUNCTION

To bound the degrees  $Q^d$  appearing in quantum products, our main tool will be a bound on the  $q$ -degree of the  $J$ -function and the operator  $\mathbb{T}$ . To obtain the required bound, we need some technical properties of a slice of the quasimap space, called the *zastava space*. Definitions and detailed descriptions of this space can be found in [7], [9, §2], and [6]. (The last reference provides explicit coordinates.) We briefly review the main properties of the zastava space, and study a particular desingularization of it by the (Kontsevich) graph space.

**2.1. Singularities of the zastava space.** The zastava space  $\mathcal{Z}_d$  is an affine variety which can be thought of as a compactification of based maps  $(\mathbb{P}^1, \infty) \rightarrow (G/B, w_\circ)$ . It is defined as a locally closed subvariety of  $\mathcal{Q}_d$ , as follows. Let  $\mathcal{Q}_d^\circ$  be the open subset of quasimaps which have no “defect” at  $\infty \in \mathbb{P}^1$ ; i.e., the locus parametrizing maps defined in a neighborhood of  $\infty$ . This comes with an evaluation morphism  $\text{ev}_\infty: \mathcal{Q}_d^\circ \rightarrow G/B$ , and the zastava space is a fiber of this morphism:  $\mathcal{Z}_d = \text{ev}_\infty^{-1}(w_\circ)$ . It has dimension  $\dim \mathcal{Z}_d = 2|d| = (2\rho, d)$ .

A key property of the zastava space is that it stratifies into smaller such spaces. Let  $\mathcal{Z}_d^\circ = \mathcal{Z}_d \cap \text{Hom}_d(\mathbb{P}^1, G/B)$  be the open set of based maps. Then

$$\mathcal{Z}_d = \coprod_{0 \leq d' \leq d} \mathcal{Z}_{d'}^\circ \times \text{Sym}^{d-d'} \mathbb{A}^1,$$

where for  $e \in \check{\Lambda}_+$  the symmetric product  $\text{Sym}^e \mathbb{A}^1$  is a space of “colored divisors”. Concretely, writing  $e = e_1 \check{\alpha}_1 + \cdots + e_r \check{\alpha}_r$  with each  $e_i \in \mathbb{Z}_{\geq 0}$ ,

$$\text{Sym}^e \mathbb{A}^1 = \prod_{i=1}^r \text{Sym}^{e_i} \mathbb{A}^1.$$

For any  $d' \leq d$ , let  $\partial_{d'} \mathcal{Z}_d \subseteq \mathcal{Z}_d$  be the closure of the stratum  $\mathcal{Z}_{d-d'}^\circ \times \text{Sym}^{d'} \mathbb{A}^1$ . (See [7, §6]. By convention, let us declare  $\partial_{d'} \mathcal{Z}_d$  to be empty if  $d' \not\leq d$ .) In particular, there are divisors  $\partial_i \mathcal{Z}_d := \partial_{\check{\alpha}_i} \mathcal{Z}_d$ .

We set

$$\Delta = \sum_{i=1}^r \partial_i \mathcal{Z}_d$$

and consider the pair  $(\mathcal{Z}_d, \Delta)$ . The strata of this pair can be described easily: for any  $I \subseteq \{1, \dots, r\}$ , let

$$d_I = d - \sum_{i \in I} \check{\alpha}_i.$$

Then

$$\Delta_I := \bigcap_{i \in I} \partial_i \mathcal{Z}_d = \partial_{d_I} \mathcal{Z}_d,$$

understanding the RHS to be empty if  $d_I \not\geq 0$ .

Now consider the Kontsevich resolution of quasimaps by the graph space,  $\Gamma(G/B)_d \rightarrow \mathcal{Q}_d$ . This restricts to an equivariant resolution of the zastava space, which we write as  $\phi: \tilde{\mathcal{Z}}_d \rightarrow \mathcal{Z}_d$ . Let  $\tilde{\Delta}$  be the proper transform of  $\Delta$  under  $\phi$ ; this is a simple normal crossings divisor. Let  $\tilde{\omega}$  and  $\omega$  be the canonical sheaves of  $\tilde{\mathcal{Z}}_d$  and  $\mathcal{Z}_d$ , respectively. Our goal is to show the following:

**Proposition 3.** *We have*

$$\begin{aligned} \phi_* \tilde{\omega}(\tilde{\Delta}) &= \omega(\Delta), \quad \text{and} \\ R^i \phi_* \tilde{\omega}(\tilde{\Delta}) &= 0 \quad \text{for } i > 0. \end{aligned}$$

*In particular,  $\phi_*[\tilde{\omega}(\tilde{\Delta})] = [\omega(\Delta)]$  as classes in  $K_{\circ}^{\mathbb{C}^* \times T}(\mathcal{Z}_d)$ .*

*Proof.* We use the terminology and results of [27, §2.5]. In our context, this is the same as saying that  $\phi: (\tilde{\mathcal{Z}}_d, \tilde{\Delta}) \rightarrow (\mathcal{Z}_d, \Delta)$  is a *rational resolution*. By [27, Proposition 2.84 and Theorem 2.87], it suffices to prove that the pair  $(\mathcal{Z}_d, \Delta)$  is *dlt* and the resolution  $\phi: (\tilde{\mathcal{Z}}_d, \tilde{\Delta}) \rightarrow (\mathcal{Z}_d, \Delta)$  is *thrifty*.

The fact that  $(\mathcal{Z}_d, \Delta)$  is dlt is essentially proved in [7, 8]. In fact, the proof of [8, Proposition 5.2] shows that  $(\mathcal{Z}_d, \Delta)$  is a klt pair, since  $\omega(\Delta)$  is Cartier (in fact, trivial) and the relative log canonical divisor of the resolution  $\phi$  has nonnegative coefficients. Since klt implies dlt, this suffices (see [27, Definition 2.8]).

The notion of a thrifty resolution  $f: (Y, D_Y) \rightarrow (W, D)$  is defined in [27, Definition 2.79]: this means that  $W$  is normal,  $D$  is a reduced divisor,  $D_Y$  is the proper transform of  $D$  and has simple normal crossings,  $f$  is an isomorphism over the generic point of every stratum of the snc locus  $\text{snc}(W, D)$ , and  $f$  is an isomorphism at the generic point of every stratum of  $(Y, D_Y)$ .

The fact that  $\phi: (\tilde{\mathcal{Z}}_d, \tilde{\Delta}) \rightarrow (\mathcal{Z}_d, \Delta)$  satisfies these conditions is straightforward. To check it, we review the description of  $\phi$ , considering its values on strata. The component  $\tilde{\partial}_i$  is the proper transform of  $\partial_i = \partial_i \mathcal{Z}_d \subseteq \mathcal{Z}_d$ ; a general point parametrizes stable maps whose source curve has a vertical component of degree  $\check{\alpha}_i$ , attached to a horizontal component of degree  $d - \check{\alpha}_i$  at some point  $x \neq \infty$ . By remembering the map  $f$  from the horizontal component and the point  $x$  where the vertical component is attached, this maps to  $(f, x) \in \mathcal{Z}_{d-\check{\alpha}_1}^{\circ} \times \mathbb{A}^1$ .

Similarly, suppose  $I = \{i_1, \dots, i_k\}$  indexes a stratum. A general point of  $\tilde{\Delta}_I = \bigcap_{i \in I} \tilde{\partial}_i$  consists of maps from a source curve with vertical components of degrees  $\check{\alpha}_i$ , one for each  $i \in I$ , attached to a horizontal component of degree  $d' = d - \sum_{i \in I} \check{\alpha}_i$  at distinct points  $x_{i_1}, \dots, x_{i_k}$ . This maps to  $(f, x_{i_1}, \dots, x_{i_k}) \in \mathcal{Z}_{d'}^{\circ} \times (\mathbb{A}^1)^k$ , as before. Since the map  $\tilde{\mathcal{Z}}_{d'} \rightarrow \mathcal{Z}_d$  is birational, so is the map of strata  $\tilde{\Delta}_I \rightarrow \Delta_I$ .

Finally, no subvariety of  $\tilde{\mathcal{Z}}_d$  other than  $\tilde{\Delta}_I$  maps onto the stratum  $\Delta_I$ . Indeed,  $\Delta_I$  is the closure of  $\mathcal{Z}_{d'} \times (\mathbb{A}^1)^k$ , with notation as in the previous paragraph, so a general point will have  $k$  distinct coordinates  $x_{i_1}, \dots, x_{i_k}$  for the  $(\mathbb{A}^1)^k$  factor. The only preimage under  $\phi$  of such a point is a map  $(f, x_{i_1}, \dots, x_{i_k})$  as described above.<sup>3</sup>  $\square$

**2.2. Asymptotics of the  $J$ -function.** A key ingredient in our approach to finiteness is a bound on the growth of the coefficients  $J_d$ , and more generally  $\mathbb{T}_d$ , when considered as rational functions of  $q$ . Here we consider  $G/B$ ; the extension to general  $G/P$  will be addressed later.

Given any  $d \in \check{\Lambda}_+$ , define

$$(13) \quad m_d := r(d) + \frac{(d, d)}{2},$$

where  $r(d)$  is the number of  $i$  such that  $d_i > 0$ .

Writing  $J = \sum_d Q^d J_d$ , each  $J_d$  is a rational function in  $q$ , with coefficients in  $K_T(G/B)$ . As  $q \rightarrow \infty$ , then,  $J_d$  tends to  $c_d q^{-\nu_d}$ , for some element  $c_d \in K_T(G/B)$  and some integer  $\nu_d$ .

**Lemma 4.** *We have  $\nu_d \geq m_d$ .*

*Proof.* Because  $\mathbb{C}^*$  acts trivially on  $G/B$ , it is enough to compute the asymptotics of the restriction of  $J_d$  to any fixed point in  $(G/B)^T$ ; we choose the point  $w_\circ$ , corresponding to the longest element of the Weyl group.

By Equation (8), the restriction  $J_d|_{w_\circ}$  is equal to the contribution from the fixed point  $(d, w_\circ) \in \mathcal{Q}_d^{\mathbb{C}^* \times T}$  appearing in the localization formula for  $\chi(\mathcal{Q}_d, \mathcal{O})$ . The localization formula (3), applied to the map  $\mathcal{Q}_d \rightarrow \text{pt}$ , says

$$\chi(\mathcal{Q}_d, \mathcal{O}) = \sum_{(d^+, w)} \varepsilon_{(d^+, w)}(\mathcal{Q}_d).$$

So we only need to compute the equivariant multiplicity, or more specifically, its degree as a rational function in  $q$ .

We may reduce to the zastava space  $\mathcal{Z}_d$ ; from its description as the fiber over  $w_\circ \in G/B$  of the evaluation map  $\text{ev}_\infty: \mathcal{Q}_d^\circ \rightarrow G/B$ , we see that

$$\varepsilon_{(d, w_\circ)}(\mathcal{Q}_d) = \left( \prod \frac{1}{1 - e^{-\alpha}} \right) \cdot \varepsilon_0(\mathcal{Z}_d),$$

where the product is over positive roots  $\alpha$ . In particular, the contribution of  $q$  to  $\varepsilon_{(d, w_\circ)}(\mathcal{Q}_d)$  comes from  $\varepsilon_0(\mathcal{Z}_d)$ , so it is enough to compute the latter.

<sup>3</sup>There are other subvarieties of  $\tilde{\mathcal{Z}}_d$  mapping into  $\Delta_I$ , but not dominantly. For instance, there is a divisor  $D_{\check{\alpha}_1 + \check{\alpha}_2} \subseteq \tilde{\mathcal{Z}}_d$  where the source curve has a vertical component of degree  $\check{\alpha}_1 + \check{\alpha}_2$  attached at a point  $x$  to a horizontal component of degree  $d - \check{\alpha}_1 - \check{\alpha}_2$ . This maps to  $\partial_1 \cap \partial_2$ , but in the stratum  $\mathcal{Z}_{d - \check{\alpha}_1 - \check{\alpha}_2}^\circ \times (\mathbb{A}^1)^2$ , the image only contains points in the diagonal  $\mathbb{A}^1 = \{(x, x)\} \subseteq (\mathbb{A}^1)^2$ .

Let us write

$$\varepsilon_0(\mathcal{Z}_d) = \frac{R(q)}{S(q)}$$

as a rational function in  $q$ . We wish to show

$$(14) \quad \deg(R) - \deg(S) \leq -m_d = -r(d) - \frac{(d, d)}{2},$$

or in other words, the order of the rational function is  $\text{ord}_\infty(\varepsilon_0(\mathcal{Z}_d)) \geq m_d$ . This will give the asserted bound.

Using the notation of Proposition 3, recall  $\omega = \omega_{\mathcal{Z}_d}$  is the canonical sheaf, and  $\Delta \subseteq \mathcal{Z}_d$  is the boundary divisor. By the proof of [8, Proposition 5.2],  $\omega(\Delta)$  is a trivial line bundle, with  $q$ -weight  $(d, d)/2 = m_d - r(d)$ , so

$$(15) \quad \text{ch}(\omega(\Delta)) = q^{m_d - r(d)} \varepsilon_0(\mathcal{Z}_d).$$

We will show that the rational function  $\text{ch}(\omega(\Delta))$  has  $\text{ord}_\infty(\text{ch}(\omega(\Delta))) \geq r(d)$ , which proves Equation (14) after dividing by  $q^{m_d - r(d)}$ .

To see this, we will compute  $\text{ch}(\omega(\Delta))$  by localization, using the Kontsevich resolution and the identity  $[\omega(\Delta)] = \phi_*[\tilde{\omega}(\tilde{\Delta})]$  from Proposition 3. Recalling the descriptions of the  $\mathbb{C}^*$ -fixed components of  $\Gamma(G/B)_d$ , one sees that  $\tilde{\mathcal{Z}}_d$  has a unique fixed component, namely

$$\mathcal{F} = \tilde{\mathcal{Z}}_d^{\mathbb{C}^*} = \Gamma(G/B)_d^{(d)} \cap \tilde{\mathcal{Z}}_d.$$

A general point parametrizes based maps where the source curve consists of a horizontal component of degree 0 (mapping to  $w_o \in G/B$ ) with a vertical component of degree  $d$ , attached to the horizontal component at the fixed point 0.

Now we have

$$(16) \quad \text{ch}(\omega(\Delta)) = \varepsilon_0(\mathcal{Z}_d) \cdot [\omega(\Delta)]|_0 = \phi_* \left( \frac{\tilde{\omega}(\tilde{\Delta})|_{\mathcal{F}}}{\lambda_{-1}(N_{\mathcal{F}/\tilde{\mathcal{Z}}_d}^*)} \right).$$

Taking  $q$ -graded characters, the fraction in the right-hand side has order  $r(d)$  at  $q = \infty$ . Indeed, the nontrivial characters appearing in  $\tilde{\omega}|_{\mathcal{F}}$  are precisely those appearing as normal characters in  $N_{\mathcal{F}/\tilde{\mathcal{Z}}_d}$ . (The tangential directions along  $\mathcal{F}$  have trivial character, since  $\mathcal{F}$  is fixed.) Each irreducible component of the divisor  $\tilde{\Delta}$  contributes  $q^{-1}$ , by the proof of [7, Lemma 5.2], and there are  $r(d)$  such components. Finally, after pushing forward by  $\phi$ , we see that the order at  $\infty$  of the right-hand side is at least  $r(d)$ . (Some terms may vanish in the pushforward, so inequality is possible.)  $\square$

In the case where  $G$  is simply laced—i.e., of type A, D, or E—a similar (but simpler) argument produces a stronger bound. Let  $k_d := (\rho, d) + \frac{(d, d)}{2}$ .

**Lemma 4<sup>+</sup>**. *When  $G$  is simply laced, we have  $\nu_d \geq k_d$ .*



*Proof.* The argument is exactly as before, with the following changes. First, we have that  $\omega$  itself is a trivial line bundle with character  $q^{(\rho,d)+(d,d)/2}$ , as in the proof of [7, Lemma 5.2], so that

$$\text{ch}(\omega) = q^{k_d} \varepsilon_0(\mathcal{Z}_d).$$

Next, we have  $\phi_*[\tilde{\omega}] = [\omega]$  using the fact that  $\mathcal{Z}_d$  has rational singularities [7, Proposition 5.1]. Finally, the fraction

$$\frac{\tilde{\omega}|_{\mathcal{F}}}{\lambda_{-1}(N_{\mathcal{F}/\tilde{\mathcal{Z}}_d}^*)}$$

has order 0 at infinity, so pushing forward by  $\phi$  shows that  $\text{ord}_{\infty}(\text{ch}(\omega)) \geq 0$ . Dividing by  $q^{k_d}$  yields the bound.  $\square$

**Remark.** In type A, the exponent is

$$k_d = d_1 + \cdots + d_r + \sum_{i=1}^{r+1} \frac{(d_i - d_{i-1})^2}{2},$$

where  $d_0 = d_{r+1} = 0$ , which agrees with [20, Eq. (7)].

**Remark.** For any smooth projective variety  $X$ , using the characterization of  $J_d$  as

$$J_d = \text{ev}_* \left( \frac{1}{(1-q)(1-qL)} \right),$$

where  $\text{ev}: \overline{M}_{0,1}(X, d) \rightarrow X$  is the evaluation, one can interpret  $\nu_d$  as the minimal integer  $\geq 2$  such that  $\text{ev}_*(L^{-\nu_d+1}) \neq 0$  in  $K_T(X)$ . Indeed, one expands this pushforward in powers of  $q^{-1}$  as

$$q^{-2}(1 + q^{-1} + q^{-2} + \cdots) \text{ev}_* (L^{-1}(1 + q^{-1}L^{-1} + q^{-2}L^{-2} + \cdots)).$$

A similar characterization of the order of  $\mathbb{T}_d$  at  $q = \infty$  will be useful below.

**2.3. Comparison between the Borel and parabolic cases.** We compare the vanishing orders (at  $q = \infty$ ) of  $\mathbb{T}$  for  $G/B$  and  $G/P$ . Our main tool is a construction due to Woodward, in the course of his proof of the Peterson-Woodward comparison formula relating quantum cohomology of  $G/P$  to that of  $G/B$  [40].

Given any  $d_P \geq 0$  in  $\check{\Lambda}^P$ , the Peterson-Woodward formula produces another parabolic  $P'$ , with  $P \supseteq P' \supseteq B$ , together with canonical lifts  $d_{P'} \in \check{\Lambda}_+^{P'}$  and  $d_B \in \check{\Lambda}_+$  of  $d_P$ . There are natural morphisms

$$h_{P'/B}: \Gamma(G/B)_{n,d_B} \rightarrow \Gamma(G/P')_{n,d_{P'}} \times_{G/P'} G/B$$

and

$$h_{P/P'}: \Gamma(G/P')_{n,d_{P'}} \rightarrow \Gamma(G/P)_{n,d_P},$$

where  $\Gamma(G/B)_{n,d_B} \rightarrow \Gamma(G/P')_{n,d_{P'}}$  and  $\Gamma(G/P')_{n,d_{P'}} \rightarrow \Gamma(G/P)_{n,d_P}$  come from functoriality of the Kontsevich space, and  $\Gamma(G/B)_{n,d_B} \rightarrow G/B$  and  $\Gamma(G/P')_{n,d_{P'}} \rightarrow G/P'$  are given by evaluation at  $0 \in \mathbb{P}^1$ . (This makes sense, since any source curve in

the graph space has a distinguished component together with a fixed isomorphism to  $\mathbb{P}^1$ .)

Woodward shows that these morphisms are birational. More precisely, [40, Theorem 3] asserts that the corresponding maps between Hom spaces are birational, and these are dense open sets in our graph spaces.

Explicit formulas for  $d_B$  and  $P'$  can be found in [33, Remark 10.17], but for our purposes it is enough to know that  $d_B$  and  $d_{P'}$  map to  $d_P$  under the canonical projection, and that the above birational morphisms exist.

Consider  $d_P \geq 0$  in  $\check{\Lambda}^P$ , and let us define  $\nu_{d_P}$  as for the  $G/B$  case: it is the exponent so that  $J_{d_P}$  tends to  $c_{d_P} q^{-\nu_{d_P}}$  as  $q \rightarrow \infty$ , for some  $c_{d_P} \in K_T(G/P)$ . In other words,  $\nu_{d_P} = \text{ord}_\infty(J_{d_P})$ .

In addition to the Peterson-Woodward lift  $d_B$  of a degree  $d_P \in \check{\Lambda}_+^P$ , there is another canonical lift, which we call the *minimal* lift  $d_B^{\min} \in \check{\Lambda}_+$ . This is (unique) smallest effective lift of  $d_P$ . Explicitly, write  $d_P = \sum c_i \bar{\alpha}_i$ , where the sum is over  $i \notin I_P$ , each  $c_i \geq 0$ , and  $\bar{\alpha}_i$  is the image of  $\check{\alpha}_i$  in  $\check{\Lambda}^P$ . Then  $d_B^{\min} = \sum c_i \check{\alpha}_i$ .

Here is the main lemma of this section.

**Lemma 5.** *For any  $\xi \in K_T(G/P)$ , we have*

$$\text{ord}_{q=\infty} \mathbb{T}_{d_P}(\xi) \geq \min_{d_B^{\min} \leq d_B^+ \leq d_B} \{ \text{ord}_{q=\infty} \mathbb{T}_{d_B^+}(\pi^* \xi) \},$$

where  $\pi: G/B \rightarrow G/P$  is the projection. In particular, taking  $\xi = 1$ , we have  $\nu_{d_P} \geq \min_{d_B^{\min} \leq d_B^+ \leq d_B} \{ m_{d_B^+} \}$ .

*Proof.* When  $\xi = 1$ , the displayed inequality is precisely  $\nu_{d_P} \geq \min_{d_B^{\min} \leq d_B^+ \leq d_B} \{ \nu_{d_B^+} \}$ , so the second statement follows from Lemma 4.

To verify  $\text{ord}_{q=\infty} \mathbb{T}_{d_P}(\xi) \geq \text{ord}_{q=\infty} \mathbb{T}_{d_B^{\min}}(\pi^* \xi)$ , we use the characterization  $\mathbb{T}_d(\xi) = (\text{ev}_1)_* \left( \frac{\text{ev}_2^* \xi}{1 - qL_1} \right)$  from Equation (9), where  $\text{ev}_i: \overline{M}_{0,2}(X, d) \rightarrow X$  are the evaluation maps. Let

$$h: \Gamma(G/B)_{n, d_B} \rightarrow \Gamma(G/P)_{n, d_P}$$

be the composition of  $h_{P'/B}$ , the projection on the first factor, and  $h_{P/P'}$ . The  $\mathbb{C}^*$ -fixed loci of  $\Gamma(G/B)_{n, d_B}$  which map to the fixed component  $\Gamma(G/P)_{1, d_P}^{(1, d_P)}$  are the components  $\Gamma(G/B)_{1, d_B}^{(1, d_B^+)}$  such that  $d_B^{\min} \leq d_B^+ \leq d_B$ . Recall the identifications of fixed loci

$$\begin{aligned} \Gamma(G/B)_{1, d_B}^{(1, d_B^+)} &\cong \overline{M}_{0,2}(G/B, d_B^+) \times_{G/B} \overline{M}_{0,1}(G/B, d_B^-) \quad \text{and} \\ \Gamma(G/P)_{1, d_P}^{(1, d_P)} &\cong \overline{M}_{0,2}(G/P, d_P), \end{aligned}$$

where in the fiber product both maps to  $G/B$  are by  $\text{ev}_1$ . We have commutative diagrams

$$\begin{array}{ccccccc} G/B & \xleftarrow{\text{ev}_1} & \overline{M}_{0,2}(G/B, d_B^+) \times_{G/B} \overline{M}_{0,1}(G/B, d_B^-) & \xleftarrow{\iota} & \Gamma(G/B)_{1,d_B} & \xrightarrow{\text{ev}} & G/B \\ \downarrow \pi & & \downarrow \bar{h}^{d_B^+} & & \downarrow h & & \downarrow \pi \\ G/P & \xleftarrow{\text{ev}_1} & \overline{M}_{0,2}(G/P, d_P) & \xleftarrow{\iota} & \Gamma(G/P)_{1,d_P} & \xrightarrow{\text{ev}} & G/P \end{array}$$

for each such  $d_B^+$ , where  $d_B = d_B^+ + d_B^-$ . In the bottom row, the composition  $\text{ev} \circ \iota$  is equal to  $\text{ev}_2: \overline{M}_{0,2}(G/P, d_P) \rightarrow G/P$ , and similarly in the top row (when one also composes with the projection on the first factor). Since  $h$  is the composition of birational morphisms between varieties with rational singularities and a smooth projection with rational fibers, we have  $h_* h^*(z) = z$  for any  $z \in K_T(\Gamma(G/P)_{1,d_P})$ . Furthermore, by the localization formula (3) applied to  $\bar{h}$ , for any  $\alpha \in K_T(\Gamma(G/B)_{1,d_B})$  we have

$$\begin{aligned} \frac{\iota^* h_*(\alpha)}{(1-q)(1-qL_1^P)} &= \bar{h}_*^{d_B} \left( \frac{\iota^* \alpha}{(1-q)(1-qL_1)} \right) \\ &+ \sum_{d_B^{\min} \leq d_B^+ < d_B} \bar{h}_*^{d_B^+} \left( \frac{\iota^* \alpha}{(1-q)(1-qL_1)(1-q^{-1})(1-q^{-1}L')} \right). \end{aligned}$$

Here  $L_1^P$  is the cotangent line bundle at the first marked point of  $\overline{M}_{0,2}(G/P, d_P)$ , and  $L_1$  and  $L'$  are the pullbacks of cotangent line bundles on  $\overline{M}_{0,2}(G/B, d_B^+)$  and  $\overline{M}_{0,1}(G/B, d_B^-)$ , respectively. (The denominators are the K-theoretic top Chern classes of the normal bundles to the respective fixed loci, see e.g. [24, §2.6].)

Now we set  $\alpha = \text{ev}^* \pi^* \xi = h^* \text{ev}^* \xi$  in the above equation and apply  $(\text{ev}_1)_*$  to both sides. On the left-hand side, we obtain

$$(\text{ev}_1)_* \left( \frac{\iota^* h_* h^* \text{ev}^* \xi}{(1-q)(1-qL_1^P)} \right) = (\text{ev}_1)_* \left( \frac{\text{ev}_2^* \xi}{(1-q)(1-qL_1^P)} \right) = \frac{1}{1-q} T_{d_P}(\xi).$$

For the first term on the right-hand side, we compute

$$\begin{aligned} (\text{ev}_1)_* \bar{h}_*^{d_B} \left( \frac{\iota^* h^* \text{ev}^* \xi}{(1-q)(1-qL_1)} \right) &= \pi_*(\text{ev}_1)_* \left( \frac{\iota^* \text{ev}^* \pi^* \xi}{(1-q)(1-qL_1)} \right) \\ &= \pi_*(\text{ev}_1)_* \left( \frac{\text{ev}_2^* \pi^* \xi}{(1-q)(1-qL_1)} \right) \\ &= \frac{1}{1-q} \pi_* T_{d_B}(\pi^* \xi), \end{aligned}$$

so this term vanishes to order at least  $\text{ord}_{q=\infty} T_{d_B}(\pi^* \xi)$ .

The other terms are similar. Writing  $\text{pr}: \overline{M}_{0,2}(G/B, d_B^+) \times_{G/B} \overline{M}_{0,1}(G/B, d_B^-) \rightarrow \overline{M}_{0,1}(G/B, d_B^-)$  for the second projection, we have

$$\begin{aligned} & (\text{ev}_1)_* \bar{h}_*^{d_B^+} \left( \frac{\iota^* h^* \text{ev}^* \xi}{(1-q)(1-qL_1)(1-q^{-1})(1-q^{-1}L')} \right) \\ &= \frac{1}{(1-q)(1-q^{-1})} \pi_* (\text{ev}_1)_* \left( \text{pr}_* \left( \frac{\iota^* \text{ev}^* \pi^* \xi}{1-qL_1} \right) \cdot \frac{1}{1-q^{-1}L'} \right) \\ &= \frac{q^{-2}}{(1-q^{-1})^2} \pi_* (\text{ev}_1)_* \left( \text{pr}_* (\text{ev}_2^* \pi^* \xi \cdot L_1^{-1}(1+q^{-1}L_1^{-1}+q^{-2}L_1^{-2}+\dots)) \cdot \frac{1}{1-q^{-1}L'} \right). \end{aligned}$$

The factor

$$\frac{q^{-2}}{(1-q^{-1})^2} \text{pr}_* (\text{ev}_2^* \pi^* \xi \cdot L_1^{-1}(1+q^{-1}L_1^{-1}+q^{-2}L_1^{-2}+\dots))$$

has vanishing order equal to  $\text{ord}_{q=\infty} \mathbb{T}_{d_B^+}(\pi^* \xi)$ , since  $\text{pr}$  is a flat pullback of the evaluation map  $\text{ev}_1: \overline{M}_{0,2}(G/B, d_B^+) \rightarrow G/B$  which computes  $\mathbb{T}_{d_B^+}$ . So the whole term vanishes at least to order  $\text{ord}_{q=\infty} \mathbb{T}_{d_B^+}(\pi^* \xi)$ . Our claim follows.  $\square$

When  $G$  is simply laced, the same argument produces a sharper bound:

**Lemma 5<sup>+</sup>.** *If  $G$  is simply laced, we have  $\nu_{d_P} \geq \min_{d_B^{\min} \leq d_B^+ \leq d_B} \{k_{d_B^+}\}$ .*  $\square$

**Remark.** For degrees  $d_P$  such that  $d_B = d_B^{\min}$ , the same argument shows that

$$\mathbb{T}_{d_P}(\xi) = \pi_* \mathbb{T}_{d_B}(\pi^* \xi),$$

since in this case there is only one term in the localization formula.

### 3. THE OPERATOR $A_{i,\text{com}}$

For a partial flag variety  $G/P$  and a degree  $d = d_P$ , we write  $\hat{d}$  for an associated degree on  $G/B$  which lies in the interval between  $d_B^{\min}$  and  $d_B$ , and achieves the minimum of  $m_{d_B^+}$  among degrees  $d_B^+$  in this interval, as in as in §2.3. That is,

$$m_{\hat{d}} = \min_{d_B^{\min} \leq d_B^+ \leq d_B} \{m_{d_B^+}\},$$

and by Lemma 5, we have  $\nu_d \geq m_{\hat{d}}$ .

As discussed in §1.5, certain operators  $A_i \in \text{End}_{R(T)}(K_T(G/P)) \otimes \mathbb{Q}[q][[Q]]$ , defined and studied in [24], give the  $D_q$ -module structure of quantum K-theory.

Setting  $q = 1$  in  $A_i$  produces operators  $A_{i,\text{com}} := A_i|_{q=1} \in \text{End}(K_T(G/P)) \otimes \mathbb{Q}[[Q]]$ . By Equation (11) and [24, Proposition 2.12], we have

$$(17) \quad \prod_{i \in I} A_{i,\text{com}}(1) = a_I|_{q=1}$$

**Lemma 6.** *The operator  $A_{i,\text{com}}$  is the operator of the (small) quantum product by  $P_i$ .*

*Proof.* It suffices to show that  $A_{i,\text{com}}(1) = P_i$ . By [24, Proposition 2.10], the operators  $A_{i,\text{com}}$  act as the (small) quantum product: we have

$$(18) \quad A_{i,\text{com}}(\Phi) = \left( P_i + \sum_{d>0} c_{d,i} Q^d \right) \star \Phi,$$

for some  $c_{d,i} \in K_T(G/P)$ . We will prove that  $c_{d,i} = 0$  for all  $d > 0$ .

Writing  $a = A_i q^{Q_i \partial_{Q_i}}(1)$  and applying Equation (12), we obtain

$$(19) \quad P_i \left( 1 + \sum_{d>0} q^{d_i} J_d Q^d \right) = \mathbb{T}(a) = a^{(0)} + \sum_{d>0} a^{(d)} Q^d + \dots,$$

where the omitted terms vanish at  $q = \infty$ .

As in the discussion after Equation (12), we compute  $A_{i,\text{com}}(1) = a|_{q=1}$  by studying the asymptotics of the expansion of the left-hand side of (19) at  $q = \infty$ .

To prove the lemma, we wish to show  $a^{(d)} = 0$  for  $d > 0$ . For this, since we know that  $a^{(d)}$  is polynomial in  $q$ , it suffices to show that there are no  $q^{\geq 0}$  coefficients of  $Q^d$  on the left-hand side of (19).

Suppose a  $d > 0$  term contributes to the  $q^{\geq 0}$  coefficients—that is, suppose  $q^{d_i} J_d$  has non-positive order at  $q = \infty$ . This means that  $d_i \geq \nu_d$ . Noting that  $\hat{d}_i = d_i$  since  $\hat{d} = d_B$  is a lift of  $d = d_P$ , Lemma 5 gives

$$(20) \quad 0 \leq d_i - \nu_d \leq d_i - m_{\hat{d}} = \hat{d}_i - m_{\hat{d}}.$$

By the Lemma in Appendix A, when  $G$  contains no simple factors of type  $E_8$ , the right-most term is strictly negative when  $d > 0$ , giving a contradiction. For the  $E_8$  case we have the stronger bound of Lemma 5<sup>+</sup> which applies to all simply laced types (see Lemma 6<sup>+</sup> below). Therefore, no such  $d > 0$  terms arise, and the lemma is proved.  $\square$

In the simply-laced case, we can say more.

**Lemma 6<sup>+</sup>.** *If  $G$  is simply laced, then for distinct  $i_1, \dots, i_l \in \{1, \dots, r\}$ , we have  $P_{i_1} \star \dots \star P_{i_l} = \prod_{k=1}^l P_{i_k}$ . That is, for these elements, the quantum and classical product are the same.  $\square$*

*Proof.* It suffices to show that for distinct  $i_1, \dots, i_l \in \{1, \dots, r\}$ , we have

$$\left( \prod_{k=1}^l q^{Q_{i_k} \partial_{Q_{i_k}}} \right) \tilde{J} = \tilde{\mathbb{T}} \left( \prod_{k=1}^l P_{i_k} \right).$$

This follows from the same argument as in the proof of Lemma 6. Indeed, the inequality in Equation (20) can be replaced by

$$\begin{aligned} 0 \leq \sum_{k=1}^l d_{i_k} - \nu_d &\leq \sum_{k=1}^l d_{i_k} - k_{\hat{d}} \\ &= - \left( \rho - \sum_{k=1}^l \varpi_{i_k}, \hat{d} \right) - \frac{(\hat{d}, \hat{d})}{2}. \end{aligned}$$

The quantity  $\left( \rho - \sum \varpi_{i_k}, \hat{d} \right)$  is nonnegative, and  $\frac{(\hat{d}, \hat{d})}{2}$  is strictly positive for  $d \neq 0$ , since  $(\cdot, \cdot)$  is an inner product. This contradicts the inequality, so no term with  $d > 0$  occurs.  $\square$

#### 4. ASYMPTOTICS OF THE FUNDAMENTAL SOLUTION $\mathbb{T}$

We would like to establish a generalization of Lemma 4 (and Lemma 4<sup>+</sup> in simply-laced cases) to  $\mathbb{T}_d$  by further exploring the properties of the zastava spaces. Alternatively, one may hope to derive such a generalization with the help of reconstruction theorems [24], [35]. The subtleties involved in either approach present formidable technical challenges.

We proceed differently. Lemmas 4 and 4<sup>+</sup> imply that  $J_d$  satisfies a *quadratic growth condition* in the sense introduced in Appendix B by H. Iritani. More precisely, for any smooth projective variety  $X$ , a linear operator  $\mathbb{T} = \sum \mathbb{T}_d Q^d$  on  $K_T(X)$  **satisfies the quadratic growth condition** if there is a positive-definite inner product  $(\cdot, \cdot)$  on  $H_2(X)$ , a linear functional  $m$  on  $H_2(X)$ , and a real constant  $c$  such that

$$\text{ord}_{q=\infty} \mathbb{T}_d \geq \frac{(d, d)}{2} + m(d) + c$$

for all  $d \in H_2(X)$ . In the appendix, Iritani proves that the quadratic growth condition on the fundamental solution  $\mathbb{T}$  is equivalent to the shift operators  $A_i$  being polynomials in the Novikov variables  $Q$ .

According to Kato [25, Corollary 3.3] (which uses our Lemma 6), for  $G/B$  the shift operators  $A_i$  are polynomials in Novikov variables  $Q$ . Applying Iritani's result (the Proposition of Appendix B), we obtain:

**Lemma 7.** *For  $G/P$ , the fundamental solution  $\mathbb{T}$  satisfies the quadratic growth condition.*

*Proof.* By Iritani's Proposition and Kato's finiteness result for  $G/B$  [25, Corollary 3.3], the operator  $\mathbb{T}$  for  $G/B$  satisfies the quadratic growth condition. Using the bounds of Lemma 5, the quadratic growth condition for  $G/P$  follows.  $\square$

Applying the Proposition of Appendix B again, it follows that the shift operators  $A_i$  for  $G/P$  are also polynomials in  $Q$ . We give a direct argument for this last implication in the next section.

Arguing as in the proof of [24, Lemma 3.3], we have the following lemma, which will be used in Section 5.

**Lemma 8.** *Consider  $U \in K_T(G/P)[q][[Q]]$  such that  $T(U) = 0$  at  $q = \infty$ . Then  $T(U) = 0$ .*

*Proof.* Write  $M := T(U)$ . Expanding  $M = \sum_d M_d Q^d$ ,  $T = \sum_d T_d Q^d$ , and  $U = \sum_d U_d Q^d$  as series in  $Q$ , we will show  $M = 0$  by induction with respect to a partial order on effective curve classes  $d \in \check{\Lambda}_+$ . In fact, we will show  $U_d = 0$  for all  $d$ .

As rational functions in  $q$ , the coefficients  $T_d$  and  $U_d$  have the following properties:  $T_0 = \text{id}$ ;  $T_d$  has poles only at roots of unity, is regular at  $q = 0$  and  $q = \infty$ , and vanishes at  $q = \infty$  for  $d > 0$ ; and  $U_d$  is a polynomial in  $q$ . Since  $T_0 = \text{id}$ , it follows that  $U_0 = 0$ .

The product formula expands to give

$$M_d = U_d + \sum_{\substack{d'+d''=d \\ d',d''>0}} T_{d'} U_{d''},$$

using  $T_d(U_0) = T_d(0) = 0$ . By induction, the indexed sum is zero (since all lower terms  $U_{d''} = 0$ ), i.e.,  $M_d = U_d$ . Since  $M_d$  vanishes at  $q = \infty$  for all  $d$ , but  $U_d$  is a polynomial in  $q$ , it follows that  $U_d = 0$  and  $M = 0$ .  $\square$

## 5. FINITENESS

We will deduce our main finiteness theorem from the following statement for products of the line bundle classes  $P_i$ . This argument originally appeared in our preprint [2, Proposition 5].

**Proposition 9.** *For any indices  $i_1, \dots, i_l$ , the (small) quantum product  $P_{i_1} \star \dots \star P_{i_l}$  is a finite linear combination of elements of  $K_T(G/P)$  whose coefficients are polynomials in  $Q_1, \dots, Q_r$ .*

The statement is similar to the “only if” direction of Iritani’s Proposition in Appendix B, but phrased differently. In our context, because of Lemma 6, polynomiality of  $A_{i,\text{com}}$  is equivalent to that of quantum multiplication by  $P_i$ .

In proving Proposition 9, we will extend scalars from  $R(T)$  to  $F(T)$ , and choose an  $F(T)$ -basis  $\Phi_w = P^{\lambda(w)}$  of line bundles, for some  $\lambda(w) \in \Lambda$ . (By Lemma 1,  $F(T) \otimes_{R(T)} K_T(G/P)$  is generated by line bundles over  $F(T)$ , so such a monomial basis exists.) This extension of scalars is harmless, for the following reason. A priori, we know the quantum product  $P_{i_1} \star \dots \star P_{i_l}$  lies in  $K_T(G/P)[[Q]]$ . The argument we give below shows that it lies in  $(F(T) \otimes_{R(T)} K_T(G/P))[Q]$ . This proves the claim, because the intersection of the submodules  $K_T(G/P)[[Q]]$  and  $(F(T) \otimes_{R(T)} K_T(G/P))[Q]$  inside  $(F(T) \otimes_{R(T)} K_T(G/P))[[Q]]$  is precisely  $K_T(G/P)[Q]$ .

*Proof.* From Equation (10), for  $I = (i_1, \dots, i_l)$  we have

$$(21) \quad (P^{\log Q / \log q})^{-1} \prod_{k=1}^l q^{Q_{i_k} \partial_{Q_{i_k}}} \tilde{J} = \mathbb{T}(a_I),$$

where  $a_I := \prod_{i \in I} A_i q^{Q_i \partial_{Q_i}}(1) \in F(T)[q][[Q]]$  by [24, Proposition 2.10]. This can be rewritten as in Equation (11), as

$$(22) \quad \prod_{k=1}^l P_{i_k} \left( \sum_{d \geq 0} q^{\sum_{k=1}^l d_{i_k}} J_d Q^d \right) = \mathbb{T}(a_I) = a_I^{(0)} + \sum_{d > 0} a_I^{(d)} Q^d + \dots$$

where the omitted terms vanish at  $q = \infty$  (since  $\mathbb{T} = \text{id} + O(q^{-1})$ ).

By Lemma 6, the operator  $A_{i, \text{com}}$  is the operator of quantum multiplication by  $P_i$ . Along with Equation (17) for  $I = (i_1, \dots, i_l)$ , we obtain

$$(23) \quad P_{i_1} \star \dots \star P_{i_l} = \prod_{k=1}^l A_{i_k, \text{com}}(1) = a_I|_{q=1}.$$

Our goal is to show that  $a_I|_{q=1}$  is a polynomial in  $Q$ .

As in the proof of Lemma 6, we begin by showing that only finitely many  $Q^d$  appear in the  $q^{\geq 0}$  coefficients of the left-hand side of Equation (22).

Note that the first term of the left hand side gives  $\prod_{k=1}^l P_{i_k}$ . Suppose a  $d > 0$  term contributes to the  $q^{\geq 0}$  coefficients on the left-hand side of Equation (22), i.e. suppose that  $q^{\sum_{k=1}^l d_{i_k}} J_d$  has non-positive order at  $q = \infty$ . Then applying Lemma 5 gives

$$(24) \quad 0 \leq \sum_{k=1}^l d_{i_k} - \nu_d \leq \sum_{k=1}^l d_{i_k} - m_{\hat{d}} = \sum_{k=1}^l \hat{d}_{i_k} - r(\hat{d}) - \frac{(\hat{d}, \hat{d})}{2}.$$

Here, as in the proof of Lemma 6,  $\hat{d}$  is a lift of  $d = d_P$  so that  $m_{\hat{d}} = \min_{d_B^{\text{min}} \leq d_B^+ \leq d_B} \{m_{d_B^+}\}$ . So  $\hat{d}_i = d_i$  for  $i \notin I_P$ .

There are finitely many possibilities for  $d$  which satisfy the inequality (24). Indeed, the quadratic form  $(\ , \ )$  is positive definite, so level sets of

$$\left( \sum_{k=1}^l \hat{d}_{i_k} - r(\hat{d}) \right) - \frac{(\hat{d}, \hat{d})}{2}$$

(as a function of  $\hat{d}$ ) are ellipsoids in the vector space  $\check{\Lambda} \otimes \mathbb{R}$ . It follows that the set

$$\left\{ d = (d_j)_{j \notin I_P} \mid \left( \sum_{k=1}^l \hat{d}_{i_k} - r(\hat{d}) \right) - \frac{(\hat{d}, \hat{d})}{2} \geq 0 \right\}$$

is a bounded subset of  $\check{\Lambda}^P \otimes \mathbb{R}$ , so it can contain at most finitely many lattice points  $d \in \check{\Lambda}_+^P$ . Therefore the left hand side of Equation (22) (and hence of Equation (21)) has finitely many  $q^{\geq 0}$  terms.



Since  $\mathsf{T} = \text{id} + O(q^{-1})$ , we have

$$q^n Q^{d'} \mathsf{T}(\Phi_w) = q^n Q^{d'} \Phi_w + (\text{terms involving } q^{n'} \text{ for } n' < n).$$

In other words, the expansion of  $q^n Q^{d'} \mathsf{T}(\Phi_w)$  has a unique term with maximal power of  $q$ . Ordering the finitely many terms of the left hand side of Equation (22) according to the exponents of  $q$ , we may therefore use the elements

$$q^n Q^{d'} \mathsf{T}(\Phi_w), \quad \text{for } n \in \mathbb{Z}_{\geq 0}, \quad d' \in \check{\Lambda}_+^P, \quad w \in W^P,$$

to inductively remove the  $q^{\geq 0}$  terms.

By Lemma 7, the operator  $\mathsf{T}$  satisfies the quadratic growth condition; it follows that for fixed  $n$  and  $w$ , the element  $q^n Q^{d'} \mathsf{T}(\Phi_w)$  has only finitely many  $q^{\geq 0}$  terms (essentially by repeating the argument given in the first part of this proof). So the inductive removal of  $q^{\geq 0}$  terms ends after finitely many steps.<sup>4</sup> This means we can find *polynomials*  $f_w \in F(T)[q, Q]$  so that the expression

$$(25) \quad \mathsf{M} := \prod_{k=1}^l P_{i_k} \left( \sum_{d \geq 0} q^{\sum_{k=1}^l d_{i_k}} J_d Q^d \right) - \sum_w \mathsf{T}(f_w \Phi_w)$$

vanishes at  $q = +\infty$ .

From Equations 10 and 11, this is also equal to

$$\begin{aligned} \mathsf{M} &= (P^{\log Q / \log q})^{-1} \left( \prod_{k=1}^l q^{Q_{i_k} \partial_{Q_{i_k}}} \tilde{\mathcal{J}} - \sum_w \tilde{\mathcal{T}}(f_w \Phi_w) \right) \\ &= \mathsf{T} \left( \left( \prod A_i q^{Q_{i_k} \partial_{Q_{i_k}}} \right) (1) - \sum_w f_w \Phi_w \right) \\ &=: \mathsf{T}(\mathsf{U}). \end{aligned}$$

By Lemma 8—which holds without change after the extension of scalars from  $R(T)$  to  $F(T)$ —we conclude that  $\mathsf{M} = 0$ .  $\square$

In particular, the proof of Proposition 9 gives the following refinement of Equation (21):

$$\prod_{k=1}^l q^{Q_{i_k} \partial_{Q_{i_k}}} \tilde{\mathcal{J}} = \sum_w \tilde{\mathcal{T}}(f_w \Phi_w)$$

for *polynomials*  $f_w \in R(T)[q][Q]$ , giving

$$\prod_{k=1}^l P_{i_1} \star \cdots \star P_{i_l} = \sum_w f_w \Phi_w.$$

We now turn to our main theorem. We fix an  $R(T)$ -basis  $\{\Phi_w\}$  for  $K_T(G/P)$ , and recycle the notation to write  $\Phi_w = \Phi_w \otimes 1$  for the corresponding  $R(T)[[Q]]$ -basis of  $QK_T(G/P) := K_T(G/P) \otimes \mathbb{Z}[[Q]]$ .

<sup>4</sup>We stress that this step is *the only* part of our approach that uses bounds for  $\mathsf{T}$ .

**Theorem 10.** *The structure constants of  $QK_T(G/P)$  with respect to the basis  $\{\Phi_w\}$  are polynomials: they lie in the polynomial subring  $R(T)[Q]$  of  $R(T)[[Q]]$ .*

In particular, taking  $\Phi_w$  to be a Schubert basis (of structure sheaves, canonical sheaves, or dual structure sheaves), we see that the quantum product of Schubert classes in  $QK_T(G/P)$  is finite.

*Proof.* We begin by extending scalars from  $R(T)$  to the fraction field  $F(T)$  of  $R(T)$ , as in Proposition 9; the structure constants are automatically in  $R(T)[[Q]]$ , so to prove they lie in  $R(T)[Q]$ , it is enough to show they lie in  $F(T)[Q]$ .

The assignment  $P_{i_1}P_{i_2}\cdots P_{i_k} \mapsto P_{i_1} \star P_{i_2} \star \cdots \star P_{i_k}$  defines a ring homomorphism

$$(26) \quad F(T)[P_1, \dots, P_r; Q_1, \dots, Q_r] \rightarrow F(T) \otimes_{R(T)} QK_T(G/P);$$

let the kernel be  $I$ . The resulting embedding of rings

$$F(T)[P_1, \dots, P_r; Q_1, \dots, Q_r]/I \hookrightarrow F(T) \otimes_{R(T)} QK_T(G/P)$$

corresponds to the natural embedding of modules

$$F(T) \otimes_{R(T)} K_T(G/P) \otimes \mathbb{Z}[Q_1, \dots, Q_r] \hookrightarrow F(T) \otimes_{R(T)} K_T(G/P) \otimes \mathbb{Z}[[Q_1, \dots, Q_r]].$$

It follows from Lemma 1 that each element  $\Phi_w$  of the  $R(T)$ -basis for  $K_T(G/P)$  can be written as a polynomial in  $P_i$  with coefficients in  $F(T)$ . Therefore, each element  $\Phi_w$  of the corresponding  $R(T)[[Q]]$ -basis for  $QK_T(G/P)$  can be represented as a polynomial  $\varphi_w = \varphi_w(P, Q)$  in  $F(T)[P_1, \dots, P_r][Q]$

The product of basis elements  $\Phi_u \star \Phi_v$  in  $QK_T(G/P)$  is given by a product  $\varphi_u \varphi_v$  of polynomials in  $P$  and  $Q$ , and by Proposition 9, this product is a finite linear combination of classes in  $F(T) \otimes_{R(T)} K_T(G/P)$  with coefficients in  $\mathbb{Z}[Q]$ .  $\square$

#### APPENDIX A. AN INEQUALITY IN THE COROOT LATTICE

Consider a root system (of finite type) in a real vector space  $V$ , with simple roots  $\alpha_1, \dots, \alpha_r$  and associated reflection group  $W$ . Let  $d = \sum_j d_j \alpha_j$  be an element of the root lattice, so the coefficients  $d_j$  are integers. Let  $(, )$  be the  $W$ -invariant bilinear form on  $V$ , normalized so that  $(\alpha_j, \alpha_j) = 2$  for short roots. Finally, let

$$r(d) = \#\{j \mid d_j \neq 0\}.$$

The purpose of this appendix is to prove a simple inequality.

**Lemma.** *Assume that the root system contains no factors of type  $E_8$ . For any  $i \in \{1, \dots, r\}$ , we have*

$$\frac{(d, d)}{2} + r(d) \geq d_i,$$

with equality if and only if  $d = 0$ .

*Proof.* We may assume  $r(d) = r$ , i.e.,  $d$  has full support, since otherwise the problem reduces to a root subsystem.

Let us introduce a new variable  $z$ , and consider the quadratic form

$$Q(d_1, \dots, d_r, z) = \frac{(d, d)}{2} - d_i z + r z^2.$$

We will show that  $Q$  is positive definite. The lemma follows, by evaluating at  $z = 1$ .

Let us write  $A_Q$  for the symmetric matrix corresponding to  $Q$ ,  $A_R$  for the matrix corresponding to  $\frac{1}{2}(\ , \ )$ , and  $A_{R(i)}$  for the matrix of the subsystem obtained by removing  $\alpha_i$ . By reordering the roots as needed, we can assume  $A_R$  and  $A_{R(i)}$  are principal submatrices of  $A_Q$ , so  $2A_Q$  has the form

$$2A_Q = \left( \begin{array}{ccc|c} & & & 0 \\ & 2A_R & & \vdots \\ \hline 0 & \dots & -1 & 2r \end{array} \right)$$

We see

$$\det(2A_Q) = 2r \det(2A_R) - \det(2A_{R(i)}).$$

To prove that  $Q$  is positive definite, it suffices to check this determinant is positive, since we already know  $A_R$  is positive definite. This is easily done with a case-by-case check, using the data in Table 1. (Cf. [22, §2.4], noting that our matrices are multiplied by factors corresponding to long roots.)  $\square$

$R$	$A_n$	$B_n$	$C_n$	$D_n$	$E_6$	$E_7$	$F_4$	$G_2$
$\det(2A_R)$	$n + 1$	$2^n$	4	4	3	2	4	3

TABLE 1. Determinants for root systems

**Remark.** In type  $E_8$ , if  $i$  corresponds to the vertex of degree 3 (the “fork”) in the Dynkin diagram, then the quadratic form  $Q$  is not positive definite: in fact, the determinant  $\det(2A_Q)$  is negative in this case.

APPENDIX B. FINITENESS AND QUADRATIC GROWTH IN QUANTUM  $K$ -THEORYby Hiroshi Iritani<sup>5</sup>

We show that a quadratic growth condition for the zero orders of the fundamental solution  $\mathbb{T}$  at  $q = \infty$  is equivalent to the finiteness of the  $q$ -shift connection  $\mathbb{A}$  associated with nef classes.

Let  $X$  be a smooth projective variety. Let  $K(X)$  be the topological  $K$ -group with complex coefficients. We fix a basis  $\{\Phi_\alpha\}$  of  $K(X)$ . Let  $g$  denote the pairing on  $K(X)$  given by  $g(E, F) = \chi(E \otimes F)$ . Let  $\{\Phi^\alpha\}$  denote the dual basis with respect to the pairing  $g$ . Let  $\mathbb{T}$  denote the fundamental solution of the quantum difference equation, defined by

$$\mathbb{T}(\Phi_\alpha) = \Phi_\alpha + \sum_{\substack{d \in \text{Eff}(X) \\ d \neq 0}} \sum_{\beta} \left\langle \Phi_\alpha, \frac{\Phi_\beta}{1 - qL} \right\rangle_{0,2,d} Q^d \Phi^\beta.$$

where  $\text{Eff}(X) \subset H_2(X, \mathbb{Z})$  denotes the monoid generated by effective curves. We write  $\mathbb{T} = \sum_{d \in \text{Eff}(X)} \mathbb{T}_d Q^d$  with  $\mathbb{T}_d \in \text{End}(K(X))$ . We say that  $\mathbb{T}$  *satisfies the quadratic growth condition* when the following holds:

There exist a positive-definite inner product  $(\cdot, \cdot)$  on  $H_2(X)$ ,  $m \in H^2(X)$  and a constant  $c \in \mathbb{R}$  such that we have

$$(B.1) \quad \text{ord}_{q=\infty} \mathbb{T}_d \geq \frac{1}{2}(d, d) + m \cdot d + c$$

for all  $d \in H_2(X)$ , where  $\text{ord}_{q=\infty}$  is the order of zero at  $q = \infty$ .

For a class  $P \in K(X)$  of a line bundle, we write  $p = -c_1(P) \in H^2(X)$  for the *negative* of the first Chern class. For  $p \in H^2(X)$ , let  $q^{pQ\partial_Q}$  denote the operator acting on power series in  $Q$  as

$$q^{pQ\partial_Q} \left( \sum_{d \in H_2(X)} c_d Q^d \right) = \sum_{d \in H_2(X)} c_d q^{p \cdot d} Q^d.$$

The  $q$ -shift connection  $\mathbb{A}$  associated with  $P$  (or with  $p = -c_1(P)$ ) is the operator

$$\mathbb{A} = \mathbb{T}^{-1} P q^{pQ\partial_Q} (\mathbb{T})$$

where  $P$  acts on  $K(X)$  by the (classical) tensor product. The nontrivial fact is that  $\mathbb{A}$  lies in the ring  $\text{End}(K(X)) \otimes \mathbb{C}[q, q^{-1}][[Q]]$ , i.e. it is a Laurent polynomial in  $q$ .

**Proposition.** *The fundamental solution  $\mathbb{T}$  satisfies the quadratic growth condition (B.1) if and only if the difference connections  $\mathbb{A}$  associated with nef classes  $p = -c_1(P)$  are polynomials in  $Q$ .*

<sup>5</sup>H.I. is supported in part by JSPS KAKENHI Grant Number 16K05127, 16H06335, 16H06337 and 17H06127.

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*Proof.* The ‘only if’ statement was (essentially) proved by Anderson-Chen-Tseng [2, Proposition 5] (see also Proposition 9 above) although it was not phrased in this way. We give another proof for the convenience of the reader. We expand  $\mathbb{T}^{-1} = (1 + \sum_{d \neq 0} \mathbb{T}_d Q^d)^{-1} = \sum_d \mathbb{S}_d Q^d$ . Then:

$$\mathbb{S}_d = \sum_{k \geq 1} \sum_{\substack{d(1) + \dots + d(k) = d, \\ d(j) \in \text{Eff}(X) \setminus \{0\}}} (-1)^k \mathbb{T}_{d(1)} \cdots \mathbb{T}_{d(k)}$$

for  $d \neq 0$ .

We claim that  $\text{ord}_{q=\infty} \mathbb{S}_d \rightarrow \infty$  as  $|d| := \sqrt{(d, d)} \rightarrow \infty$ . By the quadratic growth condition (B.1) and the fact that  $\text{ord}_{q=\infty} \mathbb{T}_d \geq 1$  for  $d \neq 0$ , when  $d = d(1) + \dots + d(k)$  with  $d(j) \in \text{Eff}(X) \setminus \{0\}$ , we have

$$(B.2) \quad \text{ord}_{q=\infty}(\mathbb{T}_{d(1)} \cdots \mathbb{T}_{d(k)}) \geq \max(k, f(d(1)) + \dots + f(d(k)))$$

where  $f(d) := \frac{1}{2}(d, d) + m \cdot d + c$ . Since  $|d| \leq |d(1)| + \dots + |d(k)|$ , there exists  $i$  such that  $|d(i)| \geq |d|/k$ . Therefore if  $k \leq |d|^{\frac{1}{3}}$ , then

$$\begin{aligned} f(d(1)) + \dots + f(d(k)) &= \frac{1}{2} \left( \sum_{i=1}^k (d(i), d(i)) \right) + m \cdot d + ck \\ &\geq \frac{1}{2} \frac{|d|^2}{k^2} - |m||d| - |c|k \\ &\geq \frac{1}{2} |d|^{\frac{4}{3}} - |m||d| - |c||d|^{\frac{1}{3}} \end{aligned}$$

Hence by (B.2),

$$\text{ord}_{q=\infty}(\mathbb{T}_{d(1)} \cdots \mathbb{T}_{d(k)}) \geq \min \left( |d|^{\frac{1}{3}}, \frac{1}{2} |d|^{\frac{4}{3}} - |m||d| - |c||d|^{\frac{1}{3}} \right)$$

and the right-hand side diverges as  $|d| \rightarrow \infty$ . This proves the claim.

Let  $A$  be the  $q$ -shift operator associated with a nef class  $p = -c_1(P)$ . Writing  $A = \sum_d A_d Q^d$ , we have

$$A_d = \sum_{d'+d''=d} \mathbb{S}_{d'} P q^{p \cdot d''} \mathbb{T}_{d''}.$$

Since  $p$  is nef,  $A$  is regular at  $q = 0$  (see [24, Proposition 2.10]). On the other hand, using the quadratic growth condition (B.1) again, we have

$$\text{ord}_{q=\infty} A_d \geq \min_{d'+d''=d} (\text{ord}_{q=\infty} \mathbb{S}_{d'} + f(d'') - p \cdot d'').$$

The right-hand side is positive for a sufficiently large  $|d|$ . In fact, both  $N' = \{d' \in \text{Eff}(X) : \text{ord}_{q=\infty} \mathbb{S}_{d'} < 0\}$  and  $N'' = \{d'' \in \text{Eff}(X) : f(d'') - p \cdot d'' < 0\}$  are finite sets; when  $d' \in N'$  and  $d' + d'' = d$ , we have  $f(d'') - p \cdot d'' \rightarrow \infty$  as  $|d| \rightarrow \infty$ ; similarly, when  $d'' \in N''$  and  $d' + d'' = d$ , we have  $\text{ord}_{q=\infty} \mathbb{S}_{d'} \rightarrow \infty$  as  $|d| \rightarrow \infty$ . Therefore  $A_d$  is regular at  $q = 0$  and  $\text{ord}_{q=\infty} A_d > 0$  for sufficiently large  $|d|$ . This implies that  $A_d = 0$  for sufficiently large  $|d|$ , i.e.  $A$  is a polynomial in  $Q$ .

Next we show the ‘if’ statement. Suppose that all  $q$ -shift connections  $A$  associated with nef classes  $p = -c_1(P)$  are polynomials in  $Q$ . Choose line bundles  $P_1, \dots, P_k$  such that  $p_i = -c_1(P_i)$  is nef and that  $p_1, \dots, p_k$  form a basis of  $H^2(X, \mathbb{R})$ . Let  $A^{(i)}$  be the  $q$ -shift connection associated with  $P_i$ . By assumption, there exists a finite set  $F \subset \text{Eff}(X) \setminus \{0\}$  of degrees such that  $A^{(i)}$  is expanded in the form:

$$A^{(i)} = P_i + \sum_{d \in F} A_d^{(i)} Q^d.$$

The fundamental solution  $T$  satisfies the  $q$ -difference equation  $P_i q^{p_i Q} \frac{\partial}{\partial Q} T = T A^{(i)}$ , and therefore we have

$$(B.3) \quad P_i q^{p_i \cdot d} T_d = T_d P_i + \sum_{d' \in F} T_{d-d'} A_{d'}^{(i)}.$$

Suppose  $p_i \cdot d > 0$ . Then we have

$$\text{ord}_{q=\infty} T_d \geq p_i \cdot d + \min_{d' \in F} (\text{ord}_{q=\infty} T_{d-d'}) + C$$

where  $C := \min_{1 \leq i \leq k, d' \in F} (\text{ord}_{q=\infty} A_{d'}^{(i)})$ . Note that the first term in the right-hand side of (B.3) does not contribute to the vanishing order of  $T_d$  at  $q = \infty$  because  $p_i \cdot d > 0$ . Since this holds for all  $i$  with  $p_i \cdot d > 0$ , and there exists at least one  $i$  with  $p_i \cdot d > 0$  when  $d \in \text{Eff}(X) \setminus \{0\}$  (note that  $p_i \cdot d \geq 0$  since  $p_i$  is nef), we have

$$(B.4) \quad \text{ord}_{q=\infty} T_d \geq \max_{1 \leq i \leq k} (p_i \cdot d) + \min_{d' \in F} (\text{ord}_{q=\infty} T_{d-d'}) + C$$

for all  $d \in \text{Eff}(X) \setminus \{0\}$ . Introduce the norm  $\|d\| := \sqrt{\sum_{i=1}^k (p_i \cdot d)^2}$  and set  $B := \max_{d \in F} \|d\|$ . Define the positive-definite inner product  $(\cdot, \cdot)$  on  $H_2(X)$  by

$$(d', d'') = \frac{1}{\sqrt{k}B} \sum_{i=1}^k (p_i \cdot d')(p_i \cdot d'').$$

Choose a class  $m \in H^2(X)$  such that  $m \cdot d \leq C$  for all  $d \in F$ . This is possible since  $F$  is a finite set contained in  $\text{Eff}(X) \setminus \{0\}$ . We claim that

$$(B.5) \quad \text{ord}_{q=\infty} T_d \geq \frac{1}{2}(d, d) + m \cdot d.$$

This is true for  $d = 0$ . We introduce a partial order  $\prec$  in  $\text{Eff}(X)$  so that  $d \prec d'$  if and only if  $d' - d \in \text{Eff}(X)$ . Since every infinite descending chain  $d(1) \succ d(2) \succ d(3) \succ \dots$  in  $\text{Eff}(X)$  stabilizes, the induction argument works for this order. Suppose that  $d_* \in \text{Eff}(X) \setminus \{0\}$  and that (B.5) holds for all  $d \in \text{Eff}(X)$  with  $d \prec d_*$ . Using

(B.4) and the induction hypothesis, we have

$$\begin{aligned}
\text{ord}_{q=\infty} \mathbb{T}_{d_*} &\geq \max_{1 \leq i \leq k} (p_i \cdot d_*) + \min_{d' \in F} \left( \frac{1}{2} (d_* - d', d_* - d') + m \cdot (d_* - d') \right) + C \\
&\geq \frac{1}{2} (d_*, d_*) + m \cdot d_* + \max_{1 \leq i \leq k} (p_i \cdot d_*) - \max_{d' \in F} (d_*, d') - \max_{d' \in F} (m \cdot d') + C \\
&\geq \frac{1}{2} (d_*, d_*) + m \cdot d_* + \frac{1}{\sqrt{k}} \|d_*\| - \sqrt{(d_*, d_*)} \max_{d' \in F} \sqrt{(d', d')} \\
&\geq \frac{1}{2} (d_*, d_*) + m \cdot d_* + \frac{1}{\sqrt{k}} \|d_*\| - \frac{1}{\sqrt{k}B} \|d_*\| \max_{d' \in F} \|d'\| \\
&\geq \frac{1}{2} (d_*, d_*) + m \cdot d_*.
\end{aligned}$$

In the above computation, we used  $\|d_*\| \leq \sqrt{k} \max_{1 \leq i \leq k} (p_i \cdot d_*)$ . Hence the estimate (B.5) holds for  $d_*$ . The proposition is proved.  $\square$

**Remark.** The Proposition holds also for the equivariant quantum  $K$ -theory. The proof works verbatim.

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